



REVISED BID FORM NO. 1
Proposal and Bid Items

This form must be completed in ink and changes must be initialed.

Honorable Board of Directors
Santa Clara Valley Water District (District)

Pursuant to, and in compliance with, the Notice to Bidders and the Contract Documents, relating to the **LOWER CALERA CREEK FLOOD PROTECTION PROJECT**, the undersigned Bidder having become thoroughly familiar with the terms and conditions of the Contract Documents and with local conditions affecting the performance and costs of the Work and having fully inspected the Work site in all particulars, hereby proposes and agrees to fully perform the Work, including providing any and all labor and materials and performing all Work required to construct and complete said Work within the contract time stated and in accordance with the requirements of the Contract Documents, for the following sum of money.

The undersigned Bidder agrees to complete all the Work within 1,720 calendar days from the first chargeable day of the Contract, as stated in the Notice to Begin Work. The Bidder agrees to enter into a Contract with the District and provide the required bonds and insurance in accordance with the Instructions to Bidders, Contract Bonds, paragraph #22 and Execution of Contract, paragraph #23. If the Bidder fails to meet these requirements within the time specified in the Instruction to Bidders, Failure to Execute Contract, paragraph #24, the Bidder's security accompanying this Proposal may be forfeited and become the property of the District. No Contract exists until all Contract bonds and insurance documents have been accepted by the District.

TOTAL BID: \$ 19,445,000⁰⁰

Bidder acknowledges receipt of the following Addenda to the Bid Documents:
Addenda are posted online at <https://www.valleywater.org/construction>.

NO Addenda received

Addenda received as follows:

Addendum No. 1 Date 2/2/2021 Addendum No. 3 Date 2/26/2021
Addendum No. 2 Date 2/22/2021 Addendum No. _____ Date _____

Failure to acknowledge receipt of an Addendum on the Bid Form is not, in itself, cause for withdrawal or rejection of Bid, if it can be established that Bidder did, in fact, receive such Addendum prior to Bid opening.



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SECTION A — BASE BID

ITEM NO.	DESCRIPTION OF ITEM	APPROXIMATE QUANTITY UNIT	UNIT PRICE	TOTAL
1	COMPLIANCE WITH NPDES GENERAL PERMIT	<u>Lump Sum</u> Lump Sum	\$ 250,000 ⁰⁰	250,000 ⁰⁰
2	POTHOLE	25 Pothole	\$ 1,200 ⁰⁰	30,000 ⁰⁰
3	MONITORING SURVEYS	<u>Lump Sum</u> Lump Sum	\$ 25,000 ⁰⁰	25,000 ⁰⁰
4	NOISE POLLUTION AND VIBRATION MONITORING	<u>10</u> Monitoring Location	\$ 10,000 ⁰⁰	100,000 ⁰⁰
5	MIGRATORY BIRDS	<u>Lump Sum</u> Lump Sum	\$ 100,000 ⁰⁰	100,000 ⁰⁰
6	CONTRACTOR'S QUALITY CONTROL	<u>Lump Sum</u> Lump Sum	\$ 300,000 ⁰⁰	300,000 ⁰⁰
7	MOBILIZATION AND DEMOBILIZATION	<u>Lump Sum</u> Lump Sum	\$ 1,925,000 ⁰⁰	1,925,000 ⁰⁰
8	CLEARING AND GRUBBING	<u>Lump Sum</u> Lump Sum	\$ 200,000 ⁰⁰	200,000 ⁰⁰
9	DEMOLITION AND DISPOSAL	<u>Lump Sum</u> Lump Sum	\$ 445,000 ⁰⁰	445,000 ⁰⁰



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ITEM NO.	DESCRIPTION OF ITEM	APPROXIMATE QUANTITY UNIT	UNIT PRICE	TOTAL
10	CONTROL OF WATER	<u>Lump Sum</u> Lump Sum	\$ 400,000 ⁰⁰	400,000 ⁰⁰
11	CHANNEL EXCAVATION	17,500 Cubic Yard	\$ 62 ⁰⁰	1,085,000 ⁰⁰
12	TOPSOIL	2,300 Cubic Yard	\$ 50 ⁰⁰	115,000 ⁰⁰
13	CHANNEL EMBANKMENT	8,300 Cubic Yard	\$ 50 ⁰⁰	415,000 ⁰⁰
14	ROCK PROTECTION	<u>Lump Sum</u> Lump Sum	\$ 100,000 ⁰⁰	100,000 ⁰⁰
15	SHORING	<u>Lump Sum</u> Lump Sum	\$ 2,000,000 ⁰⁰	2,000,000 ⁰⁰
16	EXTERIOR FLATWORK WITHIN CITY RIGHT OF WAY	<u>Lump Sum</u> Lump Sum	\$ 100,000 ⁰⁰	100,000 ⁰⁰
17	MAINTENANCE ROAD AND MAINTENANCE ACCESS	<u>Lump Sum</u> Lump Sum	\$ 380,000 ⁰⁰	380,000 ⁰⁰
18	SITE UTILITIES	<u>Lump Sum</u> Lump Sum	\$ 350,000 ⁰⁰	350,000 ⁰⁰
19	CHAIN LINK FENCE AND GATES	<u>Lump Sum</u> Lump Sum	\$ 130,000 ⁰⁰	130,000 ⁰⁰



