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*Notification of this Addendum is transmitted via email to all firms on the current RFQ Participant List.  
This Addendum is posted on the District website at  
<http://www.valleywater.org/design-build.aspx>.*

March 10, 2016

**ADDENDUM NO. 4  
TO THE REQUEST FOR QUALIFICATIONS FOR PROGRESSIVE DESIGN-BUILD SERVICES  
FOR PURIFIED WATER PIPELINE PROJECT**

**Project No. 91384001**

Notice is hereby given that the following revisions, additions, and/or deletions are hereby made of, and incorporated into, the Request for Qualifications (RFQ) for Progressive Design-Build Services for Purified Water Pipeline Project (PROJECT).

**DEFINITION OF TERMS**

**REPLACE** the definition of “General Contractor” on page 2 with the following:

“**General Contractor**-The member of the Design-Builder that will have the primary responsibility for the construction of the project. General Contractor responsibilities also include the subcontracting, management, supervision and administration of the construction.”

**Section 2.2. Expedited Purified Water Program (EPWP)**

**DELETE** the words “to expedite” from the second paragraph that reads “To cope with...”

**ATTACHMENT D SCORING MATRIX, H. OVERALL SCORING MATRIX FOR SOQ SUBMISSIONS**

**ADD** the words “of this RFQ” to the end of second sentence that reads “The raw scores ...in Section 6.1.”

**ATTACHMENT D Scoring Matrix**

**REPLACE** the table on page 46 in its entirety with the following table:

# Scoring Matrix

EVALUATION CRITERIA	Max Raw Score	Max Category Total	Max Weighted Score
<b>DB Entity and DB Team Experience (Part V)</b>  Refer to table on page 47 of this RFQ for scoring guidelines for this Part  <b>Total for DB Entity and DB Team Experience</b>		<b>100</b>	<b>40</b>
<b>Key Personnel Experience (Part VI)</b> Project Manager Principal Engineer Lead Pipeline Design Engineer On-Site Project Manager Project Superintendent  <b>Total for Key Personnel Experience</b>	30 15 10 35 10	<b>100</b>	<b>40</b>
<b>Financial Capability (Part VII)</b> (Scores are obtained from information provided by Respondents in Template in Part VII)  <b>Option 1-</b> DE Led by General Contractor Principal Engineering Firm  <b>Option 2-</b> DE Led by Principal Engineering Firm General Contractor  <b>Option 3-</b> DE as GC and PEF  <b>Total for Financial Capability and Experience</b>	25 25  25 25  50	<b>50</b>	<b>20</b>
<b>TOTAL WEIGHTED SCORE</b>			<b>100</b>

Note: "DE" means "DB Entity"; "GC" means "General Contractor"; "PEF" means "Principal Engineering Firm"

**ATTACHMENT E STATEMENT OF QUALIFICATIONS FORMS PART I: CONTACT INFORMATION AND CERTIFICATION Section C. ORGANIZATIONAL DOCUMENTS**

**REPLACE** Section C. ORGANIZATIONAL DOCUMENTS in its entirety with the following:

- “1. Provide a copy of the organizational documents creating the DB Entity (articles of incorporation, partnership agreement, joint venture agreement, etc.). This requirement is applicable to all DB Entities, existing and intended to be formed.
2. The organizational documents submitted shall indicate all shareholders, partners or members who will perform work on the PROJECT.
3. If, at submission of the SOQ, the DB Entity has not executed organizational documents yet, please describe the intended structure of the DB Entity and the organizational documents that are intended to be executed.
4. Short-listed DB Entities will be required to submit executed organizational documents with their proposal as part of the RFP process.”

**ATTACHMENT E STATEMENT OF QUALIFICATIONS FORMS PART II: GENERAL INFORMATION**

**INSERT** the following text before the text on page 63 that reads “**NOTE: Attach additional pages for additional Other Design Firms if necessary.**”

**“D. INFORMATION ABOUT THE DESIGN-BUILD ENTITY**

If the legal entity constituting the DB Entity has not yet been formed, questions referring to the DB Entity should be answered as if the DB Entity has been formed.

1. Name of Design-Build Entity: \_\_\_\_\_

**Is the Design-Build Entity different from that of the General Contractor?**

☐ Yes; answer all questions below

☐ No; proceed to Part III

2. Date of company formation or incorporation: \_\_\_\_\_

3. Under the laws of what state: \_\_\_\_\_

4. Design-Build Entity is a (*select one and provide requested information*):

☐ Corporation – provide information in 4a(1). and 4a(2).

