



This form must be completed in ink and changes must be initialed.

Honorable Board of Directors  
Santa Clara Valley Water District (District)

Pursuant to, and in compliance with, the Notice to Bidders and the Contract Documents, relating to the **LOWER CALERA CREEK FLOOD PROTECTION PROJECT**, the undersigned Bidder having become thoroughly familiar with the terms and conditions of the Contract Documents and with local conditions affecting the performance and costs of the Work and having fully inspected the Work site in all particulars, hereby proposes and agrees to fully perform the Work, including providing any and all labor and materials and performing all Work required to construct and complete said Work within the contract time stated and in accordance with the requirements of the Contract Documents, for the following sum of money.

The undersigned Bidder agrees to complete all the Work within **1,720** calendar days from the first chargeable day of the Contract, as stated in the Notice to Begin Work. The Bidder agrees to enter into a Contract with the District and provide the required bonds and insurance in accordance with the Instructions to Bidders, Contract Bonds, paragraph #22 and Execution of Contract, paragraph #23. If the Bidder fails to meet these requirements within the time specified in the Instruction to Bidders, Failure to Execute Contract, paragraph #24, the Bidder's security accompanying this Proposal may be forfeited and become the property of the District. No Contract exists until all Contract bonds and insurance documents have been accepted by the District.

TOTAL BID: \$ 22,054,650

Bidder acknowledges receipt of the following Addenda to the Bid Documents:  
Addenda are posted online at <https://www.valleywater.org/construction>.

**NO** Addenda received

Addenda received as follows:

Addendum No. <u>1</u>	Date <u>2/2/2021</u>	Addendum No. <u>3</u>	Date <u>2/26/2021</u>
Addendum No. <u>2</u>	Date <u>2/22/2021</u>	Addendum No. _____	Date _____

Failure to acknowledge receipt of an Addendum on the Bid Form is not, in itself, cause for withdrawal or rejection of Bid, if it can be established that Bidder did, in fact, receive such Addendum prior to Bid opening.



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**SECTION A — BASE BID**

ITEM NO.	DESCRIPTION OF ITEM	APPROXIMATE QUANTITY UNIT	UNIT PRICE	TOTAL
1	COMPLIANCE WITH NPDES GENERAL PERMIT	<u>Lump Sum</u> Lump Sum	\$ 225,000	225,000
2	POTHOLE	<b>25</b> Pothole	\$ 3,500	87,500
3	MONITORING SURVEYS	<u>Lump Sum</u> Lump Sum	\$ 16,000	16,000
4	NOISE POLLUTION AND VIBRATION MONITORING	<u>10</u> Monitoring Location	\$ 127,000	127,000
5	MIGRATORY BIRDS	<u>Lump Sum</u> Lump Sum	\$ 123,000	123,000
6	CONTRACTOR'S QUALITY CONTROL	<u>Lump Sum</u> Lump Sum	\$ 607,000	607,000
7	MOBILIZATION AND DEMOBILIZATION	<u>Lump Sum</u> Lump Sum	\$ 550,000	550,000
8	CLEARING AND GRUBBING	<u>Lump Sum</u> Lump Sum	\$ 150,000	150,000
9	DEMOLITION AND DISPOSAL	<u>Lump Sum</u> Lump Sum	\$ 325,000	325,000



**REVISED** BID FORM NO. 1  
**Proposal and Bid Items**

This form must be completed in *ink* and changes must be *initialed*.

ITEM NO.	DESCRIPTION OF ITEM	APPROXIMATE QUANTITY UNIT	UNIT PRICE	TOTAL
10	CONTROL OF WATER	<u>Lump Sum</u> Lump Sum	\$ 644,000	644,000
11	CHANNEL EXCAVATION	<b>17,500</b> Cubic Yard	46.00 (5) \$ <del>46,000</del>	805,000
12	TOPSOIL	<b>2,300</b> Cubic Yard	\$ 69.50	159,850
13	CHANNEL EMBANKMENT	<u>8,300</u> Cubic Yard	\$ 110	913,000
14	ROCK PROTECTION	<u>Lump Sum</u> Lump Sum	\$ 445,000	455,000
15	SHORING	<u>Lump Sum</u> Lump Sum	\$ 918,000	918,000
16	EXTERIOR FLATWORK WITHIN CITY RIGHT OF WAY	<u>Lump Sum</u> Lump Sum	\$ 112,000	112,000
17	MAINTENANCE ROAD AND MAINTENANCE ACCESS	<u>Lump Sum</u> Lump Sum	\$ 624,000	624,000
18	SITE UTILITIES	<u>Lump Sum</u> Lump Sum	\$ 240,000	240,000
19	CHAIN LINK FENCE AND GATES	<u>Lump Sum</u> Lump Sum	\$ 155,400	155,400



**REVISED BID FORM NO. 1**  
**Proposal and Bid Items**

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ITEM NO.	DESCRIPTION OF ITEM	APPROXIMATE QUANTITY UNIT	UNIT PRICE	TOTAL
20	PASSIVE FLOOD GATE	Lump Sum Lump Sum	\$ 146,000	146,000
21	FLOOD WALLS	Lump Sum Lump Sum	\$ 13,150,000	13,150,000
22	ARCHITECTURAL TREATMENT	Lump Sum Lump Sum	\$ 520,600	520,600
23	WETLAND BROADCAST SEEDING AND PLANTING	Lump Sum Lump Sum	\$ 54,100	54,100
24	ESTABLISHMENT MAINTENANCE	Lump Sum Lump Sum	\$ 324,000	324,000
25	LOWER BERRYESSA CREEK TRAIL	Lump Sum Lump Sum	\$ 444,700	444,700
26	CITY STORM DRAIN OUTFALL MODIFICATIONS	Lump Sum Lump Sum	\$ 49,500	49,500
<b>Section A Subtotal</b>				<b>\$ 21,925,65</b>



**REVISED BID FORM NO. 1**  
**Proposal and Bid Items**

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<b>SECTION B — SUPPLEMENTAL BID ITEMS</b> These Bid items may or may not be required. They may be deleted entirely or in part, by deductive change order(s), at the sole discretion of the District.				
ITEM NO.	DESCRIPTION OF ITEM	APPROXIMATE QUANTITY UNIT	UNIT PRICE	TOTAL
1	ADDITIONAL TOPSOIL	1,000 CY	\$ 69.50	69,500
2	CONTINGENCY IRRIGATION	20 Irrigation Event	\$ 2,975	59,500
<b>Section B Subtotal</b>				\$ 129,000
<b>TOTAL BID (Section A Subtotal + Section B Subtotal)</b>				\$ 22,054,650



**REVISED BID FORM NO. 1  
Proposal and Bid Items**

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The specifications state that for Bid Item No.11 Channel Excavation, the Contractor shall dispose of surplus materials at a certified landfill and for Bid Item No.13 Channel Embankment, the Contractor shall import from a quarry. Bidder shall specify the following information used in determining the bid price for Bid Item Nos.11 and 13. Failure to indicate the source of the landfill and quarry on this Bid Form no. 1 may be considered non-responsive.