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ITEM NO.	DESCRIPTION OF ITEM	APPROXIMATE QUANTITY UNIT	UNIT PRICE	TOTAL
20	PASSIVE FLOOD GATE	Lump Sum Lump Sum	\$ 100,000 ⁰⁰	100,000 ⁰⁰
21	FLOOD WALLS	Lump Sum Lump Sum	\$ 10,000,000 ⁰⁰	10,000,000 ⁰⁰
22	ARCHITECTURAL TREATMENT	Lump Sum Lump Sum	\$ 50,000 ⁰⁰	50,000 ⁰⁰
23	WETLAND BROADCAST SEEDING AND PLANTING	Lump Sum Lump Sum	\$ 50,000 ⁰⁰	50,000 ⁰⁰
24	ESTABLISHMENT MAINTENANCE	Lump Sum Lump Sum	\$ 265,000 ⁰⁰	265,000 ⁰⁰
25	LOWER BERRYESSA CREEK TRAIL	Lump Sum Lump Sum	\$ 250,000 ⁰⁰	250,000 ⁰⁰
26	CITY STORM DRAIN OUTFALL MODIFICATIONS	Lump Sum Lump Sum	\$ 175,000 ⁰⁰	175,000 ⁰⁰
Section A Subtotal				\$ 19,340,000 ⁰⁰



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SECTION B — SUPPLEMENTAL BID ITEMS These Bid items may or may not be required. They may be deleted entirely or in part, by deductive change order(s), at the sole discretion of the District.				
ITEM NO.	DESCRIPTION OF ITEM	APPROXIMATE QUANTITY UNIT	UNIT PRICE	TOTAL
1	ADDITIONAL TOPSOIL	1,000 CY	\$ 65 ⁰⁰	65,000 ⁰⁰
2	CONTINGENCY IRRIGATION	20 Irrigation Event	\$ 2,000 ⁰⁰	40,000 ⁰⁰
Section B Subtotal				\$ 105,000 ⁰⁰
TOTAL BID (Section A Subtotal + Section B Subtotal)				\$ 19,445,000 ⁰⁰



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The specifications state that for Bid Item No.11 Channel Excavation, the Contractor shall dispose of surplus materials at a certified landfill and for Bid Item No.13 Channel Embankment, the Contractor shall import from a quarry. Bidder shall specify the following information used in determining the bid price for Bid Item Nos.11 and 13. Failure to indicate the source of the landfill and quarry on this Bid Form no. 1 may be considered non-responsive.

For Bid Item No. 11, Name(s) of Landfill for Disposal of Surplus Materials LMD Dirt Management

For Bid Item No. 13, Name(s) of Quarry for Import of Channel Embankment Soil Stevens Creek Quarry

The undersigned Bidder has read and, understands, and will comply with, each and all of the requirements specified in these Bid Documents. This Proposal must be signed by an authorized representative of the Bidder with the authority to bind the Bidder.

BIDDER'S COMPANY INFORMATION	
NAME: Gordon N. Ball, Inc.	ADDRESS: 333 Camille Avenue Alamo, CA 94507
CONTRACTOR'S CALIFORNIA LICENSE NUMBER: 710807	
DATE OF EXPIRATION: 8/31/2021	
LICENSE CLASSIFICATION(S): A	
PHONE No.: (925) 838.5675	FAX No.: (925) 838.5915
EMAIL ADDRESS: estimating@ballconco.com	
SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)	
Bidder's Signature: 	Date: 3/3/2021
Bidder's Name and Title (Print): Hal Stober - President, Secretary, & Treasurer	




BID FORM NO. 2
Designation of Subcontractors

This form must be completed in *ink* and changes must be *initialed*.

- A. This Designation of Subcontractors form must be completed in compliance with the State of California Subletting and Subcontracting Fair Practices Act, Public Contract Code §4100 et seq., and any amendment thereof. Bidder must complete the form below for each Subcontract that exceeds one-half of one percent (½%) of the Bidder's total Bid. A Subcontractor is one who: (1) performs Work or labor; or (2) provides a service to the Bidder; or (3) specially Fabricates and Installs a portion of the work according to the Contract Documents. Bidders failure to list a Subcontractor for any portion of the work in excess of ½% of Bidder's total Bid signifies Bidder will self perform that portion of the Work with its own forces. (Note: If more than one Subcontractor is designated for the same kind of Work, state the portion that each will perform.) After the opening of the Bids, no changes or substitutions will be allowed except as otherwise provided by law. The listing of more than one subcontractor for each item of work to be performed with the words "and/or" will not be permitted. Failure to comply with this requirement may render the Bid nonresponsive and may cause its rejection.
- B. Failure by a subcontractor to be registered to perform public work as required by the California Labor Code Section 1771.1 (a) shall be grounds under Section 4107 of the Public Contract Code for the Contractor, with the consent of the awarding authority, to substitute a subcontractor who is registered to perform public work pursuant to Section 1725.5 in place of the unregistered subcontractor.