☐ Sole proprietorship – provide information in 4b(1). and 4b(2).

☐ Joint Venture, Partnership or Other legal entity – provide information in 4c(1). and 4c(2).

- 4a(1). If the Design-Build Entity is a **corporation**, provide all the following information as of the date of issuance of this RFQ for each person who is either (a) an officer of the corporation (president, vice president, secretary, treasurer), or (b) the owner of at least 10 percent of the corporation's stock.

Person's Name	Position	Years with Co.	% Ownership
CEO			
President			
Secretary			
Treasurer			

4a(2). Identify every construction firm that any person listed above has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years (immediately before the date of issuance of this RFQ).

**NOTE: For this question, "owner" and "partner" refer to ownership of 10 percent or more of the business, or 10 percent or more of its stock, if the business is a corporation.**

Person's Name	Construction Firm	Dates of Person's Participation with Firm

4b(1). If the Design-Build Entity is a **sole proprietorship**, complete the following:

Owner	Years as Owner

4b(2). Identify every construction firm that the business owner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years (immediately before the date of issuance of this RFQ).

**NOTE: For this question, "owner" and "partner" refer to ownership of 10 percent or more of the business, or 10 percent or more of its stock, if the business is a corporation.**

Person's Name	Construction Company	Dates of Person's Participation with Company

- 4c(1). If the Design-Build Entity is a ***joint venture, partnership, or other legal entity (other than corporation)***, provide all the following information as of the date of issuance of this RFQ for each member of the joint venture, each partner or each other legal entity member who owns 10 percent or more of the firm (*attach additional pages if necessary*).

Name of Individual or Entity	Principal Contact	Position	Years with JV/ Partnership/ Association	% Ownership Interest

- 4c(2). Identify every construction company that any partner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years (immediately before the date of issuance of this RFQ).

**NOTE:** For this question, “owner” and “partner” refer to ownership of 10 percent or more of the business, or 10 percent or more of its stock, if the business is a corporation.

Person's Name	Construction Company	Dates of Person's Participation with Company

5. Has there been any change in ownership of the Design-Build Entity at any time during the last three (3) years (immediately before the date of issuance of this RFQ)?

**NOTE:** A corporation whose shares are publicly traded is not required to answer this question



but must check the "Publicly Traded" box.

☐ Yes

☐ No

☐ Publicly Traded

*If "yes," explain on a separate page.*

6. Is the Design-Build Entity a subsidiary, parent, holding company or affiliate of another construction or design firm?

**NOTE: Include information about other firms if one firm owns 50 percent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.**

☐ Yes

☐ No

*If "yes," explain on a separate page.*

7. Are any corporate officers, partners or owners connected to any other design or construction firms?

**NOTE: Include information about other firms if an owner, partner, or officer of your firm holds a similar position in another firm.**

☐ Yes

☐ No

*If "yes," explain on a separate page.*

8. NOT USED

9. List all license numbers, classifications and expiration dates of the California contractor's licenses held by the Design-Build Entity, including any licenses or registration with the California Department of Industrial Relations required in accordance with SB 854:

License Number	Trade Classification	Date Issued	Expiration Date

10. If any of your firm's license(s) are held in the name of a corporation or partnership, list below the names of the qualifying individual(s) listed on the California State Licensing Board (CSLB) records who meet(s) the experience and examination requirements for each license.

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11. Has the Design-Build Entity changed names or license numbers in the past five (5) years (immediately before the date of issuance of this RFQ)?

☐ Yes ☐ No

*If "yes," explain on a separate page, including the reason for the change.*

12. Has any owner, partner or, for corporations, officer of your firm operated a construction firm under any other name in the last five (5) years (immediately before the date of issuance of this RFQ)?

☐ Yes ☐ No

*If "yes," explain on a separate page, including the reason for the change.*

13. Provide surety information for Design-Build Entity:

Bonding Co./Surety: \_\_\_\_\_

Surety Agent: \_\_\_\_\_

Agent Address: \_\_\_\_\_

Phone Number: \_\_\_\_\_

14. List all other sureties (name and full address) that have written bonds for the Design-Build Entity during the last five years (immediately before the date of issuance of this RFQ). Include the periods during which each wrote the bonds.