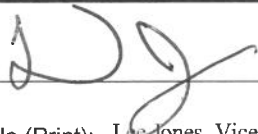
**For Bid Item No. 11, Name(s) of Landfill for Disposal of Surplus Materials**

New By LS  
the Island

**For Bid Item No. 13, Name(s) of Quarry for Import of Channel Embankment Soil**

Stevens Creek Quarry

The undersigned Bidder has read and, understands, and will comply with, each and all of the requirements specified in these Bid Documents. This Proposal must be signed by an authorized representative of the Bidder with the authority to bind the Bidder.

BIDDER'S COMPANY INFORMATION	
NAME: Thompson Builders Corporation	ADDRESS:
CONTRACTOR'S CALIFORNIA LICENSE NUMBER: 626859	5400 Hanna Ranch Road, Novato CA 94945 (Physical)
DATE OF EXPIRATION: 8/31/2021	PO Box 6115, Novato CA 94948 (Mailing)
LICENSE CLASSIFICATION(S): A, B, C-17	
PHONE NO.: ( 415 ) 456-8972	FAX NO.: ( 415 ) 459-0665
EMAIL ADDRESS:	
<b>SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)</b>	
Bidder's Signature: 	Date: 3/03/2021
Bidder's Name and Title (Print): Lee Jones, Vice President of Thompson Builders Corporation	





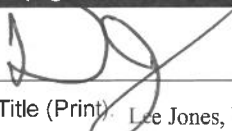
**BID FORM NO. 2**  
**Designation of Subcontractors**

This form must be completed in *ink* and changes must be *initialed*.

- A. This Designation of Subcontractors form must be completed in compliance with the State of California Subletting and Subcontracting Fair Practices Act, Public Contract Code §4100 et seq., and any amendment thereof. Bidder must complete the form below for each Subcontract that **exceeds one-half of one percent (½%) of the Bidder's total Bid**. A Subcontractor is one who: (1) performs Work or labor; or (2) provides a service to the Bidder; or (3) specially Fabricates and Installs a portion of the work according to the Contract Documents. Bidders failure to list a Subcontractor for any portion of the work in excess of ½% of Bidder's total Bid signifies Bidder will self perform that portion of the Work with its own forces. (Note: If more than one Subcontractor is designated for the same kind of Work, state the portion that each will perform.) After the opening of the Bids, no changes or substitutions will be allowed except as otherwise provided by law. The listing of more than one subcontractor for each item of work to be performed with the words "and/or" will not be permitted. Failure to comply with this requirement may render the Bid nonresponsive and may cause its rejection.
- B. Failure by a subcontractor to be registered to perform public work as required by the California Labor Code Section 1771.1 (a) shall be grounds under Section 4107 of the Public Contract Code for the Contractor, with the consent of the awarding authority, to substitute a subcontractor who is registered to perform public work pursuant to Section 1725.5 in place of the unregistered subcontractor.

NAME	LICENSE NO.	DIR REGISTRATION NO.	TYPE OF WORK	% of TOTAL CONTRACT
LOCATION (City & State)	EXPIRATION DATE	EXPIRATION DATE		
Garrison Demolition (San Ramon, CA)	1047936 12/31/22	1000063040 6/30/23	Demolition	0.5%
Pitcher Services (Palo Alto, CA)	1044895 9/30/22	1000061392 6/30/21	Monitoring Well Abandonment	0.6%
Martin Brothers (Sacramento, CA)	726454 8/31/22	100000017 6/30/22	AC Paving	1.1%
Oliveira Fence (Santa Clara, CA)	404243 6/30/23	1000003214 8/31/21	Fencing	0.5%
C.M.C. Commercial (Tracy, CA)	778010 4/30/22	1000000298 6/30/22	Rebar	4.4%
Green Vista Landscape (Rocklin, CA)	878017 5/31/22	1000007433 6/30/22	Landscaping & Irrigation & Erosion Control	1.9%

**SIGNATURE BLOCK** (Signature Block must be completed in *ink* and changes must be *initialed*.)

Bidder's Signature:  Date: 3/03/2021

Bidder's Name and Title (Print): Lee Jones, Vice President of Thompson Builders Corporation



This form must be completed in **ink** and changes must be **initialed**.

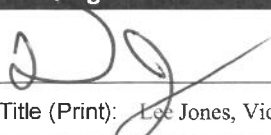
In accordance with Public Contract Code Section 7106, Lee Jones  
(Bidder's full name)

declares that he or she is Vice President  
(Bidder's title)

of Thompson Builders Corporation  
(Company's name)

the party making the foregoing Bid that the Bid is not made in the interest of, or on behalf of, any disclosed person, partnership, company, association, organization, or corporation; that the Bid is genuine and not collusive or sham; that the Bidder has not directly or indirectly induced or solicited any other Bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any Bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the Bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the Bid price of the Bidder or any other Bidder, or to fix any overhead, profit, or cost element of the Bid price, or of that of any other Bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the Bid are true; and, further, that the Bidder has not, directly or indirectly, submitted the Bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, Bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

I certify (or declare) under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

SIGNATURE BLOCK (Signature Block must be completed in <i>ink</i> and changes must be <i>initialed</i> .)	
Bidder's Signature: 	Date: 3/03/2021
Bidder's Name and Title (Print): Lee Jones, Vice President of Thompson Builders Corporation	





This form must be completed in ink and changes must be initialed.

BE IT KNOWN BY THESE PRESENTS,

That we, Thompson Builders Corporation, as PRINCIPAL,  
and Markel Insurance Company, as SURETY,

are held and firmly bound unto the Santa Clara Valley Water District, hereinafter called the District, in the penal sum of TEN PERCENT (10%) OF THE TOTAL AMOUNT OF THE PROPOSAL of the Principal above named, submitted by said Principal to the Santa Clara Valley Water District, for the work described below, for the payment of which sum is lawful money of the United States, well and truly to be made, we bind ourselves, our heirs, executors, administrators and successors, jointly and severally, firmly by these presents. In no case shall the liability of the surety hereunder exceed the sum of \$ 10% of the Total Amount of Proposal.

THE CONDITION OF THIS OBLIGATION IS SUCH,

That whereas the Principal has submitted the above mentioned Proposal to the District, for certain construction specifically described as follows, for which Proposals are to be opened at San Jose, California, on March 3, 2021, (or such other date as specified per Addendum) for Lower Calera Creek Flood Protection Project, Project No. 40174005, Contract No. C0666.

NOW, THEREFORE, if the aforesaid Principal is awarded the Contract and, within the time and manner required under the Contract Documents, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the Proposal, and files originals (copies are unacceptable) of the two bonds with the District, one to guarantee faithful performance and the other to guarantee payment for labor and materials, as required by law, then this obligation shall be null and void; otherwise, it shall be and remain in full force and virtue.