NAME	LICENSE NO.	DIR REGISTRATION NO.	TYPE OF WORK	% of TOTAL CONTRACT
LOCATION (City & State)	EXPIRATION DATE	EXPIRATION DATE		
SSEC, Inc newcastle, CA	1039888 5/31/2022	1000058550 6/30/2021	Erosion control	0.5%
Garrison Demolition San Ramon, CA	1047936 12/31/2022	1000063040 6/30/2023	Demolition	0.9%
NO SUB			Shoring	
Oliveira Fence Santa Clara, CA	404243 8/31/2021	1000003214 6/30/2023	Fence	0.8%
C/MC Rebar Tracy, CA	778010 4/30/2022	1000000298 6/30/2022	Rebar	5.1%
Confivence Restoration Santa Cruz, CA	1064061 5/31/2022 3/31/2022	1000576339 6/30/2021	Seeding & Planting	1.7%

SIGNATURE BLOCK (Signature Block must be completed in *ink* and changes must be *initialed*.)

Bidder's Signature: 	Date: 3/3/2021
Bidder's Name and Title (Print): Hal Stober - President, Secretary, & Treasurer	

▼ Contractor's License Detail for License # 1064061

DISCLAIMER: A license status check provides information taken from the CSLB license database. Before relying on this information, you should be aware of the following limitations.

- ▶ CSLB complaint disclosure is restricted by law (B&P 7124.6) If this entity is subject to public complaint disclosure click on link that will appear below for more information. Click here for a definition of disclosable actions.
- ▶ Only construction related civil judgments reported to CSLB are disclosed (B&P 7071.17).
- ▶ Arbitrations are not listed unless the contractor fails to comply with the terms.
- ▶ Due to workload, there may be relevant information that has not yet been entered into the board's license database.

Data current as of 3/3/2021 10:06:28 AM

Business Information

CONFLUENCE RESTORATION INC
721 SEASIDE STREET
SANTA CRUZ, CA 95060
Business Phone Number:(831) 621-8084

Entity Corporation
Issue Date 03/09/2020
Expire Date 03/31/2022

License Status

This license is current and active.

All information below should be reviewed.

Classifications

C27 - LANDSCAPING

Bonding Information

Contractor's Bond

This license filed a Contractor's Bond with AMERICAN CONTRACTORS INDEMNITY COMPANY.

Bond Number: 100486410

Bond Amount: \$15,000

Effective Date: 02/12/2020

Bond of Qualifying Individual

The qualifying individual RYAN WALKER YARBROUGH certified that he/she owns 10 percent or more of the voting stock/membership interest of this company; therefore, the Bond of Qualifying Individual is not required.

Effective Date: 03/09/2020

Workers' Compensation

This license has workers compensation insurance with the OAK RIVER INSURANCE COMPANY

Policy Number: COW142884

Effective Date: 09/01/2020

Expire Date: 09/01/2021

Workers' Compensation History

Other

- ▶ Personnel listed on this license (current or disassociated) are listed on other licenses.



This form must be completed in ink and changes must be initialed.


In accordance with Public Contract Code Section 7106, Hal Stober
 (Bidder's full name)

declares that he or she is President, Secretary, & Treasurer
 (Bidder's title)

of Gordon N. Ball, Inc.
 (Company's name)

the party making the foregoing Bid that the Bid is not made in the interest of, or on behalf of, any disclosed person, partnership, company, association, organization, or corporation; that the Bid is genuine and not collusive or sham; that the Bidder has not directly or indirectly induced or solicited any other Bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any Bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the Bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the Bid price of the Bidder or any other Bidder, or to fix any overhead, profit, or cost element of the Bid price, or of that of any other Bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the Bid are true; and, further, that the Bidder has not, directly or indirectly, submitted the Bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, Bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

I certify (or declare) under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)	
Bidder's Signature: 	Date: 3/3/2021
Bidder's Name and Title (Print): Hal Stober - President, Secretary, & Treasurer	



This form must be completed in ink and changes must be initialed.

BE IT KNOWN BY THESE PRESENTS,

That we, Gordon N. Ball, Inc., as PRINCIPAL,
and Fidelity and Deposit Company of Maryland, as SURETY,

are held and firmly bound unto the Santa Clara Valley Water District, hereinafter called the District, in the penal sum of TEN PERCENT (10%) OF THE TOTAL AMOUNT OF THE PROPOSAL of the Principal above named, submitted by said Principal to the Santa Clara Valley Water District, for the work described below, for the payment of which sum is lawful money of the United States, well and truly to be made, we bind ourselves, our heirs, executors, administrators and successors, jointly and severally, firmly by these presents. In no case shall the liability of the surety hereunder exceed the sum of \$ Ten Percent (10%) of the Total Amount Bid---

THE CONDITION OF THIS OBLIGATION IS SUCH,

That whereas the Principal has submitted the above mentioned Proposal to the District, for certain construction specifically described as follows, for which Proposals are to be opened at San Jose, California, on March 3, 2021, (or such other date as specified per Addendum) for Lower Calera Creek Flood Protection Project C0666.

