Honorable Board of Directors  
Santa Clara Valley Water District (District)

Pursuant to, and in compliance with, the Notice to Bidders and the Contract Documents, relating to the **PENITENCIA WATER TREATMENT PLANT REHABILITATION OF FLOCCULATION AND SEDIMENTATION BASIN PROJECT**, the undersigned Bidder having become thoroughly familiar with the terms and conditions of the Contract Documents and with local conditions affecting the performance and costs of the Work and having fully inspected the Work site in all particulars, hereby proposes and agrees to fully perform the Work, including providing any and all labor and materials and performing all Work required to construct and complete said Work within the contract time stated and in accordance with the requirements of the Contract Documents, for the following sum of money.

The undersigned Bidder agrees to complete all the Work within **433** calendar days from the first chargeable day of the Contract, as stated in the Notice to Begin Work. The Bidder agrees to enter into a Contract with the District and provide the required bonds and insurance in accordance with the Instructions to Bidders, Contract Bonds, paragraph #22 and Execution of Contract, paragraph #23. If the Bidder fails to meet these requirements within the time specified in the Instruction to Bidders, Failure to Execute Contract, paragraph #24, the Bidder’s security accompanying this Proposal may be forfeited and become the property of the District. No Contract exists until all Contract bonds and insurance documents have been accepted by the District.

TOTAL BID: **$5,312,000**

Bidder acknowledges receipt of the following Addenda to the Bid Documents:
Addenda are posted online at [https://www.valleywater.org/construction](https://www.valleywater.org/construction).

☐ NO Addenda received

☒ Addenda received as follows:

<table>
<thead>
<tr>
<th>Addendum No.</th>
<th>Date</th>
<th>Addendum No.</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1-22-21</td>
<td>2</td>
<td>1-22-21</td>
</tr>
</tbody>
</table>

Failure to acknowledge receipt of an Addendum on the Bid Form is not, in itself, cause for withdrawal or rejection of Bid, if it can be established that Bidder did, in fact, receive such Addendum prior to Bid opening.

The undersigned Bidder has read and, understands, and will comply with, each and all of the requirements specified in these Bid Documents. This Proposal must be signed by an authorized representative of the Bidder with the authority to bind the Bidder.

**BIDDER’S COMPANY INFORMATION**

<table>
<thead>
<tr>
<th>NAME:</th>
<th>GSE Construction Company Inc.</th>
</tr>
</thead>
<tbody>
<tr>
<td>ADDRESS:</td>
<td>7633 Southfront Road, Suite 160</td>
</tr>
<tr>
<td></td>
<td>Livermore, CA 94551</td>
</tr>
<tr>
<td>CONTRACTOR’S CALIFORNIA LICENSE NUMBER:</td>
<td>401498</td>
</tr>
<tr>
<td>DATE OF EXPIRATION:</td>
<td>03/31/2021</td>
</tr>
<tr>
<td>LICENSE CLASSIFICATION(S):</td>
<td>A, B, C16, C36, C-61/D21</td>
</tr>
<tr>
<td>PHONE NO.:</td>
<td>(925) 447-0292</td>
</tr>
<tr>
<td>EMAIL ADDRESS:</td>
<td><a href="mailto:estimating@gseconstruction.com">estimating@gseconstruction.com</a></td>
</tr>
<tr>
<td>FAX NO.:</td>
<td>(925) 447-0962</td>
</tr>
</tbody>
</table>

**SIGNATURE BLOCK** (Signature Block must be completed in **ink** and changes must be **initialed**.)

Bidder’s Signature: [Signature]

Date: 01/27/21

Bidder’s Name and Title (Print): Steve Mazza, Chief Operating Officer
**SECTION A — BASE BID**

<table>
<thead>
<tr>
<th>ITEM NO.</th>
<th>DESCRIPTION OF ITEM</th>
<th>APPROXIMATE QUANTITY</th>
<th>UNIT PRICE</th>
<th>TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Mobilization</td>
<td>Lump Sum, Lump Sum</td>
<td>$225,000</td>
<td>$225,000</td>
</tr>
<tr>
<td>2</td>
<td>Demolition of Flocculation and Sedimentation Basin Systems</td>
<td>3 Each</td>
<td>$75,000</td>
<td>$225,000</td>
</tr>
<tr>
<td>3</td>
<td>Removal of Basin Concrete Top Coating</td>
<td>3 Each</td>
<td>$180,000</td>
<td>$540,000</td>
</tr>
<tr>
<td>4</td>
<td>Installation of New Sedimentation Equipment</td>
<td>3 Each</td>
<td>$374,000</td>
<td>$1,122,000</td>
</tr>
<tr>
<td>5</td>
<td>Installation of New Flocculation Equipment</td>
<td>3 Each</td>
<td>$550,000</td>
<td>$1,650,000</td>
</tr>
<tr>
<td>6</td>
<td>Replacement of Sludge Draw-Off Piping</td>
<td>3 Each</td>
<td>$30,000</td>
<td>$90,000</td>
</tr>
<tr>
<td>7</td>
<td>Demolition of Launder Troughs</td>
<td>3 Each</td>
<td>$5,000</td>
<td>$15,000</td>
</tr>
<tr>
<td>8</td>
<td>Installation of New Launder Troughs</td>
<td>3 Each</td>
<td>$30,000</td>
<td>$90,000</td>
</tr>
<tr>
<td>9</td>
<td>Demolition of Tube Settlers</td>
<td>3 Each</td>
<td>$15,000</td>
<td>$45,000</td>
</tr>
</tbody>
</table>

