Honorable Board of Directors  
Santa Clara Valley Water District (District)  

Pursuant to, and in compliance with, the Notice to Bidders and the Contract Documents, relating to the  

C0660 – UPPER GUADALUPE RIVER REACH 6 AQUATIC HABITAT IMPROVEMENT PROJECT, the undersigned Bidder having become thoroughly familiar with the terms and conditions of the Contract Documents and with local conditions affecting the performance and costs of the Work and having fully inspected the Work site in all particulars, hereby proposes and agrees to fully perform the Work, including providing any and all labor and materials and performing all Work required to construct and complete said Work within the contract time stated and in accordance with the requirements of the Contract Documents, for the following sum of money.

The undersigned Bidder agrees to complete all the Work within 190 calendar days from the first chargeable day of the Contract, as stated in the Notice to Begin Work. The Bidder agrees to enter into a Contract with the District and provide the required bonds and insurance in accordance with the Instructions to Bidders, Contract Bonds, paragraph #22 and Execution of Contract, paragraph #23. If the Bidder fails to meet these requirements within the time specified in the Instruction to Bidders, Failure to Execute Contract, paragraph #24, the Bidder’s security accompanying this Proposal may be forfeited and become the property of the District. No Contract exists until all Contract bonds and insurance documents have been accepted by the District.

TOTAL BID: $603,050

Bidder acknowledges receipt of the following Addenda to the Bid Documents:

☐ No Addenda received
☒ Addenda received as follows:

<table>
<thead>
<tr>
<th>Addendum No.</th>
<th>Date</th>
<th>Addendum No.</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>4/30/2021</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Failure to acknowledge receipt of an Addendum on the Bid Form is not, in itself, cause for withdrawal or rejection of Bid, if it can be established that Bidder did, in fact, receive such Addendum prior to Bid opening.

BIDDER’S COMPANY INFORMATION

| NAME: GRANITE ROCK COMPANY | ADDRESS: 5225 Hellyer Avenue, Suite 220 San Jose, CA 95138 |

| CONTRACTOR’S CALIFORNIA LICENSE |
NUMBER: 22  
DATE OF EXPIRATION: 4/30/2023  
LICENSE CLASSIFICATION(S): A, B w/ Haz |

| PHONE NO.: (408) 574-1400 | FAX NO.: (408) 365-9548 |

EMAIL ADDRESS:  
SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)

Bidder’s Signature:  
Date: 5/4/2021

Bidder’s Name and Title (Print): Rodney Jenny, Executive Vice President
# SECTION A — BASE BID

<table>
<thead>
<tr>
<th>ITEM NO.</th>
<th>DESCRIPTION OF ITEM</th>
<th>APPROXIMATE QUANTITY</th>
<th>UNIT PRICE</th>
<th>TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>COMPLIANCE WITH BMP ACTION PLAN</td>
<td>Lump Sum, Lump Sum</td>
<td>$87,400</td>
<td>$87,400</td>
</tr>
<tr>
<td>2.</td>
<td>SITE REVIEW AND MONITORING</td>
<td>Lump Sum, Lump Sum</td>
<td>$57,450</td>
<td>$57,450</td>
</tr>
<tr>
<td>3.</td>
<td>NOISE POLLUTION MONITORING</td>
<td>Lump Sum, Lump Sum</td>
<td>$41,400</td>
<td>$41,400</td>
</tr>
<tr>
<td>4.</td>
<td>MOBILIZATION AND DEMOBILIZATION</td>
<td>Lump Sum, Lump Sum</td>
<td>$75,500</td>
<td>$75,500</td>
</tr>
<tr>
<td>5.</td>
<td>CLEARING</td>
<td>Lump Sum, Lump Sum</td>
<td>$82,550</td>
<td>$82,550</td>
</tr>
<tr>
<td>6.</td>
<td>CONTROL OF WATER</td>
<td>Lump Sum, Lump Sum</td>
<td>$122,900</td>
<td>$122,900</td>
</tr>
<tr>
<td>7.</td>
<td>STREAMBED FILL</td>
<td>340 CY</td>
<td>$98,600</td>
<td>$98,600</td>
</tr>
<tr>
<td>8.</td>
<td>TRAFFIC CONTROL</td>
<td>Lump Sum, Lump Sum</td>
<td>$74,500</td>
<td>$74,500</td>
</tr>
<tr>
<td>9.</td>
<td>RIPARIAN PLANTING</td>
<td>Lump Sum, Lump Sum</td>
<td>$1,000</td>
<td>$1,000</td>
</tr>
</tbody>
</table>

**SECTION A SUBTOTAL** $574,250
**SECTION B — SUPPLEMENTAL BID ITEMS**

These Bid items may or may not be required. They may be deleted entirely or in part, by deductive change order(s), at the sole discretion of the District.