NOW, THEREFORE, if the aforesaid Principal is awarded the Contract and, within the time and manner required under the Contract Documents, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the Proposal, and files originals (copies are unacceptable) of the two bonds with the District, one to guarantee faithful performance and the other to guarantee payment for labor and materials, as required by law, then this obligation shall be null and void; otherwise, it shall be and remain in full force and virtue.

In the event suit is brought upon this bond by the obligee and judgment is recovered, the surety shall pay all costs incurred by the obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

IN WITNESS WHEREOF, we have hereunto set our hands and seal on the 26th day of Febrary, 2021

PRINCIPAL: Gordon N. Ball, Inc.

By: 
Signature

Hal Stober
Name

President, Secretary, & Treasurer
Title

333 Camille Avenue, Alamo, CA 94507
Address

SURETY: Fidelity and Deposit Company of Maryland

By: 
Signature

Jean L. Neu
Name (Seal)

Attorney-in-Fact
Title

525 Market Street, Suite 2900, San Francisco, CA 94105
Address

- NOTE: 1. Original Bidder's Bond documents are required; copies are unacceptable.
2. Signature of those executing for Surety must be properly acknowledged.

ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

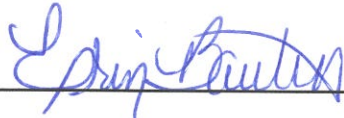
State of California
County of Santa Clara)

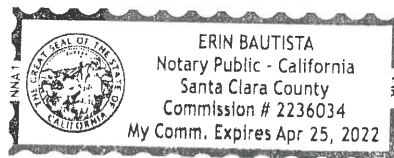
On FEB 26 2021 before me, Erin Bautista, Notary Public
(insert name and title of the officer)

personally appeared Jean L. Neu,
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/~~are~~
subscribed to the within instrument and acknowledged to me that ~~he~~/~~she~~/~~they~~ executed the same in
~~his~~/~~her~~/~~their~~ authorized capacity(ies), and that by ~~his~~/~~her~~/~~their~~ signature(s) on the instrument the
person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature  (Seal)



**ZURICH AMERICAN INSURANCE COMPANY
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY
FIDELITY AND DEPOSIT COMPANY OF MARYLAND
POWER OF ATTORNEY**

KNOW ALL MEN BY THESE PRESENTS: That the ZURICH AMERICAN INSURANCE COMPANY, a corporation of the State of New York, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, a corporation of the State of Illinois, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND a corporation of the State of Illinois (herein collectively called the "Companies"), by **Robert D. Murray**, Vice President, in pursuance of authority granted by Article V, Section 8, of the By-Laws of said Companies, which are set forth on the reverse side hereof and are hereby certified to be in full force and effect on the date hereof, do hereby nominate, constitute, and appoint, **Bryan D. MARTIN, Jean L. NEU, Erin BAUTISTA, Debra Ann PERRY and Angelina A. CAMPANO, all of San Mateo, California**, EACH, its true and lawful agent and Attorney-in-Fact, to make, execute, seal and deliver, for, and on its behalf as surety, and as its act and deed: any and all **bonds and undertakings**, and the execution of such bonds or undertakings in pursuance of these presents, shall be as binding upon said Companies, as fully and amply, to all intents and purposes, as if they had been duly executed and acknowledged by the regularly elected officers of the ZURICH AMERICAN INSURANCE COMPANY at its office in New York, New York., the regularly elected officers of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at its office in Owings Mills, Maryland., and the regularly elected officers of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at its office in Owings Mills, Maryland., in their own proper persons.

The said Vice President does hereby certify that the extract set forth on the reverse side hereof is a true copy of Article V, Section 8, of the By-Laws of said Companies, and is now in force.

IN WITNESS WHEREOF, the said Vice-President has hereunto subscribed his/her names and affixed the Corporate Seals of the said ZURICH AMERICAN INSURANCE COMPANY, COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and FIDELITY AND DEPOSIT COMPANY OF MARYLAND, this 12th day of November, A.D. 2019.



ATTEST:

**ZURICH AMERICAN INSURANCE COMPANY
COLONIAL AMERICAN CASUALTY AND SURETY COMPANY
FIDELITY AND DEPOSIT COMPANY OF MARYLAND**

By: *Robert D. Murray*
Vice President

By: *Dawn E. Brown*
Secretary

**State of Maryland
County of Baltimore**

On this 12th day of November, A.D. 2019, before the subscriber, a Notary Public of the State of Maryland, duly commissioned and qualified, **Robert D. Murray, Vice President and Dawn E. Brown, Secretary** of the Companies, to me personally known to be the individuals and officers described in and who executed the preceding instrument, and acknowledged the execution of same, and being by me duly sworn, depose and saith, that he/she is the said officer of the Company aforesaid, and that the seals affixed to the preceding instrument are the Corporate Seals of said Companies, and that the said Corporate Seals and the signature as such officer were duly affixed and subscribed to the said instrument by the authority and direction of the said Corporations.