(Rev. 3/10/20)

PENITENCIA WATER TREATMENT PLANT REHABILITATION
OF FLOCCULATION AND SEDIMENTATION BASIN PROJECT
Page 11 of 21
### SECTION A — BASE BID

<table>
<thead>
<tr>
<th>ITEM NO.</th>
<th>DESCRIPTION OF ITEM</th>
<th>APPROXIMATE QUANTITY</th>
<th>UNIT PRICE</th>
<th>TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>10</td>
<td>Installation of New Tube Settlers</td>
<td>( \frac{3}{\text{Each}} )</td>
<td>$250,000</td>
<td>$750,000</td>
</tr>
<tr>
<td>11</td>
<td>Modification of Existing Pedestals for New Reel Drive</td>
<td>( \frac{3}{\text{Each}} )</td>
<td>$10,000</td>
<td>$30,000</td>
</tr>
<tr>
<td>12</td>
<td>Installation of New Electrical and Control Systems</td>
<td>( \frac{3}{\text{Each}} )</td>
<td>$25,000</td>
<td>$75,000</td>
</tr>
</tbody>
</table>

**TOTAL BASE BID** $4,857,000
SECTION B — SUPPLEMENTAL BID ITEMS
These Bid items may or may not be required. They may be deleted entirely or in part,
By deductive change order(s), at the sole discretion of the District.

<table>
<thead>
<tr>
<th>ITEM NO.</th>
<th>DESCRIPTION OF ITEM</th>
<th>APPROXIMATE QUANTITY</th>
<th>UNIT PRICE</th>
<th>TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>13</td>
<td>Repair of Concrete Mortar Base-Coating</td>
<td>10,000</td>
<td>$17.00</td>
<td>$170,000</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Square Foot</td>
<td></td>
<td></td>
</tr>
<tr>
<td>14</td>
<td>Installation of New Epoxy Top-Coating</td>
<td>3</td>
<td>$95,000.00</td>
<td>$285,000</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Each</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Section B Subtotal $455,000

TOTAL BID (Section A Subtotal + Section B Subtotal) $5,312,000
A. This Designation of Subcontractors form must be completed in compliance with the State of California Subletting and Subcontracting Fair Practices Act, Public Contract Code §4100 et seq., and any amendment thereof. Bidder must complete the form for each Subcontract that exceeds one-half of one percent (½%) of the Bidder’s total Bid. A Subcontractor is one who: (1) performs Work or labor; or (2) provides a service to the Bidder; or (3) specially Fabricates and Installs a portion of the work according to the Contract Documents. Bidders failure to list a Subcontractor for any portion of the work in excess of ½% of Bidder’s total Bid signifies Bidder will self perform that portion of the Work with its own forces. (Note: If more than one Subcontractor is designated for the same kind of Work, state the portion that each will perform.) After the opening of the Bids, no changes or substitutions will be allowed except as otherwise provided by law. The listing of more than one subcontractor for each item of work to be performed with the words “and/or” will not be permitted. Failure to comply with this requirement may render the Bid nonresponsive and may cause its rejection.

B. Failure by a subcontractor to be registered to perform public work as required by the California Labor Code Section 1771.1 (a) shall be grounds under Section 4107 of the Public Contract Code for the Contractor, with the consent of the awarding authority, to substitute a subcontractor who is registered to perform public work pursuant to Section 1725.5 in place of the unregistered subcontractor.