<table>
<thead>
<tr>
<th>ITEM NO.</th>
<th>DESCRIPTION OF ITEM</th>
<th>APPROXIMATE QUANTITY UNIT</th>
<th>UNIT PRICE</th>
<th>TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>ADDITIONAL STREAMBED FILL</td>
<td>100 CY</td>
<td>$ 288</td>
<td>28800</td>
</tr>
</tbody>
</table>

**SECTION B SUBTOTAL**: $ 28,800

**TOTAL BID (SECTIONS A SUBTOTAL + B SUBTOTAL)**: $ 603,050
A. This Designation of Subcontractors form must be completed in compliance with the State of California Subletting and Subcontracting Fair Practices Act, Public Contract Code §4100 et seq., and any amendment thereof. Bidder must complete the form below for each Subcontract that exceeds one-half of one percent (½%) of the Bidder's total Bid. A Subcontractor is one who: (1) performs Work or labor; or (2) provides a service to the Bidder; or (3) specially Fabricates and Installs a portion of the work according to the Contract Documents. Bidders failure to list a Subcontractor for any portion of the work in excess of ½% of Bidder’s total Bid signifies Bidder will self perform that portion of the Work with its own forces. (Note: If more than one Subcontractor is designated for the same kind of Work, state the portion that each will perform.) After the opening of the Bids, no changes or substitutions will be allowed except as otherwise provided by law. The listing of more than one subcontractor for each item of work to be performed with the words “and/or” will not be permitted. Failure to comply with this requirement may render the Bid nonresponsive and may cause its rejection.

B. Failure by a subcontractor to be registered to perform public work as required by the California Labor Code Section 1771.1 (a) shall be grounds under Section 4107 of the Public Contract Code for the Contractor, with the consent of the awarding authority, to substitute a subcontractor who is registered to perform public work pursuant to Section 1725.5 in place of the unregistered subcontractor.

<table>
<thead>
<tr>
<th>NAME</th>
<th>LICENSE NO.</th>
<th>EXPIRATION DATE</th>
<th>TYPE OF WORK</th>
<th>% of TOTAL CONTRACT</th>
</tr>
</thead>
<tbody>
<tr>
<td>KCI Environmental</td>
<td>913844</td>
<td>10/31/22</td>
<td>Hydrosanding &amp; Riparian planting</td>
<td>2.5</td>
</tr>
<tr>
<td>San Luis Obispo, CA</td>
<td>100000403</td>
<td>01/30/21</td>
<td></td>
<td></td>
</tr>
<tr>
<td>S.A. Banks, Inc.</td>
<td>1008231</td>
<td>10/31/21</td>
<td>Furnish/Install Pumping equipment</td>
<td>7.5</td>
</tr>
<tr>
<td>Bakersfield, CA</td>
<td>100000948</td>
<td>01/30/22</td>
<td></td>
<td></td>
</tr>
<tr>
<td>SNCA Environmental</td>
<td>N/A</td>
<td></td>
<td>Biologist</td>
<td>10</td>
</tr>
<tr>
<td>Half Moon Bay, CA</td>
<td>1000007804</td>
<td>01/30/21</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)

Bidder's Signature: [Signature]  
Date: 5/4/2021

Bidder's Name and Title (Print): Rodney Jenny, Executive Vice President
A. This Designation of Subcontractors form must be completed in compliance with the State of California Subletting and Subcontracting Fair Practices Act, Public Contract Code §4100 et seq., and any amendment thereof. Bidder must complete the form below for each Subcontract that exceeds one-half of one percent (½%) of the Bidder's total Bid. A Subcontractor is one who: (1) performs Work or labor; or (2) provides a service to the Bidder; or (3) specially Fabricates and Installs a portion of the work according to the Contract Documents. Bidders failure to list a Subcontractor for any portion of the work in excess of ½% of Bidder's total Bid signifies Bidder will self perform that portion of the Work with its own forces. (Note: If more than one Subcontractor is designated for the same kind of Work, state the portion that each will perform.) After the opening of the Bids, no changes or substitutions will be allowed except as otherwise provided by law. The listing of more than one subcontractor for each item of work to be performed with the words "and/or" will not be permitted. Failure to comply with this requirement may render the Bid nonresponsive and may cause its rejection.

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<table>
<thead>
<tr>
<th>NAME</th>
<th>LICENSE NO.</th>
<th>DIR REGISTRATION NO.</th>
<th>TYPE OF WORK</th>
<th>% of TOTAL CONTRACT</th>
</tr>
</thead>
<tbody>
<tr>
<td>HydroZgeo tech</td>
<td>N/A</td>
<td>1000709172</td>
<td>noise/vibration testing</td>
<td>8.8</td>
</tr>
<tr>
<td>Beik Unified, CA</td>
<td></td>
<td>01/30/22</td>
<td>site pre construction survey</td>
<td></td>
</tr>
</tbody>
</table>

SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)

Bidder's Signature:  

Date: 5/4/2021

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<th>% of TOTAL CONTRACT</th>
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<tbody>
<tr>
<td>LOCATION (City &amp; State)</td>
<td>EXPIRATION DATE</td>
<td>EXPIRATION DATE</td>
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</tbody>
</table>

SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)