IN TESTIMONY WHEREOF, I have hereunto set my hand and affixed my Official Seal the day and year first above written.



Constance A. Dunn

Constance A. Dunn, Notary Public
My Commission Expires: July 9, 2023

EXTRACT FROM BY-LAWS OF THE COMPANIES

"Article V, Section 8, Attorneys-in-Fact. The Chief Executive Officer, the President, or any Executive Vice President or Vice President may, by written instrument under the attested corporate seal, appoint attorneys-in-fact with authority to execute bonds, policies, recognizances, stipulations, undertakings, or other like instruments on behalf of the Company, and may authorize any officer or any such attorney-in-fact to affix the corporate seal thereto; and may with or without cause modify or revoke any such appointment or authority at any time."

CERTIFICATE

I, the undersigned, Vice President of the ZURICH AMERICAN INSURANCE COMPANY, the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY, and the FIDELITY AND DEPOSIT COMPANY OF MARYLAND, do hereby certify that the foregoing Power of Attorney is still in full force and effect on the date of this certificate; and I do further certify that Article V, Section 8, of the By-Laws of the Companies is still in force.

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the ZURICH AMERICAN INSURANCE COMPANY at a meeting duly called and held on the 15th day of December 1998.

RESOLVED: "That the signature of the President or a Vice President and the attesting signature of a Secretary or an Assistant Secretary and the Seal of the Company may be affixed by facsimile on any Power of Attorney...Any such Power or any certificate thereof bearing such facsimile signature and seal shall be valid and binding on the Company."

This Power of Attorney and Certificate may be signed by facsimile under and by authority of the following resolution of the Board of Directors of the COLONIAL AMERICAN CASUALTY AND SURETY COMPANY at a meeting duly called and held on the 5th day of May, 1994, and the following resolution of the Board of Directors of the FIDELITY AND DEPOSIT COMPANY OF MARYLAND at a meeting duly called and held on the 10th day of May, 1990.

RESOLVED: "That the facsimile or mechanically reproduced seal of the company and facsimile or mechanically reproduced signature of any Vice-President, Secretary, or Assistant Secretary of the Company, whether made heretofore or hereafter, wherever appearing upon a certified copy of any power of attorney issued by the Company, shall be valid and binding upon the Company with the same force and effect as though manually affixed.

IN TESTIMONY WHEREOF, I have hereunto subscribed my name and affixed the corporate seals of the said Companies, this 26th day of February, 2021.



Brian M. Hodges

Brian M. Hodges, Vice President

TO REPORT A CLAIM WITH REGARD TO A SURETY BOND, PLEASE SUBMIT A COMPLETE DESCRIPTION OF THE CLAIM INCLUDING THE PRINCIPAL ON THE BOND, THE BOND NUMBER, AND YOUR CONTACT INFORMATION TO:

Zurich Surety Claims
1299 Zurich Way
Schaumburg, IL 60196-1056
www.reportsfclaims@zurichna.com
800-626-4577



*This form must be completed in **ink** and changes must be **initialed**.*

**Iran Contracting Act Bid Certification
(Public Contract Code Sections 2202–2208)
Must be Completed for any Proposal of \$1,000,000 or more**

Public Contract Code (PCC) Sections 2200–2208 are known as the Iran Contracting Act of 2010 and prohibit public entities from contracting with companies that have specified business activities in Iran’s petroleum sector. Companies seeking to bid on state or local government contracts are required to certify that they are not engaged in developing Iran’s petroleum resources.

Prior to bidding on, submitting a proposal or executing a contract or renewal for a Santa Clara Valley Water District contract for goods or services of one million dollars (\$1,000,000 or more), a person (vendor, firm, corporation, etc.) must either: a) certify it is **not** on the current list of persons engaged in investment activities in Iran created by the California Department of General Services (“DGS”) pursuant to Public Contract Code Section 2203(b) and is not a financial institution extending twenty million dollars (\$20,000,000) or more in credit to another person, for 45 days or more, if that other person will use the credit to provide goods or services in the energy sector in Iran and is identified on the current list of persons engaged in investment activities in Iran created by DGS; or b) demonstrate it has been exempted from the certification requirement for that solicitation or contract pursuant to Public Contract Code Section 2203(c) or (d). The DGS list is posted online at: www.dgs.ca.gov, search “Iran Contracting Act List”.

To comply with this requirement, please complete **one** of the options below. Please note: California law establishes penalties for providing false certifications, including civil penalties equal to the greater of \$250,000 or twice the amount of the contract for which the false certification was made; contract termination; and three-year ineligibility to bid on contracts. (Public Contract Code Section 2205.)