<table>
<thead>
<tr>
<th>NAME</th>
<th>LOCATION (City &amp; State)</th>
<th>LICENSE NO.</th>
<th>EXPIRATION DATE</th>
<th>DIR REGISTRATION NO.</th>
<th>EXPIRATION DATE</th>
<th>TYPE OF WORK</th>
<th>% of TOTAL CONTRACT</th>
</tr>
</thead>
<tbody>
<tr>
<td>Blocka Construction Inc</td>
<td>Pleasanton, CA</td>
<td>699326</td>
<td>10/31/21</td>
<td>10000000497</td>
<td>6/30/22</td>
<td>Electrical</td>
<td>1.2%</td>
</tr>
<tr>
<td>Techno Coatings</td>
<td>Anaheim, CA</td>
<td>294517</td>
<td>9/30/21</td>
<td>10000005041</td>
<td>6/30/22</td>
<td>Coating</td>
<td>17.1%</td>
</tr>
</tbody>
</table>

---

**SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)**

Bidder’s Signature: ____________________________

Date: 01/27/21

Bidder’s Name and Title (Print): Steve Mazza, Chief Operating Officer
In accordance with Public Contract Code Section 7106, __________ [Signature: Steve Mazza]
(Bidder's full name)
declares that he or she is __________ [Title: Chief Operating Officer]
(Bidder's title)
of __________ [Company: GSE Construction Company, Inc.]
(Company's name)
the party making the foregoing Bid that the Bid is not made in the interest of, or on behalf of, any disclosed person, partnership, company, association, organization, or corporation; that the Bid is genuine and not collusive or sham; that the Bidder has not directly or indirectly induced or solicited any other Bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any Bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the Bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the Bid price of the Bidder or any other Bidder, or to fix any overhead, profit, or cost element of the Bid price, or of that of any other Bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the Bid are true; and, further, that the Bidder has not, directly or indirectly, submitted the Bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, Bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

I certify (or declare) under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)

Bidder's Signature: [Signature]
Date: 1/27/2021
Bidder's Name and Title (Print): Steve Mazza, Chief Operating Officer
BE IT KNOWN BY THESE PRESENTS,

That we, ________________________________, as PRINCIPAL,
and ________________________________, as SURETY,

are held and firmly bound unto the Santa Clara Valley Water District, hereinafter called the District, in the penal sum of TEN PERCENT (10%) OF THE TOTAL AMOUNT OF THE PROPOSAL of the Principal above named, submitted by said Principal to the Santa Clara Valley Water District, for the work described below, for the payment of which sum is lawful money of the United States, well and truly to be made, we bind ourselves, our heirs, executors, administrators and successors, jointly and severally, firmly by these presents. In no case shall the liability of the surety hereunder exceed the sum of $ Ten Percent (10%) of the Total Bid Amount.

THE CONDITION OF THIS OBLIGATION IS SUCH,

That whereas the Principal has submitted the above mentioned Proposal to the District, for certain construction specifically described as follows, for which Proposals are to be opened at San Jose, California, on 1/27 __, 2021, (or such other date as specified per Addendum) for Penitencia Water Treatment Plant Rehabilitation of Flocculation and Sedimentation Basins Project

NOW, THEREFORE, if the aforesaid Principal is awarded the Contract and, within the time and manner required under the Contract Documents, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the Proposal, and files originals (copies are unacceptable) of the two bonds with the District, one to guarantee faithful performance and the other to guarantee payment for labor and materials, as required by law, then this obligation shall be null and void; otherwise, it shall be and remain in full force and virtue.

In the event suit is brought upon this bond by the obligee and judgment is recovered, the surety shall pay all costs incurred by the obligee in such suit, including a reasonable attorney’s fee to be fixed by the court.

IN WITNESS WHEREOF, we have hereunto set our hands and seal on the ___15th___ day of December, 2021

PRINCIPAL: GSE Construction Company, Inc.  
Signature ____________________________  
Name Steve Mazza  
Title Chief Operating Officer  
Address 7633 Southfront Road, Suite 160  
Livermore, CA 94551  

SURETY: Fidelity and Deposit Company of Maryland  
Signature ____________________________  
Name Stanley J. Matranga  
Title Attorney-In-Fact  
Address 525 Market Street, Suite 2900  
San Francisco, CA 94105  

NOTE:  
1. Original Bidder’s Bond documents are required; copies are unacceptable.  
2. Signature of those executing for Surety must be properly acknowledged.
ZURICH AMERICAN INSURANCE COMPANY
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY
FIDELITY AND DEPOSIT COMPANY OF MARYLAND
POWER OF ATTORNEY