Bidder’s Signature: __________________________

Date: 5/4/2021

Bidder’s Name and Title (Print): Rodney Jenny, Executive Vice President
In accordance with Public Contract Code Section 7106, Rodney Jenny (Bidder’s full name) declares that he or she is Executive Vice President (Bidder’s title) of GRANITE ROCK COMPANY (Company’s name) the party making the foregoing Bid that the Bid is not made in the interest of, or on behalf of, any disclosed person, partnership, company, association, organization, or corporation; that the Bid is genuine and not collusive or sham; that the Bidder has not directly or indirectly induced or solicited any other Bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any Bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the Bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the Bid price of the Bidder or any other Bidder, or to fix any overhead, profit, or cost element of the Bid price, or of that of any other Bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the Bid are true; and, further, that the Bidder has not, directly or indirectly, submitted the Bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, Bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

I certify (or declare) under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)

Bidder's Signature: Rodney Jenny, Executive Vice President
Date: 5/4/2021

(Rev. 01/20/2021)
BE IT KNOWN BY THESE PRESENTS,

That we, Granite Rock Company, as PRINCIPAL, and Western Surety Company, as SURETY,

are held and firmly bound unto the Santa Clara Valley Water District, hereinafter called the District, in the penal sum of TEN PERCENT (10%) OF THE TOTAL AMOUNT OF THE PROPOSAL of the Principal above named, submitted by said Principal to the Santa Clara Valley Water District, for the work described below, for the payment of which sum is lawful money of the United States, well and truly to be made, we bind ourselves, our heirs, executors, administrators and successors, jointly and severally, firmly by these presents. In no case shall the liability of the surety hereunder exceed the sum of $Ten percent (10%) of the total amount bid.

THE CONDITION OF THIS OBLIGATION IS SUCH,

That whereas the Principal has submitted the above mentioned Proposal to the District, for certain construction specifically described as follows, for which Proposals are to be opened at San Jose, California, on May 6, 2021, (or such other date as specified per Addendum) for UPPER GUADALUPE RIVER REACH 6 HABITAT IMPROVEMENT PROJECT.

NOW, THEREFORE, if the aforesaid Principal is awarded the Contract and, within the time and manner required under the Contract Documents, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the Proposal, and files originals (copies are unacceptable) of the two bonds with the District, one to guarantee faithful performance and the other to guarantee payment for labor and materials, as required by law, then this obligation shall be null and void; otherwise, it shall be and remain in full force and virtue.

In the event suit is brought upon this bond by the obligee and judgment is recovered, the surety shall pay all costs incurred by the obligee in such suit, including a reasonable attorney’s fee to be fixed by the court.

IN WITNESS WHEREOF, we have hereunto set our hands and seal on the 21st day of April, 2021.

PRINCIPAL: GRANITE ROCK COMPANY

Signature

Rodney Jenny
Name
Executive Vice President
Title
5225 Hellyer Avenue, Suite 220
San Jose, CA 95138
Address

SURETY: WESTERN SURETY COMPANY

Signature

Joan DeLuca
Name
Attorney-in-Fact
Title
555 Mission Street, Suite 200
San Francisco, CA 94105
Address

NOTE: 1. Original Bidder’s Bond documents are required; copies are unacceptable.
2. Signature of those executing for Surety must be properly acknowledged

(Rev. 01/20/2021)
POWER OF ATTORNEY APPOINTING INDIVIDUAL ATTORNEY-IN-FACT

Know All Men By These Presents, That WESTERN SURETY COMPANY, a South Dakota corporation, is a duly organized and existing corporation having its principal office in the City of Sioux Falls, and State of South Dakota, and that it does by virtue of the signature and seal herein affixed hereby make, constitute and appoint

Charles R Shoemaker, Kelly Holtemann, Mark M Munekawa, Nerissa S Bartolome, Joan De Luca, Yvonne Roncagliolo, Thomas E Hughes, Patrick R Diebel, Alicia Dass, Valerie Garcia, Sara Ridge, Christina Burton, Peter Tam, Zachary V Overbay, Individually

of San Francisco, CA, its true and lawful Attorney(s)-in-Fact with full power and authority hereby conferred to sign, seal and execute for and on its behalf bonds, undertakings and other obligatory instruments of similar nature

- In Unlimited Amounts -

and to bind it thereby as fully and to the same extent as if such instruments were signed by a duly authorized officer of the corporation and all the acts of said Attorney, pursuant to the authority hereby given, are hereby ratified and confirmed.

This Power of Attorney is made and executed pursuant to and by authority of the By-Law printed on the reverse hereof, duly adopted, as indicated, by the shareholders of the corporation.

In Witness Whereof, WESTERN SURETY COMPANY has caused these presents to be signed by its Vice President and its corporate seal to be hereto affixed on this 20th day of October, 2020.

WESTERN SURETY COMPANY

Paul T. Bruflat, Vice President

State of South Dakota
County of Minnehaha

On this 20th day of October, 2020, before me personally came Paul T. Bruflat, to me known, who, being by me duly sworn, did depose and say: that he resides in the City of Sioux Falls, State of South Dakota; that he is the Vice President of WESTERN SURETY COMPANY described in and which executed the above instrument; that he knows the seal of said corporation; that the seal affixed to the said instrument is such corporate seal; that it was so affixed pursuant to authority given by the Board of Directors of said corporation and that he signed his name thereto pursuant to like authority, and acknowledges same to be the act and deed of said corporation.