In the event suit is brought upon this bond by the obligee and judgment is recovered, the surety shall pay all costs incurred by the obligee in such suit, including a reasonable attorney's fee to be fixed by the court.

IN WITNESS WHEREOF, we have hereunto set our hands and seal on the 25th day of February, 2021

**PRINCIPAL:** Thompson Builders Corporation

  
\_\_\_\_\_  
Signature

Lee Jones  
\_\_\_\_\_  
Name

Vice President  
\_\_\_\_\_  
Title

5400 Hanna Ranch Road, Novato, CA 94945  
\_\_\_\_\_  
Address

**SURETY:** Markel Insurance Company

  
\_\_\_\_\_  
Signature

Jonathan Russell  
\_\_\_\_\_  
Name (Seal)

Attorney-in-Fact  
\_\_\_\_\_  
Title

4521 Highwoods Parkway  
Glen Allen, VA 23060  
\_\_\_\_\_  
Address

- NOTE: 1. Original Bidder's Bond documents are required; copies are unacceptable.  
2. Signature of those executing for Surety must be properly acknowledged.

# Markel Insurance Company

## LIMITED POWER OF ATTORNEY

Know All Men by These Presents, That MARKEL INSURANCE COMPANY (the "Company"), a corporation duly organized and existing under the laws of the State of Illinois, and having its principal administrative office in Glen Allen, Virginia, does by these presents make, constitute and appoint

Patricia Drew, Deepa Neupane, Jonathan Russell, Jeff Parkhurst, Stella Winterbourne

its true and lawful Attorney-in-fact, with full power and authority hereby conferred in its name, place and stead, to execute, acknowledge and deliver any and all bonds, recognizances, undertakings of other instruments or contracts of suretyship to include waivers to the conditions of contracts and consents of surety for, providing the bond penalty does not exceed

### In Unlimited Amounts

and to bind the Company thereby as fully and to the same extent as if such bond were signed by the President, sealed with the corporate seal of the Company and duly attested by its Secretary, hereby ratifying and confirming all that the said Attorney-in-Fact may do in the premises. Said appointment is made under and by authority of the following resolution of the Board of Directors of Markel Insurance Company:

RESOLVED, that the President, any Senior Vice President, Vice President, Assistant Vice President, Secretary, Assistant Secretary or Assistant Treasurer shall be and is hereby vested with full power and authority to appoint any one or more suitable persons as Attorney(s)-in-Fact to represent and act for on behalf of the Company, subject to the following provisions:

Attorney-in-Fact may be given full power and authority for and in the name of and on behalf of the Company, to execute, acknowledge and deliver, any and all bonds, recognizances, contracts, agreements or indemnity and other conditional or obligatory undertakings and any and all notices and documents cancelling or terminating the Company's liability thereunder, and any such instruments so executed by any such Attorney-in-Fact shall be binding upon the Company as if signed by the President and sealed and effected by the Secretary.

FURTHER RESOLVED, that the signature of any authorized officer and seal of the Company heretofore or hereafter affixed to any power of attorney or any certificate relating thereto by facsimile, and any power of attorney or certificate bearing facsimile signature or facsimile seal shall be valid and binding upon the Company with respect to any bond or undertaking to which it is attached.

In Witness Whereof, MARKEL INSURANCE COMPANY has caused these presents to be signed by its Vice President, and its corporate seal to be hereto affixed this 24th day of February, 2021.

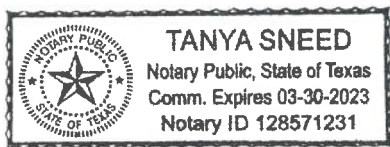
State of Texas  
Travis County




MARKEL INSURANCE COMPANY

By:   
Lindey L. Jennings, Vice President

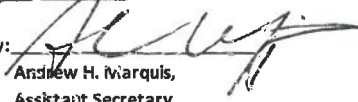
On this 24th day of February, 2021 before me personally came Lindey L. Jennings, to me known, who being by me duly sworn, did depose and say that he resides in Travis County, Texas, he is Vice President of MARKEL INSURANCE COMPANY, the company described in and which executed the above instrument; that he knows the seal of said Company; that the seal affixed to said instrument is such corporate seal; that it was so affixed by order of the Board of Directors of said Company; and that he signed his name thereto by like order.



By:   
Tanya Sneed, Notary Public  
My commission expires 3/30/2023

I, Andrew H. Marquis, Assistant Secretary of MARKEL INSURANCE COMPANY, do hereby certify that the above and foregoing is true and correct copy of a Power of Attorney, executed by said Company, which is still in full force and effect; and, furthermore, the resolutions of the Board of Directors, set out in the Power of Attorney are in full force and effect.

Given under my hand and the seal of said Company at Austin, Texas this 25th day of February, 2021.

By:   
Andrew H. Marquis,  
Assistant Secretary

Any instrument issued in excess of the penalty stated above is totally void and without any validity. 510579  
For verification of the authority of this Power you may call (713) 812-0800 on any business day between 8:30 AM and 5:00 PM CST.

# CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA

County of Sacramento }

On 2/25/21 before me, E. Johnson, Notary Public,  
Date Insert Name of Notary exactly as it appears on the official seal

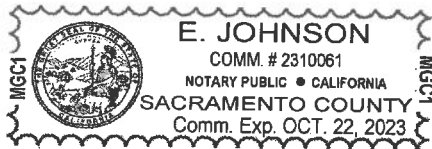
personally appeared Jonathan Russell  
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

Witness my hand and official seal.

Signature E. Johnson  
Signature of Notary Public



Place Notary Seal Above

## OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of the form to another document.

### Description of Attached Document

Title or Type of Document: \_\_\_\_\_

Document Date: \_\_\_\_\_ Number of Pages: \_\_\_\_\_

Signer(s) Other Than Named Above: \_\_\_\_\_

### Capacity(ies) Claimed by Signer(s)

Signer's Name: Jonathan Russell

- Individual
- Corporate Officer — Title(s): \_\_\_\_\_
- Partner  Limited  General
- Attorney in Fact
- Trustee
- Guardian or Conservator
- Other: \_\_\_\_\_

RIGHT THUMBPRINT  
OF SIGNER  
Top of thumb here

Signer is Representing:  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Signer's Name: \_\_\_\_\_

- Individual
- Corporate Officer — Title(s): \_\_\_\_\_
- Partner  Limited  General
- Attorney in Fact
- Trustee
- Guardian or Conservator
- Other: \_\_\_\_\_

RIGHT THUMBPRINT  
OF SIGNER  
Top of thumb here

Signer is Representing:  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**CALIFORNIA ACKNOWLEDGMENT**