KNOW ALL MEN BY THESE PRESENTS: That the ZURICH AMERICAN INSURANCE COMPANY, a corporation of the State of New York, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, a corporation of the State of Illinois, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND a corporation of the State of Illinois (herein collectively called the "Companies"), by Robert D. Murray, Vice President, in pursuance of authority granted by Article V, Section 8, of the By-Laws of said Companies, which are set forth on the reverse side hereof and are hereby certified to be in full force and effect on the date hereof, do hereby nominate, constitute, and appoint Stanley J. MATRANGA and Eric V. MATRANGA, both of Granite Bay, California, EACH, its true and lawful agent and Attorney-in-Fact, to make, execute, seal and deliver, for, and on its behalf as surety, and as its act and deed: any and all bonds and undertakings, and the execution of such bonds or undertakings in pursuance of these presents, shall be as binding upon said Companies, as fully and amply, to all intents and purposes, as if they had been duly executed and acknowledged by the regularly elected officers of the ZURICH AMERICAN INSURANCE COMPANY at its office in New York, New York., the regularly elected officers of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at its office in Owings Mills, Maryland., and the regularly elected officers of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at its office in Owings Mills, Maryland., in their own proper persons.

The said Vice President does hereby certify that the extract set forth on the reverse side hereof is a true copy of Article V, Section 8, of the By-Laws of said Companies, and is now in force.

IN WITNESS WHEREOF, the said Vice-President has hereunto subscribed his/her names and affixed the Corporate Seals of the said ZURICH AMERICAN INSURANCE COMPANY, COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and FIDELITY AND DEPOSIT COMPANY OF MARYLAND, this 13th day of August, A.D. 2019.

ATTEST:

ZURICH AMERICAN INSURANCE COMPANY
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY
FIDELITY AND DEPOSIT COMPANY OF MARYLAND

By: Robert D. Murray
Vice President

By: Dawn E. Brown
Secretary

State of Maryland
County of Baltimore

On this 13th day of August, A.D. 2019, before the subscriber, a Notary Public of the State of Maryland, duly commissioned and qualified, Robert D. Murray, Vice President and Dawn E. Brown, Secretary of the Companies, to me personally known to be the individuals and officers described in and who executed the preceding instrument, and acknowledged the execution of same, and being by me duly sworn, deposeseth and saith, that he/she is the said officer of the Company aforesaid, and that the seals affixed to the preceding instrument are the Corporate Seals of said Companies, and that the said Corporate Seals and the signature as such officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Corporations.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal the day and year first above written.

Constance A. Dunn, Notary Public
My Commission Expires: July 9, 2023
CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
County of Placer

On December 15, 2020 before me, Eric Matranga, Notary Public

Date

personally appeared Stanley J. Matranga

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature

Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document
Title or Type of Document: __________________________ Document Date: __________________________
Number of Pages: _______ Signer(s) Other Than Named Above: __________________________

Capacity(ies) Claimed by Signer(s)
Signer’s Name: __________________________
☐ Corporate Officer — Title(s): __________________________
☐ Partner — ☐ Limited ☐ General
☐ Individual ☐ Attorney in Fact
☐ Trustee ☐ Guardian or Conservator
☐ Other: __________________________

Signer Is Representing: __________________________

Signer’s Name: __________________________
☐ Corporate Officer — Title(s): __________________________
☐ Partner — ☐ Limited ☐ General
☐ Individual ☐ Attorney in Fact
☐ Trustee ☐ Guardian or Conservator
☐ Other: __________________________

Signer Is Representing: __________________________

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CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
County of __San Joaquin__

On __12-29-20__ before me, __Debra A. Evans__

_Date_

personally appeared __Steve Mazza__

_Date_

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature __Signature of Notary Public__

Place Notary Seal Above

OPTIONAL

Though this section is optional, completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

Description of Attached Document

Title or Type of Document: ___________________________ Document Date: ____________

Number of Pages: ________ Signer(s) Other Than Named Above: __________________________

Capacity(ies) Claimed by Signer(s)

Signer’s Name: ____________________________

☐ Corporate Officer — Title(s):
☐ Partner — ☐ Limited ☐ General
☐ Individual ☐ Attorney in Fact
☐ Trustee ☐ Guardian or Conservator
☐ Other: ____________________________

Signer Is Representing: ____________________________

Signer’s Name: ____________________________

☐ Corporate Officer — Title(s):
☐ Partner — ☐ Limited ☐ General
☐ Individual ☐ Attorney in Fact
☐ Trustee ☐ Guardian or Conservator
☐ Other: ____________________________

Signer Is Representing: ____________________________

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G.S.E. Construction Company, Inc.

CORPORATE RESOLUTION

The undersigned, constituting all of the directors of G.S.E. Construction Company, Inc., hereby adopt the recitals and resolutions as shown below. This action is taken in accordance with the provisions of Section 307(b) of the California General Corporation Law, which authorized the Board of Directors to take any action without a meeting may be taken at any annual or special meeting if the board consents in writing to the action. The effective date of the actions taken by this unanimous written consent is January 7, 2020

WHEREAS, construction contracts and proposals are executed only by authorized agents of the corporation.