Surety	Address	Periods of Coverage

“

**ATTACHMENT E STATEMENT OF QUALIFICATIONS FORMS PART VII: FINANCIAL CAPABILITY Section B. FINANCIAL INFORMATION**

**DELETE** in its entirety the last paragraph of Section B. FINANCIAL INFORMATION that reads “**The financial information shall also...** acting as the Guarantor.”

**ATTACHMENT E STATEMENT OF QUALIFICATIONS FORMS PART VII: FINANCIAL CAPABILITY Section C. GUARANTOR**

**DELETE** Section C. GUARANTOR in its entirety.

## **APPENDIX 2: PROJECT GUARANTOR ACKNOWLEDGEMENT FORM**

**DELETE** APPENDIX 2: PROJECT GUARANTOR ACKNOWLEDGEMENT FORM

in its entirety.

### **GENERAL QUESTIONS AND RESPONSES**

#### **QUESTION 4:**

NOT USED

#### **QUESTION 5:**

NOT USED

#### **QUESTION 6:**

Since the Project will require the selected proposer to provide performance and payment bonds for the full construction value (in accordance with California Pub. Contract Code Sec. 22165), will the owner consider eliminating the requirement of a separate parental guaranty? A parent guaranty of \$250M will reduce competition since it could negatively impact typical credit facilities for proposing parties, and the required bonds already provide a mechanism to guaranty and secure the performance and payment bond obligations of the selected proposer.

#### **RESPONSE 6:**

The guarantor requirement in ATTACHMENT E STATEMENT OF QUALIFICATIONS FORMS PART VII. FINANCIAL CAPABILITY Section C. GUARANTOR has been eliminated. Submission of APPENDIX 2: PROJECT GUARANTOR ACKNOWLEDGEMENT FORM is no longer required.

#### **QUESTION 7:**

If both members of the JV currently hold a California class A license and are in the process of acquiring a license in the name of the JV, are the requirements of the RFQ satisfied if both team members include a copy of their California class A licenses as well as a copy of the application for license in the name of the joint venture and the joint venture class A license is current by the time the construction contract is awarded.

#### **RESPONSE 7:**

Only the member of the proposed DB Entity designated as "General Contractor" is required to possess a valid and current California Class A contractor's license at the time of SOQ submission. The DB Entity itself (as a corporation, sole proprietorship, partnership, joint venture, or other legal entity) must possess a Class A contractor's license prior to commencing the construction work.



**QUESTION 8:**

The inflation calculator at the link provided in the RFQ only works up to \$10 M. May another source be used for converting to 2015 dollars, such as <http://www.usinflationcalculator.com/> for calculating the inflation?

**RESPONSE 8:**

Respondents may work around this limitation in the inflation calculator by dividing the input value by 1000 and then multiplying the output value by 1000.

**QUESTION 9:**

Is there a PLA that will be part of this Project? Is there an opportunity to discuss arguments against a PLA? NO PLA.

**RESPONSE 9:**

The District has no further information to provide at this time. Further information will be incorporated into the RFP.

**QUESTION 10:**

When will Draft contracts be available to proposers?

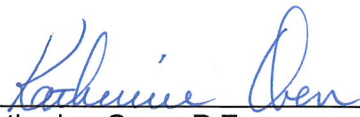
**RESPONSE 10:**

The District will include the Draft Design-Build Contract in the Request for Proposals issued to short-listed DB Entities.

**GENERAL NOTES****NOTE 1:**

ADDENDUM No. 2 and this ADDENDUM No. 4 included several edits to ATTACHMENT E STATEMENT OF QUALIFICATIONS FORMS. For ease of use by prospective Respondents, a new version (Ver. A dated 3/9/16) of the MS WORD file of this ATTACHMENT E, incorporating all of these edits, has been posted on the DISTRICT's website <http://valleywater.org/design-build.aspx>.

THIS ADDENDUM NO. 4, WHICH CONTAINS 9 PAGES, IS ATTACHED TO AND IS A PART OF THE RFQ FOR PROGRESSIVE DESIGN-BUILD SERVICES FOR THIS PROJECT.

  
Katherine Owen, P.E.  
Deputy Operating Officer  
Water Utility Capital Division

Date: 3/10/16