My commission expires

June 23, 2021

J. Mohr, Notary Public

CERTIFICATE

I, L. Nelson, Assistant Secretary of WESTERN SURETY COMPANY do hereby certify that the Power of Attorney hereinabove set forth is still in force, and further certify that the By-Law of the corporation printed on the reverse hereof is still in force. In testimony whereof I have hereunto subscribed my name and affixed the seal of the said corporation this 21st day of April, 2021.

L. Nelson, Assistant Secretary

Form F4280-7-2012

Go to www.cnasurety.com > Owner / Obligee Services > Validate Bond Coverage, if you want to verify bond authenticity.
CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California              )
County of Marin                 )
On April 21, 2021 before me,  )
Date
personally appeared            )
Here Insert Name and Title of the Officer
Joan DeLuca
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature K. Holtemann
Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document
Title or Type of Document: ____________________________
Document Date: ____________________________
Number of Pages: ____________________________
Signer(s) Other Than Named Above: ____________________________

Capacity(ies) Claimed by Signer(s)
Signer's Name: ____________________________
 □ Corporate Officer — Title(s): ____________________________
 □ Partner — □ Limited □ General ____________________________
 □ Individual □ Attorney in Fact ____________________________
 □ Trustee □ Guardian or Conservator ____________________________
 □ Other: ____________________________

Signer is Representing: ____________________________

Signer’s Name: ____________________________
 □ Corporate Officer — Title(s): ____________________________
 □ Partner — □ Limited □ General ____________________________
 □ Individual □ Attorney in Fact ____________________________
 □ Trustee □ Guardian or Conservator ____________________________
 □ Other: ____________________________

Signer Is Representing: ____________________________

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Iran Contracting Act Bid Certification
(Public Contract Code Sections 2202–2208)
Must be Completed for any Proposal of $1,000,000 or more

Public Contract Code (PCC) Sections 2200–2208 are known as the Iran Contracting Act of 2010 and prohibit public entities from contracting with companies that have specified business activities in Iran’s petroleum sector. Companies seeking to bid on state or local government contracts are required to certify that they are not engaged in developing Iran’s petroleum resources.

Prior to bidding on, submitting a proposal or executing a contract or renewal for a Santa Clara Valley Water District contract for goods or services of one million dollars ($1,000,000 or more), a person (vendor, firm, corporation, etc.) must either: a) certify it is not on the current list of persons engaged in investment activities in Iran created by the California Department of General Services (“DGS”) pursuant to Public Contract Code Section 2203(b) and is not a financial institution extending twenty million dollars ($20,000,000) or more in credit to another person, for 45 days or more, if that other person will use the credit to provide goods or services in the energy sector in Iran and is identified on the current list of persons engaged in investment activities in Iran created by DGS; or b) demonstrate it has been exempted from the certification requirement for that solicitation or contract pursuant to Public Contract Code Section 2203(c) or (d). The DGS list is posted online at: [www.dgs.ca.gov](http://www.dgs.ca.gov), search “Iran Contracting Act List”.

To comply with this requirement, please complete one of the options below. Please note: California law establishes penalties for providing false certifications, including civil penalties equal to the greater of $250,000 or twice the amount of the contract for which the false certification was made; contract termination; and three-year ineligibility to bid on contracts. (Public Contract Code Section 2205.)
BID FORM NO. 6
Iran Contracting Act Bid Certification
This form must be completed in ink and changes must be initialed.

Page 2 of 2

Please complete one of the options below (see prior page for additional explanation).

OPTION NO.1—CERTIFICATION

I, the official named below, certify I am duly authorized to execute this certification on behalf of the person/financial institution identified below, and the person/financial institution identified below is not on the current list of persons engaged in investment activities in Iran created by DGS and is not a financial institution extending twenty million dollars ($20,000,000) or more in credit to another person/vendor, for 45 days or more, if that other person/vendor will use the credit to provide goods or services in the energy sector in Iran and is identified on the current list of persons engaged in investment activities in Iran created by DGS.

<table>
<thead>
<tr>
<th>Name of Person (Vendor, Firm, Corporation, etc.)/Financial Institution (Printed):</th>
<th>Federal ID No. (or n/a):</th>
</tr>
</thead>
<tbody>
<tr>
<td>GRANITE ROCK COMPANY</td>
<td>94-0519560</td>
</tr>
</tbody>
</table>

By (Authorized Signature):

Printed Name and Title of Person Signing:

Rodney Jenny, Executive Vice President

Date Executed: 5/4/2021

Executed in (City & State): Watsonville, CA

OPTION NO. 2—EXEMPTION

Pursuant to Public Contract Code Sections 2203(c) and (d), a public entity may permit a person/financial institution engaged in investment activities in Iran, on a case-by-case basis, to be eligible for, or to bid on, submit a proposal for, or enter into or renew, a contract for goods and services.

If you have obtained an exemption from the certification requirement under the Iran Contracting Act, please fill out the information below, and attach documentation demonstrating the exemption approval.

<table>
<thead>
<tr>
<th>Name of Person (Vendor, Firm, Corporation, etc.)/Financial Institution (Printed):</th>
<th>Federal ID No. (or n/a):</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

By (Authorized Signature):

Printed Name and Title of Person Signing:

Date Executed: 

Executed in (City & State):
Timely submission of these Forms is considered material by the District.