Please complete one of the options below (see prior page for additional explanation).

OPTION NO.1—CERTIFICATION

I, the official named below, certify I am duly authorized to execute this certification on behalf of the person/financial institution identified below, and the person/financial institution identified below is **not** on the current list of persons engaged in investment activities in Iran created by DGS and is not a financial institution extending twenty million dollars (\$20,000,000) or more in credit to another person/vendor, for 45 days or more, if that other person/vendor will use the credit to provide goods or services in the energy sector in Iran and is identified on the current list of persons engaged in investment activities in Iran created by DGS.

Name of Person (Vendor, Firm, Corporation, etc.)/Financial Institution (Printed): Gordon N. Ball, Inc.		Federal ID No. (or n/a): 68-0350143
By (Authorized Signature): 		
Printed Name and Title of Person Signing: Hal Stober -President, Secretary, & Treasurer		
Date Executed: 3/3/2021	Executed in (City & State): Alamo, CA	

OPTION NO. 2—EXEMPTION

Pursuant to Public Contract Code Sections 2203(c) and (d), a public entity may permit a person/financial institution engaged in investment activities in Iran, on a case-by-case basis, to be eligible for, or to bid on, submit a proposal for, or enter into or renew, a contract for goods and services.

If you have obtained an exemption from the certification requirement under the Iran Contracting Act, please fill out the information below, and attach documentation demonstrating the exemption approval.

Name of Person (Vendor, Firm, Corporation, etc.)/Financial Institution (Printed):		Federal ID No. (or n/a):
By (Authorized Signature):		
Printed Name and Title of Person Signing:		
Date Executed:	Executed in (City & State):	



ATTACHMENT 1
BID FORM NO. 7 (REV 1)
Bidder's General Information
Page 1 of 3

This form must be completed in ink and changes must be initialed.

Timely submission of these Forms is considered **material** by the District.

All Bidders must complete and submit this Bidder's General Information, Bid Form 7, including the required attachments. The Bidder may be considered not responsible based on information provided on this Form. Additional sheets may be attached as required.

1. Number of years as a contractor in construction work of this type: 25 years

2. Names and titles of all officers of Bidder's firm:
Hal Stober - President, Secretary, & Treasurer
Jeffrey M. Jones - Vice President

3. Name of person(s) who inspected site of proposed work for your firm:
Name: Hal Stober Date of Inspection: 2/11/2021
Kevin Johanson 2/25/2021

4. Name, address, and telephone number of surety company and agent who will provide the required bonds for this Contract:
Fidelity and Deposit Company of Maryland
525 Market St, Ste 2900, San Francisco, CA 94105
Scott Gaddy 209.601.7959

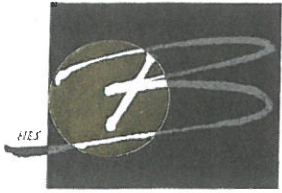
5. Résumé of Designated Authorized Representative: Attach the résumé of the person who will be designated as authorized representative. **The Contractor's authorized representative must have requisite background and experience to administer and oversee the Work on behalf of the Contractor. The representative must have complete authority to represent and act for the Contractor as stated in Standard Provisions, Contractor Staffing, Article 3.03.**

6. Prior Construction Contracts: Bidder must provide at least two (2) construction contracts similar in scope and complexity to this Project that your organization has performed during the last 5 years. Use the PRIOR CONSTRUCTION CONTRACTS form (page 3 of this Bid Form 7) for each project.

7. Claims and lawsuits: if the answer to any of the questions below is "yes" explain the circumstances on an attached sheet.

7.1 Has your organization ever failed to complete an awarded contract?

Yes No



Gordon N. Ball Inc.

General Engineering Contractors

Fred Velasco
Project Manager
Gordon N. Ball, Inc.
BS Civil Engineering, CSU Sacramento

Gordon N. Ball, Inc. – Project Manager, 2015- present

Currently I am responsible for the successful completion of projects being constructed for several agencies including Caltrans, Santa Clara Valley Water District and the City of Oakland. This responsibility includes the safe execution of all aspects of the work and completing the work within budget ahead of schedule while providing clients with a product of high quality.

C.C. Myers, Inc. – Project Manager, 2004 – 2015:

In this position I am responsible to lead a team to deliver a successful project, including enforcing and promoting a safe work environment. I am also responsible for maintaining the project schedule and managing the budget. The collection of revenue along with the identification and negotiation of change orders is also part of my job description as well as providing a quality product. Ultimately, my job requires that I quantify and report the aforementioned responsibilities to management.

C.C. Myers, Inc. – Project Engineer, 2000 – 2004:

As a project engineer I worked for the on-site project manager completing a variety of tasks, while at the same time learning project management responsibilities. In this position I was responsible for the pricing of change orders, the scheduling of subcontractors as well as creating work plans. My responsibilities also included the managing of transmittals, submittals, look-ahead schedules, and correspondence with subcontractors and the owner.