**CIVIL CODE § 1189**

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of Marin

On 2/25/2021  
Date

before me, Shannon Marie Miller, Notary Public  
Here Insert Name and Title of the Officer

personally appeared Lee Jones

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



Place Notary Seal and/or Stamp Above

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature

Signature of Notary Public

**OPTIONAL**

Completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

**Description of Attached Document**

Title or Type of Document: Bid Bond

Document Date: \_\_\_\_\_ Number of Pages: \_\_\_\_\_

Signer(s) Other Than Named Above: \_\_\_\_\_

**Capacity(ies) Claimed by Signer(s)**

Signer's Name: \_\_\_\_\_

Corporate Officer – Title(s): \_\_\_\_\_

Partner –  Limited  General

Individual  Attorney in Fact

Trustee  Guardian or Conservator

Other: \_\_\_\_\_

Signer is Representing: \_\_\_\_\_

Signer's Name: \_\_\_\_\_

Corporate Officer – Title(s): \_\_\_\_\_

Partner –  Limited  General

Individual  Attorney in Fact

Trustee  Guardian or Conservator

Other: \_\_\_\_\_

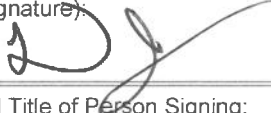
Signer is Representing: \_\_\_\_\_



Please complete one of the options below (see prior page for additional explanation).

**OPTION NO.1—CERTIFICATION**

I, the official named below, certify I am duly authorized to execute this certification on behalf of the person/financial institution identified below, and the person/financial institution identified below is **not** on the current list of persons engaged in investment activities in Iran created by DGS and is not a financial institution extending twenty million dollars (\$20,000,000) or more in credit to another person/vendor, for 45 days or more, if that other person/vendor will use the credit to provide goods or services in the energy sector in Iran and is identified on the current list of persons engaged in investment activities in Iran created by DGS.

Name of Person (Vendor, Firm, Corporation, etc.)/Financial Institution (Printed): Thompson Builders Corporation	Federal ID No. (or n/a): 68-0250401
By (Authorized Signature): 	
Printed Name and Title of Person Signing: Lee Jones, Vice President	
Date Executed: 3/03/2021	Executed in (City & State): Novato, California

**OPTION NO. 2—EXEMPTION**

Pursuant to Public Contract Code Sections 2203(c) and (d), a public entity may permit a person/financial institution engaged in investment activities in Iran, on a case-by-case basis, to be eligible for, or to bid on, submit a proposal for, or enter into or renew, a contract for goods and services.

If you have obtained an exemption from the certification requirement under the Iran Contracting Act, please fill out the information below, and attach documentation demonstrating the exemption approval.

Name of Person (Vendor, Firm, Corporation, etc.)/Financial Institution (Printed):	Federal ID No. (or n/a):
By (Authorized Signature):	
Printed Name and Title of Person Signing:	
Date Executed:	Executed in (City & State):





*This form must be completed in **ink** and changes must be **initialed**.*

**Iran Contracting Act Bid Certification  
(Public Contract Code Sections 2202–2208)  
Must be Completed for any Proposal of \$1,000,000 or more**

Public Contract Code (PCC) Sections 2200–2208 are known as the Iran Contracting Act of 2010 and prohibit public entities from contracting with companies that have specified business activities in Iran’s petroleum sector. Companies seeking to bid on state or local government contracts are required to certify that they are not engaged in developing Iran’s petroleum resources.

Prior to bidding on, submitting a proposal or executing a contract or renewal for a Santa Clara Valley Water District contract for goods or services of one million dollars (\$1,000,000 or more), a person (vendor, firm, corporation, etc.) must either: a) certify it is **not** on the current list of persons engaged in investment activities in Iran created by the California Department of General Services (“DGS”) pursuant to Public Contract Code Section 2203(b) and is not a financial institution extending twenty million dollars (\$20,000,000) or more in credit to another person, for 45 days or more, if that other person will use the credit to provide goods or services in the energy sector in Iran and is identified on the current list of persons engaged in investment activities in Iran created by DGS; or b) demonstrate it has been exempted from the certification requirement for that solicitation or contract pursuant to Public Contract Code Section 2203(c) or (d). The DGS list is posted online at: [www.dgs.ca.gov](http://www.dgs.ca.gov), search “Iran Contracting Act List”.

To comply with this requirement, please complete **one** of the options below. Please note: California law establishes penalties for providing false certifications, including civil penalties equal to the greater of \$250,000 or twice the amount of the contract for which the false certification was made; contract termination; and three-year ineligibility to bid on contracts. (Public Contract Code Section 2205.)







**BID FORM NO. 7 (REV 1)**  
**Bidder's General Information**

*This form must be completed in ink and changes must be initialed.*

7.2 Are there any judgments, claims, alternate dispute resolution proceedings or suits pending or outstanding against your organization or its officers?

Yes       No      See "Cabrillo"

7.3 Has your organization filed any lawsuits or requested an alternate dispute resolution with regard to construction contracts within the last 5 years?

Yes       No      See "Lafayette"

8. The Bidder hereby declares that the Bidder has not been convicted, within the preceding 3 years, of any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of any public work contract with any public entity. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof. Correct

9. Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation?

Yes       No

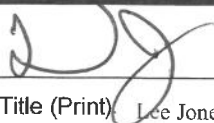
If the answer is "yes," explain the circumstances in the following space:

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

10. The Bidder signing for Contractor certifies that neither Bidder nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department or agency.

**District reserves the right to verify the above information.**

I STATE UNDER PENALTY OF PERJURY THAT EACH AND ALL OF THE STATEMENTS I HAVE MADE IN RESPONSE TO PARAGRAPHS 8, 9 & 10 ABOVE ARE TRUE AND CORRECT.

SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)	
Bidder's Signature: 	Date: 3/03/2021
Bidder's Name and Title (Print): Lee Jones, Vice President of Thompson Builders Corporation	



This form must be completed in **ink** and changes must be **initialed**.

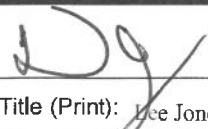
**PRIOR CONSTRUCTION CONTRACTS**

The Bidder may make as many copies of this page as necessary. Bidder may provide the required information on an alternative document. Bidder must submit a signed original of this page 3 of Bid Form 7.

Respond to each item, indicating "none" where appropriate. Trustees of State of CA

- A. Name, address, and telephone number of owner: State University  
Mike Fisher, 1 Hancock St. Arcata, 707-286-4444
- B. Name of project: Humboldt Library & Theatre Seismic Retrofit
- C. Location of project: Arcata, CA
- D. Brief description of the work involved: Seismic Retrofit of buildings & extensive site utilities, site improvements & site preparation
- E. Contract amount: \$19,921,000
- F. Claims amount: None
- G. Liquidated damages assessed: None
- H. Date of completion of contract: August 2019 (Theatre) June 2020 (Library)
- I. Name, address, and telephone number of design firm's architect or engineer:  
Square Kuehn Architecture, (415) 242-1400  
2412 14th Avenue, San Francisco CA 94116
- J. Name of owner's project manager: Mike Fisher (contact info Above)
- K. Declaration of Default (Yes or No): No
- L. Litigation on questions of project performance: None
- M. Determination of failure to pay prevailing wages or other state and/or federally required taxes or contributions: None
- N. Citations by federal OSHA or CAL OSHA: None

**District reserves the right to verify the above information.**

SIGNATURE BLOCK (Signature Block must be completed in <i>ink</i> and changes must be <i>initialed</i> .)	
Bidder's Signature: 	Date: 3/03/2021
Bidder's Name and Title (Print): Lee Jones, Vice President of Thompson Builders Corporation	



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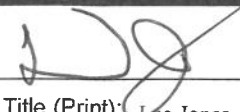
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Respond to each item, indicating "none" where appropriate.