RESOLVED, that the directors reaffirm that the following persons, with titles as designated, are authorized to perform the roles and responsibilities on behalf of G.S.E. Construction Company, Inc.

<table>
<thead>
<tr>
<th>NAME</th>
<th>TITLE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dennis Gutierrez</td>
<td>President</td>
</tr>
<tr>
<td>Steve Mazza</td>
<td>Chief Operating Officer (COO)</td>
</tr>
<tr>
<td>Nate Jones</td>
<td>Vice President</td>
</tr>
<tr>
<td>Richard Harte</td>
<td>Vice President</td>
</tr>
<tr>
<td>Iris Villasenor Sosa</td>
<td>Secretary</td>
</tr>
</tbody>
</table>

CERTIFICATION OF SECRETARY

I certify that:

I am duly qualified and acting as Secretary of G.S.E. Construction Company, Inc., a licensed California Corporation.

The foregoing is a true copy of a resolution duly adopted by the board of Directors of the corporation at a special meeting duly held on January 6, 2020 and entered in the minutes of such meeting in the minute book of the corporation.

The resolution is in conformity with the articles of incorporation and bylaws of the corporation, has never been modified or repeated, and is now in full force and effect.

Dated: January 7, 2020

[Signature]
Iris Sosa, Secretary
Iran Contracting Act Bid Certification
(Public Contract Code Sections 2202–2208)
Must be Completed for any Proposal of $1,000,000 or more

Public Contract Code (PCC) Sections 2200–2208 are known as the Iran Contracting Act of 2010 and prohibit public entities from contracting with companies that have specified business activities in Iran's petroleum sector. Companies seeking to bid on state or local government contracts are required to certify that they are not engaged in developing Iran's petroleum resources.

Prior to bidding on, submitting a proposal or executing a contract or renewal for a Santa Clara Valley Water District contract for goods or services of one million dollars ($1,000,000 or more), a person (vendor, firm, corporation, etc.) must either: a) certify it is not on the current list of persons engaged in investment activities in Iran created by the California Department of General Services ("DGS") pursuant to Public Contract Code Section 2203(b) and is not a financial institution extending twenty million dollars ($20,000,000) or more in credit to another person, for 45 days or more, if that other person will use the credit to provide goods or services in the energy sector in Iran and is identified on the current list of persons engaged in investment activities in Iran created by DGS; or b) demonstrate it has been exempted from the certification requirement for that solicitation or contract pursuant to Public Contract Code Section 2203(c) or (d). The DGS list is posted online at: (www.dgs.ca.gov, search "Iran Contracting Act List").

To comply with this requirement, please complete one of the options below. Please note: California law establishes penalties for providing false certifications, including civil penalties equal to the greater of $250,000 or twice the amount of the contract for which the false certification was made; contract termination; and three-year ineligibility to bid on contracts. (Public Contract Code Section 2205.)
Please complete one of the options below (see prior page for additional explanation).

OPTION NO. 1—CERTIFICATION

I, the official named below, certify I am duly authorized to execute this certification on behalf of the person/financial institution identified below, and the person/financial institution identified below is not on the current list of persons engaged in investment activities in Iran created by DGS and is not a financial institution extending twenty million dollars ($20,000,000) or more in credit to another person/vendor, for 45 days or more, if that other person/vendor will use the credit to provide goods or services in the energy sector in Iran and is identified on the current list of persons engaged in investment activities in Iran created by DGS.

| Name of Person (Vendor, Firm, Corporation, etc.)/Financial Institution (Printed): | Federal ID No. (or n/a): |
| GSE Construction Company Inc. | 94-2667247 |

By (Authorized Signature):

Printed Name and Title of Person Signing:
Steve Mazza, Chief Operating Officer

Date Executed: 1/27/21 Executed in (City & State): Livermore, CA

OPTION NO. 2—EXEMPTION

Pursuant to Public Contract Code Sections 2203(c) and (d), a public entity may permit a person/financial institution engaged in investment activities in Iran, on a case-by-case basis, to be eligible for, or to bid on, submit a proposal for, or enter into or renew, a contract for goods and services.

If you have obtained an exemption from the certification requirement under the Iran Contracting Act, please fill out the information below, and attach documentation demonstrating the exemption approval.

| Name of Person (Vendor, Firm, Corporation, etc.)/Financial Institution (Printed): | Federal ID No. (or n/a): |

| By (Authorized Signature): |

| Printed Name and Title of Person Signing: |

| Date Executed: | Executed in (City & State): |
Timely submission of these Forms is considered material by the District.