C.C. Myers, Inc. – Field Engineer, 1998-2000:

In this position I worked closely with the project superintendent and foremen of various trades. This position also required that I work closely with our in-house engineering department. This position provided me a hands-on introduction to complex construction projects and the safety aspect of construction.

Experience and Training

2003 – Present: Project Manager

Rte. 9 Retaining Wall, Caltrans; Saratoga, \$1M
Lower Silver Creek Flood Protection, SCVWD, \$3.1M
Lake Merritt Channel Improvement, City Of Oakland, \$16M
I-80 / SAC HOV Lane, Caltrans; Sacramento, CA - \$79M
I-5 / Rte. 140 – Pavement Rehab, Caltrans; Tracy, CA - \$1.9M
Rte. 50 / Brighton, Caltrans; Sacramento, CA - \$1.2M
I-80 / Donner Lake Bridge Rehab; Caltrans; Truckee, CA - \$2.1M
Rte. 50 / Smith Flat, Caltrans; Placerville, CA - \$0.6M
Rte. 4 / Hillcrest, CCTA; Antioch, CA - \$37.7M
Rte. 4 / Sand Creek, CCTA; Brentwood, CA - \$16.3M
I-580 / US 395 Galena Creek Bridge, NDOT; Reno, NV - \$136.3M (assistant PM)
I-5 / "Boat Section", Caltrans; Sacramento, CA - \$45M
I-680 / 780 Approach, Caltrans; Benicia, CA - \$100M
I-205 / Widening, Caltrans; Tracy, CA - \$47M
US101 / Oyster Point, City of South San Francisco, CA - \$9M (temporary PM)
Hwy 37, Caltrans; Vallejo, CA - \$12M

1998-2003: Field/Project Engineer:

I-80 / Carquinez Bridge South Approach, Caltrans; Vallejo, CA - \$62.9M
Rte. 87 / Taylor Street, Caltrans, San Jose, CA - \$37M
SFO Elevated Roadways, City Of San Francisco, CA - \$45M
SFO Inbound / Outbound Ramps, City of San Francisco, CA - \$55M

Technical Skills / Training:

Scheduling – P6, P3, HCSS – Heavy Bid, Heavy job, Viewpoint, MS Office, AutoCad
FMI PM Course, OSHA 30, Crestcom Management, Karrass Negotiating



BID FORM NO. 7 (REV 1)
Bidder's General Information

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7.2 Are there any judgments, claims, alternate dispute resolution proceedings or suits pending or outstanding against your organization or its officers?

Yes No

7.3 Has your organization filed any lawsuits or requested an alternate dispute resolution with regard to construction contracts within the last 5 years?

Yes No

8. The Bidder hereby declares that the Bidder has not been convicted, within the preceding 3 years, of any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of any public work contract with any public entity. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof.

9. Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation?

Yes No


If the answer is "yes," explain the circumstances in the following space:

N/A

10. The Bidder signing for Contractor certifies that neither Bidder nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department or agency.

District reserves the right to verify the above information.

I STATE UNDER PENALTY OF PERJURY THAT EACH AND ALL OF THE STATEMENTS I HAVE MADE IN RESPONSE TO PARAGRAPHS 8, 9 & 10 ABOVE ARE TRUE AND CORRECT.

SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)	
Bidder's Signature: 	Date: 3/3/2021
Bidder's Name and Title (Print): Hal Stober - President, Secretary, & Treasurer	



BID FORM NO. 7 (REV 1)
Bidder's General Information

Page 3 of 3

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PRIOR CONSTRUCTION CONTRACTS

The Bidder may make as many copies of this page as necessary. Bidder may provide the required information on an alternative document. Bidder must submit a signed original of this page 3 of Bid Form 7.

Respond to each item, indicating "none" where appropriate.

- A. Name, address, and telephone number of owner: Santa Clara Valley Water District
5750 Almaden Expressway, San Jose, CA 95123, 408.630.3741
- B. Name of project: GNB Job 226 - Lower Silver Creek
- C. Location of project: San Jose, CA
- D. Brief description of the work involved: Increased the capacity of Lower Silver Creek by constructing the floodwalls, raised the foundations to the pedestrian bridge which allowed clearance for use to construct the walls, and reshaped the creek (to allow for more capacity)
- E. Contract amount: \$3,249,405.89
- F. Claims amount: None
- G. Liquidated damages assessed: None
- H. Date of completion of contract: 10/9/2018
- I. Name, address, and telephone number of design firm's architect or engineer:
N/A
- J. Name of owner's project manager: Nelson Gonzales
- K. Declaration of Default (Yes or No): No
- L. Litigation on questions of project performance: None
- M. Determination of failure to pay prevailing wages or other state and/or federally required taxes or contributions: None
- N. Citations by federal OSHA or CAL OSHA: None

District reserves the right to verify the above information.

SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)	
Bidder's Signature: 	Date: 3/3/2021
Bidder's Name and Title (Print): Hal Stober - President, Secretary, & Treasurer	



BID FORM NO. 7 (REV 1)
Bidder's General Information

Page 3 of 3

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PRIOR CONSTRUCTION CONTRACTS

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Respond to each item, indicating "none" where appropriate.

- A. Name, address, and telephone number of owner: Santa Clara Valley Water District
5750 Almaden Expressway, San Jose, CA 95123, 408.630.3741
- B. Name of project: GNB Job 255 - Cunningham Flood Detention
- C. Location of project: San Jose, CA
- D. Brief description of the work involved: Excavation and disposal of organic laden topsoil materials from the existing levee and new floodwall areas; Construction of a 1 to 4 foot raised levee approximately 2,500 feet long on top of the existing levee; Pavement of the maintenance road on top of the raised left bank levee and the ramps located on west side of the Cunningham Avenue bridge; Construct approximately 1,450 feet long cast-in-place concrete floodwall with spread footing and concrete CIDH piles and concrete pile cap.
- E. Contract amount: \$4,314,011.89
- F. Claims amount: None
- G. Liquidated damages assessed: None
- H. Date of completion of contract: 11/2/2019
- I. Name, address, and telephone number of design firm's architect or engineer:
N/A
- J. Name of owner's project manager: Brandon Ponce
- K. Declaration of Default (Yes or No): No
- L. Litigation on questions of project performance: None
- M. Determination of failure to pay prevailing wages or other state and/or federally required taxes or contributions: None
- N. Citations by federal OSHA or CAL OSHA: None

District reserves the right to verify the above information.

SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)	
Bidder's Signature: 	Date: <u>3/3/2021</u>
Bidder's Name and Title (Print): <u>Hal Stober - President, Secretary, & Treasurer</u>	



BID FORM NO. 7 (REV 1)
Bidder's General Information

Page 3 of 3

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PRIOR CONSTRUCTION CONTRACTS

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Respond to each item, indicating "none" where appropriate.

- A. Name, address, and telephone number of owner: Alameda County Public Works
951 Turner Ct, Hayward, CA 94901, 510.670.5235
- B. Name of project: GNB Job 266 - Laguna Creek Improvements
- C. Location of project: Fremont, CA
- D. Brief description of the work involved: Designing, installing and removal of temporary bypass and de-watering system; construction of 12ft wide x 9ft high reinforced concrete box culvert; finishing and installing 36-inch diameter RCP Class III; construction of reinforced concrete headwall, endwall, apron, vertical retaining wall, outlet structure, floodwall and manhole structures.
- E. Contract amount: Ongoing - Current Contract is \$12,162,386.08
- F. Claims amount: None
- G. Liquidated damages assessed: None
- H. Date of completion of contract: Ongoing - Current Completion Date is 10/15/2021
- I. Name, address, and telephone number of design firm's architect or engineer:
N/A
- J. Name of owner's project manager: Greg Hilst
- K. Declaration of Default (Yes or No): No
- L. Litigation on questions of project performance: None
- M. Determination of failure to pay prevailing wages or other state and/or federally required taxes or contributions: None
- N. Citations by federal OSHA or CAL OSHA: None

District reserves the right to verify the above information.

SIGNATURE BLOCK (Signature Block must be completed in <i>ink</i> and changes must be <i>initialed</i> .)	
Bidder's Signature: 	Date: <u>3/3/2021</u>
Bidder's Name and Title (Print): <u>Hal Stober - President, Secretary, & Treasurer</u>	



BID FORM NO. 7 (REV 1)
Bidder's General Information

Page 3 of 3

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PRIOR CONSTRUCTION CONTRACTS

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Respond to each item, indicating "none" where appropriate.

- A. Name, address, and telephone number of owner: San Francisco Public Utilities Commission
8653 Calaveras Road, Suite B, Sunol, CA 94586, 925.862.1289
- B. Name of project: Job 178 - San Antonio Creek Watershed Improvements
- C. Location of project: Sunol, CA
- D. Brief description of the work involved: Restored approximately 9,000 feet of San Antonio Creek upstream of the existing San Antonio Reservoir, restored ripran habitat and reestablish Oak Savannah. Demolition, earthwork for roadway, dewatering, erosion control, reinforced concrete pipe, irrigation, landscaping, and concrete work.
- E. Contract amount: \$16,137,409.59
- F. Claims amount: None
- G. Liquidated damages assessed: None
- H. Date of completion of contract: 7/6/2017
- I. Name, address, and telephone number of design firm's architect or engineer:
N/A
- J. Name of owner's project manager: Sarah Blain
- K. Declaration of Default (Yes or No): No
- L. Litigation on questions of project performance: None
- M. Determination of failure to pay prevailing wages or other state and/or federally required taxes or contributions: None
- N. Citations by federal OSHA or CAL OSHA: None

District reserves the right to verify the above information.

SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)	
Bidder's Signature: 	Date: <u>3/3/2021</u>
Bidder's Name and Title (Print): <u>Hal Stober - President, Secretary, & Treasurer</u>	