- A. Name, address, and telephone number of owner: Main County Transit District  
Adrienne Taylor (415) 226-0855, 711 Grand Ave. San Rafael, CA
- B. Name of project: Novato Redwood & Grant Transit Center
- C. Location of project: Novato, CA
- D. Brief description of the work involved: Extensive Site Work and  
Construction of transit center for SMART train in Novato
- E. Contract amount: \$4,061,296
- F. Claims amount: none
- G. Liquidated damages assessed: none
- H. Date of completion of contract: February 2018
- I. Name, address, and telephone number of design firm's architect or engineer:  
Kappe Architects, (415) 457-7801  
801 D Street, San Rafael, CA
- J. Name of owner's project manager: Joshua Williamson (209) 370-1908
- K. Declaration of Default (Yes or No): no
- L. Litigation on questions of project performance: none
- M. Determination of failure to pay prevailing wages or other state and/or federally required taxes or contributions: none
- N. Citations by federal OSHA or CAL OSHA: none

**District reserves the right to verify the above information.**

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Bidder's Name and Title (Print): Lee Jones, Vice President of Thompson Builders Corporation	



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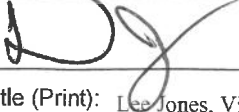
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Respond to each item, indicating "none" where appropriate.

- A. Name, address, and telephone number of owner: Caltrans  
Bill Shedd (510) 385-6912  
1727 30th Street, Sacramento CA
- B. Name of project: Caltrans SFORB Maintenance Complex
- C. Location of project: Oakland, CA
- D. Brief description of the work involved: Sitework (extensive) &  
construction of the new training facility for Caltrans.  
complex
- E. Contract amount: \$22,593,716
- F. Claims amount: none
- G. Liquidated damages assessed: none
- H. Date of completion of contract: January 2020
- I. Name, address, and telephone number of design firm's architect or engineer:  
Caltrans, Frank Thomas, (913) 227-6228  
1727 30th Street, Sacramento, CA
- J. Name of owner's project manager: Bill Shedd (contact info above)
- K. Declaration of Default (Yes or No): no
- L. Litigation on questions of project performance: none
- M. Determination of failure to pay prevailing wages or other state and/or federally required taxes or contributions: none
- N. Citations by federal OSHA or CAL OSHA: none

**District reserves the right to verify the above information.**

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Bidder's Signature: 	Date: 3/03/2021
Bidder's Name and Title (Print): Lee Jones, Vice President of Thompson Builders Corporation	





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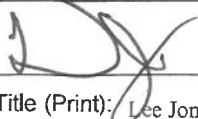
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Respond to each item, indicating "none" where appropriate.

- A. Name, address, and telephone number of owner: Caltrans  
1727 30th Street, Sacramento CA, 510-385-8178
- B. Name of project: Mike Post 0.3 Construct Roadway & Soldier Pile Wall
- C. Location of project: Muir Beach, CA
- D. Brief description of the work involved: Roadway construction and construction of soldier pile wall with ground anchors
- E. Contract amount: \$4,469,753
- F. Claims amount: none
- G. Liquidated damages assessed: none
- H. Date of completion of contract: August 2017
- I. Name, address, and telephone number of design firm's architect or engineer:  
Caltrans Dept. of Architecture, 916-227-6299  
1727 30th Street, Sacramento, CA
- J. Name of owner's project manager: none
- K. Declaration of Default (Yes or No): no
- L. Litigation on questions of project performance: none
- M. Determination of failure to pay prevailing wages or other state and/or federally required taxes or contributions: none
- N. Citations by federal OSHA or CAL OSHA: none

**District reserves the right to verify the above information.**

SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)	
Bidder's Signature: 	Date: 3/03/2021
Bidder's Name and Title (Print): Lee Jones, Vice President of Thompson Builders Corporation	





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PRIOR CONSTRUCTION CONTRACTS

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Respond to each item, indicating "none" where appropriate.

A. Name, address, and telephone number of owner: City of Santa Rosa, DPW
Stephen Dittmer, 707-543-3800, 69 Stonycir., Santa Rosa, CA

B. Name of project: Courthouse Square Reunification

C. Location of project: Santa Rosa, CA

D. Brief description of the work involved: extensive site work and construction reunifying the existing park areas previously bisected by Flood Control Street

E. Contract amount: \$7,793,945

F. Claims amount: none

G. Liquidated damages assessed: none

H. Date of completion of contract: December 2016

I. Name, address, and telephone number of design firm's architect or engineer:
Carlie Macy, (707) 542-6451
15 3rd Street, Santa Rosa, CA

J. Name of owner's project manager: Stephen Dittmore (contact info above)

K. Declaration of Default (Yes or No): NO

L. Litigation on questions of project performance: none

M. Determination of failure to pay prevailing wages or other state and/or federally required taxes or contributions: none

N. Citations by federal OSHA or CAL OSHA: 1 (assessed, paid in full & resolved)
worker was observed using hand grinder without proper guard. condition corrected at time of inspection. Fine issued: \$1,000

District reserves the right to verify the above information.

SIGNATURE BLOCK (Signature Block must be completed in ink and changes must be initialed.)
Bidder's Signature: [Signature] Date: 3/03/2021
Bidder's Name and Title (Print): Lee Jones, Vice President of Thompson Builders Corporation



## **PAUL PETRI**

*Project Manager*

With over 28 years of construction experience involving new construction, modernization and public works projects, Paul has performed both as a Project Manager and Superintendent with Thompson Builders Corporation for fourteen years. This combined experience has proven to be most effective as well as a benefit to all aspects of the project, serving both the Project Management and Superintendent roles.

As a Project Manager, Paul oversees and directs construction management of high-profile projects. He communicates directly with subcontractors and designers concerning project cost, staffing and scheduling. He prepares project status reports and works with the project team to ensure plans adhere to contract specifications. His responsibilities include: monthly job cost reports, CPM scheduling, review of project plans and specifications, coordination of subcontractor submittals, preparation of take-offs and material pricing, and preparation of monthly payment applications.

### **EDUCATION**

B.S., Civil Engineering,  
California Polytechnic  
State University,  
San Luis Obispo  
[paulp@tbcorp.com](mailto:paulp@tbcorp.com)

### **PAUL'S SELECT EXPERIENCE**

#### **CALTRANS 04-2G8904, MARIN COUNTY MUIR BEACH, CA | \$2.7M**

Construction of a soldier pile wall with tiebacks and embankment confined system.