The Bidders submitting the three (3) apparent low Bids must complete and submit this Bidder’s General Information, Bid Form 7, including the required attachments. The Bidder may be considered not responsible based on information provided on this Form. Additional sheets may be attached as required.

1. Number of years as a contractor in construction work of this type: 40 years

2. Names and titles of all officers of Bidder’s firm:
   
   Dennis Gutierrez, President
   Nate Jones, Vice President
   Richard Harte, Vice President
   Steve Mazza, Chief Operating Officer
   Iris Sosa, Secretary

3. Name of person(s) who inspected site of proposed work for your firm:
   
   Name: Behzad Nazari
   Date of Inspection: 01/06/21

4. Name, address, and telephone number of surety company and agent who will provide the required bonds for this Contract:
   
   Fidelity and Deposit Company of Maryland
   525 Market Street, Suite 2900, San Francisco, CA 94105
   Matranga Bonds & Insurance Services
   P.O. Box 428
   Roseville, CA 95661

5. Résumé of Designated Authorized Representative: Attach the résumé of the person who will be designated as authorized representative. The Contractor’s authorized representative must have requisite background and experience to administer and oversee the Work on behalf of the Contractor. The representative must have complete authority to represent and act for the Contractor as stated in Standard Provisions, Contractor Staffing, Article 3.03.

6. Prior Construction Contracts: Provide details of all construction contracts similar in scope and complexity to this Project that your organization has performed during the last 5 years. Use the PRIOR CONSTRUCTION CONTRACTS form (page 3 of this Bid Form 7) for each project.

7. Claims and lawsuits: if the answer to any of the questions below is “yes” explain the circumstances on an attached sheet.

7.1 Has your organization ever failed to complete an awarded contract?

☐ Yes ☒ No
7.2 Are there any judgments, claims, alternate dispute resolution proceedings or suits pending or outstanding against your organization or its officers?
☐ Yes    ☒ No

7.3 Has your organization filed any lawsuits or requested an alternate dispute resolution with regard to construction contracts within the last 5 years?
☐ Yes    ☒ No

8. The Bidder hereby declares that the Bidder has not been convicted, within the preceding 3 years, of any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of any public work contract with any public entity. The term “Bidder” includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof.

9. Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation?
☐ Yes    ☒ No

If the answer is “yes,” explain the circumstances in the following space:

N/A

10. The Bidder signing for Contractor certifies that neither Bidder nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department or agency.

District reserves the right to verify the above information.

I STATE UNDER PENALTY OF PERJURY THAT EACH AND ALL OF THE STATEMENTS I HAVE MADE IN RESPONSE TO PARAGRAPHS 8, 9 & 10 ABOVE ARE TRUE AND CORRECT.

SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)

Bidder’s Signature: 
Date: 1/27/21

Bidder’s Name and Title (Print):  Steve Mazza, Chief Operating Officer
BRIAN DESCHAINE
SENIOR PROJECT MANAGER

Brian has 26 years of engineering and construction experience, 15 with GSE Construction. He obtained his engineering Degree from UC Berkeley and went on to receive his PE in Chemical Engineering. Brian has a wide range of California based construction experience including alternative delivery on projects such as water treatment and conveyance, environmental remediation and HVAC for the high tech industry. Brian is particularly adept at water treatment facility projects and sites with complex mechanical systems or that have shoring or dewatering requirements. He will be the primary point of contact for the water treatment plant construction with responsibility for all aspects of client satisfaction including quality, safety, coordination, schedule and startup and commissioning.

PROJECT EXPERIENCE

Modesto Phase 2 BNR/Tertiary WWTP Project
City of Modesto, CA - $102,310,540

Project Manager: This project, the largest public works project in Modesto history, was a 12.7 MGD tertiary treatment process suitable for river discharge. The process included primary effluent pump station, internally fed rotary drum fine screens, biological nutrient removal (BNR), aeration system, membrane bioreactor tanks, UV disinfection and a tertiary effluent pump station and standby generators. Several buildings were constructed including Main Electrical Building, Aeration Blower Building, Operations Center and Maintenance Shop. The project required significant shoring and dewatering systems for construction and included the installation of two miles of 36” carbon steel TE pipeline. Additionally substantial site improvements were required including the removal and relocation of 70,000 CY compost waste facility and the addition of a new compost shop building, office facility, grading facility and truck scale.

Rio Vista Water Treatment Plant Expansion
City of Santa Clarita, CA - $38,791,093

Project Manager: Project included demolition of existing water and sludge pump stations and underground storage tanks. New construction included ozone generation and feed station, 6 sets of clarifiers and GAC filters, new building, chlorine storage, standby generators and upgrades to the chemical feed system. Site piping up to 102” was installed and several storage facilities and remote vaults were upgraded.
PRIOR CONSTRUCTION CONTRACTS

The Bidder may make as many copies of this page as necessary. Bidder may provide the required information on an alternative document. Bidder must submit a signed original of this page 3 of Bid Form 7.