All Bidders must complete and submit this Bidder’s General Information, Bid Form 7, including the required attachments. The Bidder may be considered not responsible based on information provided on this Form. Additional sheets may be attached as required.

1. Number of years as a contractor in construction work of this type: 121 Years

2. Names and titles of all officers of Bidder’s firm:
   Please see attached ‘Officers of the Company’ List

3. Name of person(s) who inspected site of proposed work for your firm:
   Name: Vicki Narciso  Date of Inspection: 4/8/2021

4. Name, address, and telephone number of surety company and agent who will provide the required bonds for this Contract:
   Western Surety Company c/o CNA Surety  Agent: Woodruff-Sawyer & Co.
   555 Mission Street, Suite 200  Joan DeLuca (415) 878-2468
   San Francisco, CA 94105  88 Rowland Way, Suite 180, Novato CA 94945

5. Résumé of Designated Authorized Representative: Attach the résumé of the person who will be designated as authorized representative. The Contractor’s authorized representative must have requisite background and experience to administer and oversee the Work on behalf of the Contractor. The representative must have complete authority to represent and act for the Contractor as stated in Standard Provisions, Contractor Staffing, Article 3.03.
   Please see attached resume for Noemy Madrigal

6. Prior Construction Contracts: Bidder must provide at least two (2) construction contracts similar in scope and complexity to this Project that your organization has performed during the last 5 years. Use the PRIOR CONSTRUCTION CONTRACTS form (page 3 of this Bid Form 7) for each project.

7. Claims and lawsuits: if the answer to any of the questions below is “yes” explain the circumstances on an attached sheet.

7.1 Has your organization ever failed to complete an awarded contract?
   ☐ Yes  ☒ No
Granite Rock Company

Incorporated in the State of California

OFFICERS OF THE COMPANY

Chairman of the Board
Mark Kaminski
350 Technology Drive
Watsonville, CA 95076

President & CEO
Thomas Squeri
350 Technology Drive
Watsonville, CA 95076

Vice President &
CFO
Stephen Snodgrass
350 Technology Drive
Watsonville, CA 95076

Vice President,
General Counsel
Kevin Jeffery
350 Technology Drive
Watsonville, CA 95076

Secretary

Executive Vice President,
Construction Division
Rodney Jenny
5225 Hellyer Avenue, Suite 220
San Jose, CA 95138

Executive Vice President,
Material Plants Division
Rich Sacher
350 Technology Drive
Watsonville, CA 95076

Executive Vice President,
Aggregate Division
Henry Ramirez
350 Technology Drive
Watsonville, CA 95076

Vice President
Human Resource Services
Shirley Ow
350 Technology Drive
Watsonville, CA 95076

Vice President
Environmental,
Quality, Safety,
Geology
Charles A. Johnston
350 Technology Drive
Watsonville, CA 95076
CERTIFICATION OF CORPORATE RESOLUTION

The directors of Granite Rock Company, a corporation organized and existing under the laws of the State of California, duly approved, on March 19, 2020, in accordance with the Articles of Incorporation and Bylaws of the corporation, the following resolution:

RESOLVED, that each of the following persons is fully authorized to sign construction project bid and contract documents on behalf of Granite Rock Company, doing business as Graniterock, and to bind the corporation with respect to such documents:

Lisa Cole  
Kevin Jeffery  
Rodney Jenny  
Aaron Johnston  
Mark Kaminski  
Shirley Ow  
Matt Reade  
Henry Ramirez  
Rich Sacher  
Steve Snodgrass  
Rob Snyder  
Tom Squieri  
Chris Sveum

I, Kevin Jeffery, Vice President and Secretary of Granite Rock Company, do hereby certify that I am the Vice President and Secretary of such corporation, and that the above resolution was duly adopted by the Board of Directors of such corporation, and that such resolution has not been revoked or rescinded.

In witness whereof, I have hereunto subscribed my name and affixed the seal of such corporation.

Dated: 5/4/2021

Kevin Jeffery  
Vice President and Secretary
NOEMY MADRIGAL

Qualification Summary

Noemy Madrigal has 5 years of construction management experience. That experience has ranged from performing project engineering & project management duties from 2016 – present. Additionally, Noemy has significant customer service experience working closely with the San Jose Airport for the past 5 years.

Recent Relevant Experience

Upper Llagas Creek Flood Protection Phase 1 ($68 Million)
Noemy has been part of the team managing the two-year flood protection project with Valley Water, the City of Morgan Hill, and the County of Santa Clara. The project included: large areas of clearing and grubbing, mass excavation, 745,000 CY of off-haul and disposal, water diversion and dewatering activities, site grading, underground utility work, over 50,000 LF of fencing, rock slope protection, in-stream work, structural concrete and an extensive revegetation/landscaping plan. There were over thirty subcontractors (material suppliers, service providers and trucking companies) present on this job.

Various Contracts, San Jose Airport ($30 Million)
Throughout her career at Graniterock, Noemy has been part of the project management team for numerous contracts at the San Jose Airport for the City of San Jose. The projects included rough grading, 200,000 CY of off-haul and disposal, structure excavation, lime treating, 6,000 tons of AC paving, 45,000 CY of concrete aprons, and underground utility work.