#### **CALTRANS 04-4S6604, MARIN COUNTY STINSON BEACH, CA | \$2.2M**

Construction of soldier pile wall and Rock Slope Protection.

#### **CALTRANS 04-4K8404, MARIN COUNTY STINSON BEACH, CA | \$3.5M**

Construction of soldier pile and sheet pile walls.

#### **CALTRANS 04-3S9004, MARIN COUNTY MUIR BEACH, CA | \$4.5M**

Construction of roadway and soldier pile wall with ground anchors.

#### **ALMADEN RESERVOIR ROOF REPLACEMENT, SAN JOSE, CA | \$6M**

Replacement of an existing roof and structural supports for a 9 million gallon, partially below grade, potable water reservoir at the Almaden Valley Station.

#### **CONTRA COSTA COUNTY FINANCE BUILDING, MARTINEZ, CA | \$5.7M**

Hazardous materials abatement, seismic upgrade (including center coring of historical granite block wall construction), restoration of historical architectural sheet metal work and ornamental features, restoration of historical granite block, new fire sprinkler system, MEP's, ADA improvements, and finishes upgrades for the occupied, 3 level 34,000 SF historical Contra Costa County Finance Building.

**MAYHEW RESERVOIR ROOF REPLACEMENT AND SEISMIC UPGRADES, FREMONT, CA | \$2.6M**

This project for the Alameda County Water District included improvements to a 230' x 230' x 30' in ground reservoir, consisting of protection of the reservoir liner, dismantlement of the existing timber roof; framing of a new roof with glulam beams and cedar timber, stainless steel connectors/structural steel and new structural concrete buttresses.

**MORE AVENUE RESERVOIR PROJECTS 1 & 2, LOS GATOS, CA | \$4M AND \$3M**

Consisting of two separate projects performed at separate times and similar in scope, involved the seismic upgrade and renovation of an existing 9 million gallon, 300' x 300' x 35' deep, underground concrete lined drinking water reservoir. Improvements included abatement, new concrete footings, new structural steel columns, replacement of an existing timber roof structure, installation of new metal roofing, miscellaneous utility work and waterproofing of the existing slab on grade.

**EAST CONTRA COSTA BART EXTENSION PROJECT TRANSFER PLATFORM & GUIDEWAY IMPROVEMENTS, PITTSBURG, CA | \$26M**

Improvements to a rail transit facility in the median area of State Route 4 including demolition of existing track, construction of a new concrete access tunnel; concrete reinforcing and encasing of the existing Mokelumne Aqueduct pipeline, involving jack, boring and installation of steel casings below West Bound SR4 and 3.5 miles of new concrete median barrier walls.

**PROSPECT ROAD RESERVOIR, SARATOGA, CA | \$1M**

Seismic upgrade and renovation of an existing 150' diameter x 35' deep concrete drinking water reservoir including replacement of roof structure and miscellaneous utility work for the San Jose Water Company.

**BART YARDS, SHOPS AND MISCELLANEOUS STRUCTURES, CONCORD, HAYWARD, RICHMOND, DALY CITY AND OAKLAND, CA | \$16.9M**

This BART project consisted of structural retrofit of steel, concrete and wood structures; demolition and restoration of architectural, mechanical, electrical, and plumbing components and systems; demolition of selected existing concrete foundations, buttress walls, construction of new concrete foundations; drilled piers and shafts; provision of temporary construction facilities for BART employees and construction of the new Concord Car Cleaner's Building.

**BART PARKING STRUCTURES RETROFIT, CONCORD, DALY CITY, EL CERRITO, HAYWARD, PLEASANT HILL AND WALNUT CREEK, CA | \$12.4M**

This project involved structural modification to the existing BART parking structures to provide adequate resistance to forces of a major seismic event at the following six BART Station parking structures. The scope of work included major concrete demolition and removal of cantilever decks, miscellaneous slabs and retaining walls.

**YERBA BUENA ISLAND W2 FOUNDATIONS OAKLAND-SF BAY BRIDGE, YERBA BUENA ISLAND, CA | \$24M**

This project for the Department of Transportation consisted of poured in place concrete and post-tensioned foundations with 75mm high strength tension rods and tie down anchors. Eight, 150ft tall concrete towers were set upon these foundations, involving multiple lifts for the new Bay Bridge Self Anchored Suspension Span. The project utilized state of the art, high-strength concrete with Mass Concrete Thermal Control procedures being implemented including: liquid nitrogen, iced-concrete, and

cooling pipes with thermal couplers and a computer monitoring system to minimize thermal expansion and shrinkage. The foundations were the largest single mass concrete pour in the State of California Department of Transportation's history. Over 25,000 cubic yards of concrete was placed. The project was located on Yerba Buena Island adjacent to the existing Bay Bridge, requiring strict adherence to erosion control, traffic control and vibration control. Concrete Elements were as follows: 40,000sf+ (retaining walls and towers), smooth finish, natural color architectural concrete.



## CHRIS VAN TIEM

### *Project Manager*

Chris has 20 years of construction experience encompassing new construction, modernization and public works projects. As a Project Manager with Thompson Builders Corp. for 18 years, Chris oversees and directs construction management of high-profile projects. He communicates directly with subcontractors and designers concerning project cost, staffing and scheduling. He prepares project status reports and works with the project team to ensure plans adhere to contract specifications. Some of his responsibilities include: monthly job cost reports, CPM scheduling, review of project plans and specifications, coordination of subcontractor submittals, preparation of take-offs and material pricing, and preparation of monthly payment applications.



### **EDUCATION**

B.S., Construction Management,  
California State University,  
Chico

[chrisv@tbcorp.com](mailto:chrisv@tbcorp.com)

### **CERTIFICATIONS**

Prolog

Procure

Primavera P6

LEED Green Associate

### **CHRIS'S SELECT EXPERIENCE**

#### **SANTA CLARA VALLEY MEDICAL CENTER, SAN JOSE, CA | \$18M**

Construction of the fourth floor of the Valley Medical Center' Women and Children's Post-Partum Recovery Center in San Jose, California.

#### **FELTON LIBRARY | \$8.3M**

Construction of a single story concrete and steel structure with gross square feet of 8,990. Construction included a parking lot, garage, associated sitework, engineered advanced septic system, landscaping and restoration plantings.

#### **LAFAYETTE TOWN CENTER, LAFAYETTE, CA | \$24M**

High-end residential condominium project strategically located between the BART station and downtown Lafayette. The project consists of 69 units, two levels of parking and four levels of wood-framed residential units. The major components of construction include mass excavation, grading, permanent shoring, waterproofing, over 6,000 CY of concrete, wood framing, HVAC, electrical and plumbing.