Respond to each item, indicating "none" where appropriate.

673

A. Name, address, and telephone number of owner: County of Kern
   1115 Truxtun Avenue, 3rd floor, Bakersfield, CA 93301 - (661) 868-3020

B. Name of project: Lerdo Campus Water/Wastewater Improvements

C. Location of project: 17225 Quality Rd., Bakersfield, CA 93308

D. Brief description of the work involved: Equipping two water wells for potable use, including new vertical turbine pumps, steel 227,000 gallon chlorine contact and 1.7M gallon potable storage tanks, pressure boosting and fire protection pump stations, chemical handling, storage, and dosing facility with double-contained injection piping, control and laboratory operations facility, electrical building with standby generators, and distribution piping

E. Contract amount: $18,023,581.00

F. Claims amount: NONE

G. Liquidated damages assessed: NONE

H. Date of completion of contract: March 2017

I. Name, address, and telephone number of design firm's architect or engineer: Provost & Pritchard - Kevin Barryhill
   1800 30th Street, Suite 280 Bakersfield, CA 93301 - (661) 616-5900

J. Name of owner's project manager: Kim Domingo

K. Declaration of Default (Yes or No): NO

L. Litigation on questions of project performance: NONE

M. Determination of failure to pay prevailing wages or other state and/or federally required taxes or contributions: NONE

N. Citations by federal OSHA or CAL OSHA: NONE

District reserves the right to verify the above information.

SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)

Bidder's Signature: [Signature]
Date: 1/27/2021
Bidder's Name and Title (Print): Steve Mazza - Chief Operating Officer
PRIOR CONSTRUCTION CONTRACTS

The Bidder may make as many copies of this page as necessary. Bidder may provide the required information on an alternative document. Bidder must submit a signed original of this page 3 of Bid Form 7.

Respond to each item, indicating "none" where appropriate.

A. Name, address, and telephone number of owner: Foster Farms
   1000 Davis Street, Livingston, CA 95334  (209)394-6931

B. Name of project: Foster Farms WWPS Upgrades

C. Location of project: 843 Davis Street, Livingston, CA 95335

D. Brief description of the work involved: Furnish and install Cathodic Protection Adder & Mill Finish SS Waste Water Storage Tank

E. Contract amount: $4,145,320.00

F. Claims amount: NONE

G. Liquidated damages assessed: NONE

H. Date of completion of contract: May 2017

I. Name, address, and telephone number of design firm's architect or engineer:
   Welty Engineering - 521 13th Street, Modesto, CA 95354
   (209) 526-1515

J. Name of owner's project manager: Kevin Jordahl

K. Declaration of Default (Yes or No): NO

L. Litigation on questions of project performance: NONE

M. Determination of failure to pay prevailing wages or other state and/or federally required taxes or contributions: NONE

N. Citations by federal OSHA or CAL OSHA: NONE

District reserves the right to verify the above information.

SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed)

Bidder's Signature: [Signature]

Date: 1/27/2021

Bidder's Name and Title (Print): Steve Mazza - Chief Operating Officer
PRIORITY CONSTRUCTION CONTRACTS

The Bidder may make as many copies of this page as necessary. Bidder may provide the required information on an alternative document. Bidder must submit a signed original of this page 3 of Bid Form 7.

Respond to each item, indicating "none" where appropriate.

699  
A. Name, address, and telephone number of owner: Dublin San Ramon Service District  
7051 Dublin Blvd, Dublin, CA 94568 - (925) 875-2251

B. Name of project: Anaerobic Digester No. 4 & Fog Receiving Facility Project

C. Location of project: 7399 Johnson Drive, Pleasanton, CA 94588


E. Contract amount: $8,488,162.00

F. Claims amount: NONE

G. Liquidated damages assessed: NONE

H. Date of completion of contract: May 2019

I. Name, address, and telephone number of design firm's architect or engineer: Tenaka Design Group  
360 Langton Street, Suite 102, San Francisco, CA 94103 - (415) 863-7800

J. Name of owner's project manager: Rudy Portugal

K. Declaration of Default (Yes or No): NO

L. Litigation on questions of project performance: NONE

M. Determination of failure to pay prevailing wages or other state and/or federally required taxes or contributions: NONE

N. Citations by federal OSHA or CAL OSHA: NONE

District reserves the right to verify the above information.

SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed)

Bidder's Signature: 

Date: 1/27/2021

Bidder's Name and Title (Print): Steve Mazza - Chief Operations Officer
PRIOR CONSTRUCTION CONTRACTS

The Bidder may make as many copies of this page as necessary. Bidder may provide the required information on an alternative document. Bidder must submit a signed original of this page 3 of Bid Form 7.

Respond to each item, indicating “none” where appropriate.

A. Name, address, and telephone number of owner: City of Los Banos
   411 Madison Avenue, Los Banos, CA 93635 (209) 827-2448

B. Name of project: WWTP New Headworks Project

C. Location of project: 17963 Henry Miller Road, Los Banos, CA 93635

D. Brief description of the work involved: Construction of new process facilities and improvements of the existing facilities

E. Contract amount: $6,035,832.00

F. Claims amount: NONE

G. Liquidated damages assessed: NONE

H. Date of completion of contract: December 2018

I. Name, address, and telephone number of design firm’s architect or engineer: Fred Pezeshk, P.E. - 411 Madison Avenue, Los Banos, CA 93635 - (209) 827-7056

J. Name of owner’s project manager: William Via

K. Declaration of Default (Yes or No): NO

L. Litigation on questions of project performance: NONE

M. Determination of failure to pay prevailing wages or other state and/or federally required taxes or contributions: NONE

N. Citations by federal OSHA or CAL OSHA: NONE

District reserves the right to verify the above information.

SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)

Bidder’s Signature: ____________________________ Date: 1/27/2021

Bidder’s Name and Title (Print): Steve Mazza - Chief Operating Officer
PRIOR CONSTRUCTION CONTRACTS

The Bidder may make as many copies of this page as necessary. Bidder may provide the required information on an alternative document. Bidder must submit a signed original of this page 3 of Bid Form 7.

Respond to each item, indicating "none" where appropriate.

A. Name, address, and telephone number of owner:  City of Oxnard
   305 W. Third Street, East Wing, 2nd floor Oxnard, CA 93030  (805) 276-7141

B. Name of project:  Oxnard OWTP Emergency Repairs Project

C. Location of project:  6001 Perkins Road, Oxnard, CA, 93030

D. Brief description of the work involved: Improvements to the four primary clarifiers, Improvements to bio-towers, Replacement of four belt filters presses, misc improvements in solids, Processing Building, Improvements to the Laboratory Air Handling System.

E. Contract amount:  $5,014,594.00

F. Claims amount:  NONE

G. Liquidated damages assessed:  NONE

H. Date of completion of contract:  July 2020

I. Name, address, and telephone number of design firm's architect or engineer:
   AECOM - 1220 Avenida Acasa, Camarillo, CA 93012 - (805) 388-3775
   KEH - 2901 N. Ventura Road Suite 180, Oxnard, CA 93036 - (805) 981-1000

J. Name of owner's project manager:  Jorge Espinoza

K. Declaration of Default (Yes or No):  NO

L. Litigation on questions of project performance:  NONE

M. Determination of failure to pay prevailing wages or other state and/or federally required taxes or contributions:  NONE

N. Citations by federal OSHA or CAL OSHA:  NONE

District reserves the right to verify the above information.

SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)

Bidder's Signature:  
Date:  1/27/2021

Bidder's Name and Title (Print):  Steve Mazza - Chief Operating Officer
Contractor Information

Legal Entity Name
GSE CONSTRUCTION COMPANY INC.

Legal Entity Type
Corporation

Status
Active

Registration Number
1000000077

Registration effective date
7/1/2020

Registration expiration date
6/30/2021

Mailing Address
7633 Southfront Road, Suite 160 LIVERMORE 94551 CA Uni...

Physical Address
7633 Southfront Road, Suite 160 LIVERMORE 94551 CA Uni...

Email Address
Trade Name/DBA
License Number(s)
CSLB:401498
CSLB:401498

Registration History

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Legal Entity Information

Agent of Service Name:
GSE Construction Co., Inc.

Agent of Service Mailing Address:
7633 Southfront Road, Suite 160 Livermore 94551 CA United States of America

Corporation Number:
Federal Employment Identification Number:
President Name:
DENNIS GUTIERREZ
Vice President Name:
Treasurer Name:
Secretary Name:
IRIS SOSA
CEO Name:

Workers Compensation

Do you lease employees through Professional Employer Organization (PEO)?:
No

Please provide your current workers compensation insurance information below:

PEO Information Name  Phone  Email

Insured by Carrier
Policy Holder Name: GSE CONSTRUCTION COMPANY, INC.
Insurance Carrier:
Travelers Property Casualty Company of America
Policy Number: UB-3R384512
Inception date: 9/30/2020
Expiration Date: 9/30/2021
401498  CORP
G S E CONSTRUCTION COMPANY INC

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