In addition, Noemy has worked on and managed numerous projects private, city, county, state and federal owners.

- Site Work, Mass Excavations and Shoring
- Soil Densification
- Cold In place Recycling (CIR)
- Minor Concrete
- Structural Concrete
7.2 Are there any judgments, claims, alternate dispute resolution proceedings or suits pending or outstanding against your organization or its officers?

☐ Yes    □ No    Please see attached 'Legal Explanation'

7.3 Has your organization filed any lawsuits or requested an alternate dispute resolution with regard to construction contracts within the last 5 years?

☐ Yes    □ No    Please see attached 'Legal Explanation'

8. The Bidder hereby declares that the Bidder has not been convicted, within the preceding 3 years, of any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of any public work contract with any public entity. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof.

9. Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation?

□ Yes    ☒ No

If the answer is “yes,” explain the circumstances in the following space:

________________________________________________________________________________________

________________________________________________________________________________________

10. The Bidder signing for Contractor certifies that neither Bidder nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department or agency.

District reserves the right to verify the above information.

I STATE UNDER PENALTY OF PERJURY THAT EACH AND ALL OF THE STATEMENTS I HAVE MADE IN RESPONSE TO PARAGRAPHS 8, 9 & 10 ABOVE ARE TRUE AND CORRECT.

SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)

Bidder’s Signature:                     Date: 5/4/2021

Bidder’s Name and Title (Print): Rodney Jenny, Executive Vice President
7.2 Legal Explanation

Graniterock’s Construction Division has been involved in the following claims, litigation, or disputes ending in lawsuits, mediation or arbitration related to our work on construction projects in the past 5 years. Most settlements are confidential so settlement amounts are not disclosed. The company has not been terminated for cause with respect to any project.

<table>
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<tr>
<th>Contract/Project Name</th>
<th>Date of Complaint</th>
<th>Name of Claimant (Plaintiff/Defendant)</th>
<th>Brief Description of Complaint</th>
<th>Court</th>
<th>Status</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rocha Farms</td>
<td>2020</td>
<td>Santa Cruz County</td>
<td>Santa Cruz County has filed a civil complaint seeking damages and remediation for alleged placement of fill without permits and within wetlands area.</td>
<td>Santa Cruz Superior</td>
<td>Pending</td>
</tr>
<tr>
<td>USF Benedetti Field</td>
<td>2017</td>
<td>University of San Francisco (Plaintiff)</td>
<td>University alleges improper design, materials, and construction contributed to improper drainage and caused damage to field turf. University seeking damages from designer, sub-tier designer, general contractor and Graniterock in the amount of $3.8M. Arbitrator assessed damages of $455,000 against Graniterock.</td>
<td>American Arbitration Assoc - San Francisco</td>
<td>Arbitration ruling 4/2020</td>
</tr>
<tr>
<td>Serramonte Center</td>
<td>2017</td>
<td>Graniterock (Plaintiff)</td>
<td>Graniterock filed suit against Shames Construction (GC) and Serramonte Center, LLC (Owner) for breach of contract/failure to pay. Claim was resolved and Graniterock was paid. ($971,574)</td>
<td>San Mateo County Superior Court</td>
<td>Settled. Graniterock received payment</td>
</tr>
<tr>
<td>USF Benedetti Field</td>
<td>2017</td>
<td>Graniterock (Plaintiff)</td>
<td>Graniterock has filed claim against BuildGroup (GC) and USF (Owner) for payment for work/materials performed under contract for the USF Benedetti Field project. Arbitrator awarded Graniterock its claim for $880,000 in pay/damages.</td>
<td>American Arbitration Assoc - San Francisco</td>
<td>Arbitration ruling 4/2020</td>
</tr>
<tr>
<td>City of Richmond 2015 Pavement Rehab</td>
<td>2016</td>
<td>Astro Construction (Plaintiff)</td>
<td>Astro Construction filed suit against Graniterock concerning a construction contract/payment dispute on the City of Richmond 2015 Pavement Rehabilitation project. Graniterock disputes these claims. ($561,000)</td>
<td>Contra Costa County Superior Court</td>
<td>Pending</td>
</tr>
<tr>
<td>County of Santa Cruz - Twin Lakes Beachfront Improvement Project</td>
<td>2019</td>
<td>Graniterock (Plaintiff)</td>
<td>Graniterock has filed claim against County of Santa Cruz for failure to pay for work on the Twin Lakes Beachfront Improvement Project. Graniterock alleges that County has failed to pay for work and materials performed under contract, extra work, and change orders in the amount of $1.88M. Parties have agreed to mediation.</td>
<td>Office of Administrative Hearings</td>
<td>Settled out of court. Confidential</td>
</tr>
<tr>
<td>North San Pedro</td>
<td>2018</td>
<td>Sheila Blaxil (Plaintiff)</td>
<td>Plaintiff alleges she sustained injuries when Graniterock construction equipment struck stationary vehicle in which plaintiff was a passenger</td>
<td>Santa Clara Superior</td>
<td>Settled out of court. Confidential</td>
</tr>
<tr>
<td>North San Pedro</td>
<td>2018</td>
<td>Rita Lewis (Plaintiff)</td>
<td>Plaintiff alleges she sustained injuries when Graniterock construction equipment struck stationary vehicle in which plaintiff was the driver</td>
<td>Santa Clara Superior</td>
<td>Settled out of court. Confidential</td>
</tr>
<tr>
<td>Caltrans Hwy 35 Skyline to 19th</td>
<td>2018</td>
<td>Semiere (Plaintiff)</td>
<td>Semiere v Chinh, Caltrans, Graniterock. Pedestrian was struck and killed by a motorist on Hwy 35/Soat Blvd in San Francisco. Family of decedent is suing the driver, Caltrans, and Graniterock. Accident happened within the boundaries of Graniterock project for Caltrans.</td>
<td>San Francisco Superior</td>
<td>Settled out of court. Confidential</td>
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<td>Caltrans Hwy 84 Resurfacing</td>
<td>2018</td>
<td>Patrice Wright (Plaintiff)</td>
<td>Plaintiff alleges improper construction and maintenance of roadway contributed to auto accident.</td>
<td>Santa Clara Superior</td>
<td>Dismissed</td>
</tr>
<tr>
<td>City of Santa Cruz - Wharf Roundabout</td>
<td>2017</td>
<td>Nancy Graham (Plaintiff)</td>
<td>Claimant fell off of bicycle at Wharf Roundabout. Filed small claims suit against City of Santa Cruz and Graniterock alleging faulting construction. Liability was disputed. Case was settled.</td>
<td>Santa Cruz County/Small Claims</td>
<td>Settled out of court. Confidential</td>
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<td>Santa Clara County - Lawrence Expressway Repair</td>
<td>2016</td>
<td>Marien Tashev (Plaintiff)</td>
<td>Plaintiff suffered injuries resulting from an automobile accident near Graniterock project. Plaintiff alleges signage/traffic control contributed to the cause of the accident.</td>
<td>Santa Clara Superior</td>
<td>Settled out of court. Confidential</td>
</tr>
<tr>
<td>City of Saratoga - Hwy 9 Improvements</td>
<td>2016</td>
<td>Stephen Graham (Plaintiff)</td>
<td>Plaintiff suffered injuries when struck by a car while riding his bike along Highway 9. Alleges design of road contributed to the cause of the accident. Graniterock had completed work in that area 2 years prior to the accident.</td>
<td>Santa Clara Superior</td>
<td>Dismissed</td>
</tr>
<tr>
<td>City of Santa Cruz - Wharf Roundabout</td>
<td>2016</td>
<td>Brenda Sherriffs (Plaintiff)</td>
<td>Plaintiff alleges design and layout of roundabout created a dangerous condition for bicyclists. Plaintiff crashed while riding bike through the roundabout.</td>
<td>Santa Cruz Superior</td>
<td>Settled out of court. Confidential</td>
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PRIOR CONSTRUCTION CONTRACTS