#### **72 TOWNSEND STREET CONDOS, SAN FRANCISCO, CA | \$47M**

This Hybrid Design/Build project for KB Homes included preconstruction and construction services. Construction consisted of a 9 story high rise condominium building, including 2 levels of parking within the existing 2-story historic perimeter walls and 7 levels of condominium units above. The 74 units of residential development and street front retail space is one block from the SF Giants Ballpark. The Mechanical, Electrical and Fire Sprinkler subcontractors were incorporated into the design phase of construction. During construction pull scheduling meetings were successfully conducted with trade subcontractors on a monthly basis.

#### **RED BLUFF PUMPING PLANT, RED BLUFF, CA | \$24.7 M**

Excavation and dewatering for the construction of a siphon, self-contained slide gate and 700 foot access bridge consisting of drilled shafts and reinforced concrete piers and cast in place concrete deck.

#### **MORGAN HILL COURTHOUSE, MORGAN HILL, CA | \$35M**

#### **ALMADEN COMMUNITY CENTER AND LIBRARY, SAN JOSE, CA | \$20M**

#### **SANTA CRUZ METROBASE MAINTENANCE BUILDING, SANTA CRUZ, CA | \$15.3M**



## JAMES LEWIS

*Superintendent*

James began his career with Thompson Builders Corporation in 2009 and has 15 years of construction experience encompassing new construction, modernization and public works projects. As an Superintendent James will be responsible for on-site construction activities including subcontractor scheduling and management, self-performed labor performance and project safety. He will work with project management staff to ensure the project remains on schedule.

James will also assume primary responsibility for managing the Quality Management Program and ensuring subcontracted and self-performed scopes meet or exceed contract requirements. He will act as the day to day liaison between project production and quality management to ensure that the work conforms to project requirements and will be responsible for documenting quality compliance and providing senior management with periodic quality reports.

### EDUCATION

Turlock High School  
[jamesl@tbcorp.com](mailto:jamesl@tbcorp.com)

### CERTIFICATIONS

BART Trackway Safety  
OSHA 30  
CPR  
Tower Crane

### JAMES' SELECT EXPERIENCE

#### **Manteca Big League Dreams | \$26.6 M**

The Manteca Big League Dreams project for the City of Manteca included the construction of a new sports park facility on a 30 acre site. The park is famous for its baseball/softball fields which replicate famous major league fields.

#### **Mission Bay 360 Blocks 5 & 11 | \$19.8**

The project for BRE properties was for the construction of two building blocks located in the San Francisco Mission Bay District. The two buildings consisted of 360 residential units and 23,000 sf of retail and common amenity space. We completed panelized construction where we fabricated entire sections of floors and walls off site before lifting by crane and placing on the 5 story buildings. Throughout this process we used BIM to coordinate and identify all blocking and openings within the wood framing to help speed the installation of the panels and avoid pick up work following placement of panels.

#### **Red Bluff Pumping Plant | \$24.7 M**

This project located in Red Bluff, CA was for the United States Department of the Interior Bureau of Reclamation. The scope of work included excavation and dewatering for the construction of a siphon, self-contained slide gate and 700 foot access bridge, consisting of drilled shafts and reinforced concrete piers and cast in place concrete deck.





## EDUCATION

Turlock High School  
[jamesl@tbcorp.com](mailto:jamesl@tbcorp.com)

## CERTIFICATIONS

BART Trackway Safety  
OSHA 30  
CPR  
Tower Crane

### Cunha Middle School | \$13.3 M

New construction for the Cabrillo Unified School District. Project included a wood-framed 32,000 sf, 2-story classroom building (including 9 regular classrooms, 5 science classrooms, 1 art room, and 1 band room) and the construction of a new 1-story library building (including 1 reading room, 1 stack room, 1 computer classroom, 2 group study rooms, 1 server room and 1 work room). The associated site work included concrete paving, curb, gutter and sidewalks; lighting; landscaping; irrigation; and fencing on a 2 acre site.

### Merced Center Parking Structure | \$10 M

This project for the City of Merced included new construction of a three-deck parking structure with 335 spaces. The structure is Type II-Fire resistive construction, poured-in-place concrete columns and shear walls, poured-in-place concrete post tensioned beams and slabs. It is approx. 119,275 sf, of that 12,225 sf is used for commercial and retail units on the ground level.

### BART Union City Phase II - |\$13.5 M

This BART project consists of a remodeling and expansion of the existing BART Station, including new elevators, station agent booth, new vestibule portion added to station and improvements to the central passageway under the BART tracks.

### East Contra Costa BART Extension Project Transfer Platform and Guideway Improvements | \$26.1 M

This project for BART included the construct of a rail transit facilities within the median area of State Route 4 (SR4) (starting on the west side of BART's Pittsburg/Bay Point (PBP) Station and extending east approximately 3.5 miles in length to four-tenths of a mile west of the Loveridge Road overcrossing interchange and on Canal Road, north of SR4 westbound lanes.)

### BART Union City Intermodal Station Phase I |\$25.7

Remodel and expansion of the existing Union City BART Station, including new vertical circulation, a new plaza pedestrian bridge promenade fronting the renovated Station with new exterior lighting bus transit facility.

### Powell Street BART |\$4.5 M

The project included architectural enhancements at three occupied BART stations in San Francisco including the Powell Street Station which offers access to BART and Muni and also provides access to Westfield Shopping Center and Nordstrom. The project ran through the holiday season including Thanksgiving weekend through to New Year's Day. TB Corp reworked the original containment phasing plan schedule to protect the public and maintain the higher than normal traffic schedule.



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## Supplemental Information

### “Cabrillo”

Project Name: Cunha Intermediate School Classroom Building C and Library

Owner: Cabrillo Unified School District, Sean McPhetridge, Superintendent

San Mateo Superior Court Case No. 17-CIV-00937

Filed March 3, 2017

On March 3, 2017 Cabrillo Unified School District (District) filed an action against Thompson Builders Corporation (TBC) for alleged water infiltration and associated remedial costs to Cunha Intermediate School project completed 8 years prior on May 14, 2009. This matter is being defended by TBC and its Subcontractor’s insurance carriers as an insurance claim.

There are numerous subcontractors that are involved in the alleged defect allegations. The discovery on the potential claim has just begun and it is unknown the exact damages the District is claiming at this point. TBC and its subcontractors dispute the District’s claims as work was installed in accordance with contract documents and inspected and signed off by a DSA Inspector. There are also significant issues related to District design errors, betterment claims and statute of limitation issues. The matter is in mediation.



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## Supplemental Information

### “Lafayette”

Project Name: Lafayette Town Center, LLC

Claim Filed Against: Lafayette Town Center, LLC, Dan Huertas (650) 722-4389

Contra Costa Superior Court Case No. CIVMSC 18-01590

Filed August 3, 2018.

Thompson Builders Corporation (TBC) completed a 70 unit condominium project in Lafayette, California. Due to numerous design errors, the project took longer to complete and required substantial additional work. The owner, Lafayette Town Center, LLC, (LTC) has refused to pay TBC for \$2.3 million in contract balance and \$4.2 million in additional work and damages related to the delay. There are several subcontractors enjoined in the lawsuit. TBC recorded a lien and has filed an action against LTC to recover damages in the amount of \$5M.