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Respond to each item, indicating "none" where appropriate.

A. Name, address, and telephone number of owner: Santa Clara Valley Water District
   5750 Almaden Expressway, San Jose, CA 95118 (408) 265-2600

B. Name of project: Uvas Creek Levee Rehabilitation

C. Location of project: Miller Avenue to end of the Levee downstream of West Luchessa Avenue all within the City of Gilroy, California.

D. Brief description of the work involved: The project is being constructed to address existing erosion damage to the facility due to ongoing animal intrusion and burrowing activities, and to minimize and prevent the potential for excessive erosion damage which can potentially undermine the levee structural integrity. The Phase 1 work is pertinent to the outboard side of the levee only.

E. Contract amount: $3,977,700

F. Claims amount: None

G. Liquidated damages assessed: None

H. Date of completion of contract: 8/29/2019

I. Name, address, and telephone number of design firm's architect or engineer:
   Santa Clara Valley Water District - Ted Ibarra, 408-630-2067
   5750 Almaden Expressway, San Jose, CA 95118-3686

J. Name of owner's project manager: Victor Livingston, Ghirardelli Associates, 510-520-2330

K. Declaration of Default (Yes or No): No

L. Litigation on questions of project performance: None

M. Determination of failure to pay prevailing wages or other state and/or federally required taxes or contributions: No

N. Citations by federal OSHA or CAL OSHA: No

District reserves the right to verify the above information.

SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)

Bidder's Signature: [Signature]
Date: 5/4/2021

Bidder's Name and Title (Print): Rodney Jenny, Executive Vice President

(Rev. 04/15/2021)
PRIOR CONSTRUCTION CONTRACTS

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A. Name, address, and telephone number of owner: County of San Mateo (650)599-1485
   555 County Center, 5th Floor, Redwood City, CA 94063

B. Name of project: Alpine Road Trail Improvements

C. Location of project: Alpine Road & Highway 280, Portola Valley, California

D. Brief description of the work involved: Rehabilitation of approximately 1.84 miles of trail by pulverizing the existing pavement, recompacting, and repaving with asphalt concrete. The work will also consist of creek bank stabilization at three locations along the trail. Stabilization will consist of keystone or soldier pile wall construction, biotechnical bank improvements, reconstruction of creek channel, revegetation, plant maintenance.

E. Contract amount: $2,998,366

F. Claims amount: None

G. Liquidated damages assessed: None

H. Date of completion of contract: February 15, 2018

I. Name, address, and telephone number of design firm's architect or engineer: BKF Engineers 255 Shoreline Dr., Ste 200, Redwood City, CA 94065, 650-482-6300
   Cuong Tran, ctran@bkf.com

J. Name of owner's project manager: Zack Azzari, 650-599-1485, zazzari@smc.gov

K. Declaration of Default (Yes or No): No

L. Litigation on questions of project performance: None

M. Determination of failure to pay prevailing wages or other state and/or federally required taxes or contributions: None

N. Citations by federal OSHA or CAL OSHA: None

District reserves the right to verify the above information.

SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)

Bidder's Signature: ___________________________ Date: 5/4/2021

Bidder's Name and Title (Print): Rodney Jenny, Executive Vice President

(Rev. 04/15/2021)
## PRIOR CONSTRUCTION CONTRACTS

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Respond to each item, indicating “none” where appropriate.

| A. Name, address, and telephone number of owner: | Santa Clara County Water District  
5750 Almaden Expressway, San Jose, CA 95118 (408) 265-2600 |
| B. Name of project: | Coyote Creek Downstream Levee Repair |
| C. Location of project: | Coyote Creek, Milpitas, California |
| D. Brief description of the work involved: | Reconstruct Coyote Creek levee and install chain link fabric. |
| E. Contract amount: | $869,050 |
| F. Claims amount: | None |
| G. Liquidated damages assessed: | None |
| H. Date of completion of contract: | October 15, 2018 |
| I. Name, address, and telephone number of design firm’s architect or engineer: | Santa Clara Valley Water District - (408) 265-2600  
5750 Almaden Expressway, San Jose, CA 95118 |
| J. Name of owner’s project manager: | None |
| K. Declaration of Default (Yes or No): | No |
| L. Litigation on questions of project performance: | None |
| M. Determination of failure to pay prevailing wages or other state and/or federally required taxes or contributions: | No  
None |
| N. Citations by federal OSHA or CAL OSHA: | None |

District reserves the right to verify the above information.

**SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)**

Bidder’s Signature:  
Bidder’s Name and Title (Print): Rodney Jenny, Executive Vice President

Date: 5/4/2021

(Rev. 04/15/2021)
REvised Bid Form No. 7

Bidder's General Information

This form must be completed in ink and changes must be initialed.

PRIOR CONSTRUCTION CONTRACTS

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Respond to each item, indicating “none” where appropriate.

A. Name, address, and telephone number of owner: County of San Benito (831)646-4170
   2301 Technology Parkway, Hollister, CA 95023

B. Name of project: Pacheco Creek Levee Repair

C. Location of project: Near 7800 Lovers Lane, Hollister, California

D. Brief description of the work involved: Repair of levees along Pacheco Creek at 2 locations.
   Fill breaches with new material and add Rip Rap to help protect for future.

E. Contract amount: $446,500

F. Claims amount: None

G. Liquidated damages assessed: None

H. Date of completion of contract: 12/29/2017

I. Name, address, and telephone number of design firm’s architect or engineer:
   Mark Moehling - Natural Resources Conservation Service
   744-A La Guardia Street, Salinas, CA 93905 831-424-1036 x 122

J. Name of owner’s project manager: None

K. Declaration of Default (Yes or No): No

L. Litigation on questions of project performance: None

M. Determination of failure to pay prevailing wages or other state and/or federally required taxes or contributions: None

N. Citations by federal OSHA or CAL OSHA: None

District reserves the right to verify the above information.

Signature Block (Signature Block must be completed in ink and changes must be initialed.)

Bidder's Signature: 
Date: 5/4/2021

Bidder's Name and Title (Print): Rodney Jenny, Executive Vice President
PRIOR CONSTRUCTION CONTRACTS

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Respond to each item, indicating “none” where appropriate.

A. Name, address, and telephone number of owner: Santa Clara Valley Water District 5750 Almaden Expressway, San Jose, CA 95118 (408) 630-3794

B. Name of project: Regnart Creek Erosion Repair Project

C. Location of project: Leong Court, Cupertino, California

D. Brief description of the work involved: Creek water diversion, tree removal, excavation, riprap, embankment fill and hydroseed.

E. Contract amount: $372,884

F. Claims amount: None

G. Liquidated damages assessed: None

H. Date of completion of contract: November 3, 2016

I. Name, address, and telephone number of design firm’s architect or engineer:
   Santa Clara Valley Water District - Tim Bramer - (408) 630-3794
   5750 Almaden Expressway, San Jose, CA 95118

J. Name of owner’s project manager: Tim Bramer

K. Declaration of Default (Yes or No): No

L. Litigation on questions of project performance: None

M. Determination of failure to pay prevailing wages or other state and/or federally required taxes or contributions: None

N. Citations by federal OSHA or CAL OSHA: None

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Bidder’s Signature: Rodney Jenny, Executive Vice President

Date: 5/4/2021

Bidder’s Name and Title (Print): Rodney Jenny, Executive Vice President

(Rev. 04/15/2021)