The parties are in settlement discussion. The matter will be sent to General Reference for resolution if settlement cannot be reached.

**CALIFORNIA ACKNOWLEDGMENT**

**CIVIL CODE § 1189**

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of Marin }

On 3/03/2021 before me, Shannon Marie Miller, Notary Public  
Date Here Insert Name and Title of the Officer

personally appeared Lee Jones  
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.



I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature

*[Handwritten Signature]*  
Signature of Notary Public

Place Notary Seal and/or Stamp Above

**OPTIONAL**

Completing this information can deter alteration of the document or fraudulent reattachment of this form to an unintended document.

**Description of Attached Document**

Title or Type of Document: \_\_\_\_\_

Document Date: \_\_\_\_\_ Number of Pages: \_\_\_\_\_

Signer(s) Other Than Named Above: \_\_\_\_\_

**Capacity(ies) Claimed by Signer(s)**

Signer's Name: \_\_\_\_\_

Corporate Officer – Title(s): \_\_\_\_\_

Partner –  Limited  General

Individual  Attorney in Fact

Trustee  Guardian or Conservator

Other: \_\_\_\_\_

Signer is Representing: \_\_\_\_\_

Signer's Name: \_\_\_\_\_

Corporate Officer – Title(s): \_\_\_\_\_

Partner –  Limited  General

Individual  Attorney in Fact

Trustee  Guardian or Conservator

Other: \_\_\_\_\_

Signer is Representing: \_\_\_\_\_



250 Bel Marin Keys Blvd.  
Building A  
Novato, CA 94949  
Phone: 415.456.8972  
Fax: 415.459.0665  
General Contractor  
ibcorp.com

**Certification by Secretary of Corporate Resolution**

At the meeting of the Board of Directors of Thompson Builders Corporation, a corporation organized and existing under the laws of the state of California, dully called and held in accordance with the articles of incorporation and bylaws of the corporation at its office on July 6, 2015 at which quorum of the directors were present the following resolution was adopted to wit:

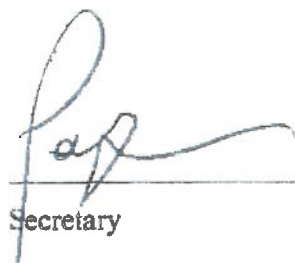
Resolved that a Lee Jones and Clayton Fraser are hereby authorized to sign contracts/agreements in the name of and on behalf of Thompson Builders Corporation.

I, Paul Thompson, Secretary of Thompson Builders Corporation do hereby certify that I am the Secretary of said corporation and that the above is a full, true, and correct copy of a resolution of the Board of Directors of said corporation, duly adopted at the meeting held on July 6, 2015 and that said resolution as not been revoked or rescinded.

In witness whereof, I have subscribed my name and affixed the seal of said corporation.

7/6/15

\_\_\_\_\_  
Date

  
\_\_\_\_\_  
Secretary



**CONTRACTORS  
STATE LICENSE BOARD  
ACTIVE LICENSE**



License Number **626859**

Entity **CORP**

Business Name **THOMPSON BUILDERS  
CORPORATION**

Classification **B A C17**

Expiration Date **08/31/2021**

[www.cslb.ca.gov](http://www.cslb.ca.gov)





## Contractor Information

**Legal Entity Name**  
 THOMPSON BUILDERS CORPORATION  
**Legal Entity Type**  
 Corporation  
**Status**  
 Active  
**Registration Number**  
 1000000633  
**Registration effective date**  
 7/1/2020  
**Registration expiration date**  
 6/30/2021  
**Mailing Address**  
 250 BEL MARIN KEYS BLVD BUILDING A NOVATO 94949 CA United States o...  
**Physical Address**  
 250 BEL MARIN KEYS BLVD BUILDING A NOVATO 94949 CA United States o...  
**Email Address**  
**Trade Name/DBA**  
**License Number(s)**  
 CSLB:626859  
 CSLB:626859

## Registration History

Effective Date	Expiration Date
6/13/2018	6/30/2019
6/19/2017	6/30/2018
6/10/2016	6/30/2017
6/30/2015	6/30/2016
7/29/2014	6/30/2015
7/1/2019	6/30/2020
7/1/2020	6/30/2021

## Legal Entity Information

**Corporation Number:** C1496979  
**Federal Employment Identification Number:**  
**President Name:** PAUL THOMPSON  
**Vice President Name:** JOE HASS  
**Treasurer Name:** PAUL THOMPSON  
**Secretary Name:** PAUL THOMPSON  
**CEO Name:**  
  
**Agent of Service Name:** PAUL THOMPSON  
**Agent of Service Mailing Address:** 250 BEL MARIN KEYS BLVD BUILDING A NOVATO 94949 CA United States of America

## Workers Compensation

**Do you lease employees through Professional Employer Organization (PEO)?:** No

**Please provide your current workers compensation insurance information below:**

PEO InformationName	PEO Phone	PEO Email

**Insured by Carrier**  
**Policy Holder Name:** THOMPSON BUILDERS CORPORATION  
**Insurance Carrier:** ZURICH AMERICAN INSURANCE COMPANY  
**Policy Number:** WC695489100  
**Inception date:** 11/30/2019  
**Expiration Date:** 11/30/2020



*This form must be completed in ink and changes must be initialed.*

**PRIOR CONSTRUCTION CONTRACTS**

The Bidder may make as many copies of this page as necessary. Bidder may provide the required information on an alternative document. Bidder must submit a signed original of this page 3 of Bid Form 7.

Respond to each item, indicating "none" where appropriate.

- A. Name, address, and telephone number of owner: County of Marin, Flood & Water Conservation District  
Hannah Lee, 415-473-2671, 3501 Civic Center Drive, Room 34, San Rafael CA 94903
- B. Name of project: Simmons Slough Water Management System
- C. Location of project: Novato, CA
- D. Brief description of the work involved: Culvert site work and improvements
- E. Contract amount: \$2,892,067
- F. Claims amount: None
- G. Liquidated damages assessed: None
- H. Date of completion of contract: In Progress
- I. Name, address, and telephone number of design firm's architect or engineer:  
Wood Rogers, 510-286-9804, 180 Grand Avenue, Oakland CA
- J. Name of owner's project manager: Hannah Lee (contact info above)
- K. Declaration of Default (Yes or No): No
- L. Litigation on questions of project performance: None
- M. Determination of failure to pay prevailing wages or other state and/or federally required taxes or contributions: None
- N. Citations by federal OSHA or CAL OSHA: None

**District reserves the right to verify the above information.**

**SIGNATURE BLOCK** (Signature Block must be completed in *ink* and changes must be *initialed*.)

Bidder's Signature: 	Date: 3/03/2021
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Bidder's Name and Title (Print): Lee Jones, Vice President