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*Santa Clara Valley Water District
Notification of this Addendum is transmitted via email to all current Prequalification Participants.
This Addendum is posted on the Valley Water website at
<https://www.valleywater.org/construction>*

April 9, 2021

**ADDENDUM NO. 1
TO PREQUALIFICATION APPLICATION
FOR GENERAL CONTRACTORS SEEKING TO BID ON
SOUTH COUNTY RECYCLED WATER PIPELINE
SHORT-TERM PHASE 1B/2A/1C/2B PROJECT
Project No. 91094009 Contract No. C0668**

Notice is hereby given to Prospective Bidders that Prequalification Application document is modified as hereinafter set forth.

PREQUALIFICATION APPLICATION

PREQUALIFICATION APPLICATION COVER SHEET

1. **REPLACE** the date of **DEADLINE TO SUBMIT PREQUALIFICATION APPLICATION** with:

**April 21, 2021
at 2:00 PM**

SECTION 1. NOTICE OF REQUEST FOR PREQUALIFICATION

2. **REPLACE** Item C1. **Application Submission** with:

“1. The fully completed Prequalification Application must be submitted in a sealed envelope marked as indicated below and received by Valley Water no later than **2:00 p.m. on April 21, 2021**. It is the sole responsibility of the contractor to ensure that their Prequalification Application is received no later than the stated deadline. Any Prequalification Application package received after 2:00 p.m. will not be considered. Late submittals will be returned unopened. All Prequalification Applications submitted will be opened only after the deadline for submission.”

3. **REPLACE** Third Paragraph of Item C3. **Application Submission** with:

“**HAND DELIVERY** – Hand delivery of Prequalification Application submittal shall only be between the hours of 1:00 p.m. – 2:00 p.m. on the due date stated herein paragraph C.

Application Submission, item 1, at the Winfield Warehouse located at 5905 Winfield Boulevard, San Jose, CA 95123. **Upon entrance through the gate, turn left towards the warehouse building. Submittal shall be delivered at a drop off box, marked “Bid/Submittal Drop Box,” located in front of the warehouse entrance door. Each applicant must take a picture using its cellphone showing the “Official Clock” timer as proof of delivery.** Contractors must observe all Public Health Officers orders and wear proper face covering when dropping off your submittal.”

SECTION 3. PREQUALIFICATION PROCESS SCHEDULE

4. **REPLACE** the entire Table under SECTION 3. PREQUALIFICATION PROCESS SCHEDULE with:

Item No.	Activity	Timeline	Latest Date
1	Issuance of Prequalification Applications		March 11, 2021
2	Prequalification Conference	Latest date	March 18, 2021
3	Deadline to submit questions regarding the Prequalification Process, Application, or Comparable Project Experience	No later than 9 calendar days <i>before</i> Applications are due.	April 12, 2021
4	Last day for Valley Water to issue addenda	No later than 3 business days <i>before</i> Applications are due.	April 16, 2021
5	Deadline to submit completed Prequalification Application with all required attachments	5 weeks <i>after</i> Issuance of Prequalification Applications.	April 21, 2021 at 2:00 p.m.
6	Valley Water notifies all contractors by email of prequalification rating and posts list of ONLY the prequalified contractors on website	No later than 10 business days <i>after</i> Applications are due.	May 5, 2021
7	Deadline to appeal Valley Water’s proposed prequalification rating.	No later than 7 business days <i>after</i> proposed prequalification ratings are posted.	May 14, 2021
8	Appeals hearing(s) conducted by the Valley Water	No later than 7 business days <i>after</i> deadline to appeal.	May 25, 2021
9	Valley Water issues appeals decision(s) and posting of final list of prequalified contractors	No later than 7 business days <i>after</i> appeals heard.	June 3, 2021
10	Valley Water finalizes and posts list of prequalified contractors		June 10, 2021

SECTION 4. GENERAL INSTRUCTIONS AND INFORMATION

5. **REPLACE** the entire table on item H. **Scoring of Prequalification Applications** with:

MINIMUM REQUIREMENTS FOR SECTION 5. CONTRACTOR PREQUALIFICATION QUESTIONNAIRE	
PART II. Essential Requirements for Prequalification	
A) Essential Criteria (Questions 1 – 13)	Qualify on all questions
B) History of the Business and Organizational Performance (Questions 1 – 12)	Minimum Score of 47 out of 63 on this portion of the questionnaire
C) Compliance with Occupational Safety, Workers Compensation, Prevailing Wage and Apprenticeship Laws (Questions 1 – 11)	Minimum Score of 38 out of 53 on this portion of the questionnaire
PART III. Quality of Performance (Interview responses)	Minimum Score of 84 out of 120 on each of the three interviews
PART IV. Comparable Project Experience. A.2. Minimum Criteria (Projects 1, 2, 3, 4, 5 and 6)	Meet minimum criteria
PART IV. Comparable Project Experience. A.3. Special Information (Projects 1, 2, 3, 4, 5 and 6)	Minimum Score of 30 out of 45 on each of the six projects

SECTION 5. CONTRACTOR PREQUALIFICATION QUESTIONNAIRE

6. **REPLACE** Item Number 2 of **PART III. Quality of Performance** with:

“Valley Water will initially contact owner references for the three (**one each for HDPE, Welded Steel and Microtunneling projects**) of the six completed projects presented in Part IV. Comparable Project Experience. Valley Water will contact references for the fourth project only if Valley Water is unable to reach the reference, a reference is unavailable, or Valley Water is unable to interview the reference within 5 working days of the initial contact. Valley Water will contact the owner’s Project Manager, Architect or Engineer-of-Record, and Construction Manager for interviews. There will be 3 interviews conducted for each project for a total of **Nine (9)** interviews.”

7. **REPLACE** the 2nd Paragraph under Part III. Quality of Performance in the **INTERVIEW QUESTIONS** with:

“The highest possible score for each project is 120 points. A score of fewer than 84 points on either of the **three** projects disqualifies the Contractor from bidding on this Project.”

8. **REPLACE** Item 3 in PART IV. Comparable Project Experience with:

“3. At least two of the projects must have used microtunneling as an installation method for piping (**36-inch or larger**).

a. **A qualifying Contractor that completed a microtunneling project with a subcontractor does not need to submit the additional requirements of item b. below.**

b. **The qualifying Contractor may utilize a subcontractor’s project experience to meet the microtunneling requirements. If the qualifying Contractor chooses to utilize a Subcontractor’s project experience to meet these requirements, the qualifying Contractor shall submit resumes that indicate the following qualifications of the Subcontractor’s staff are met:**

- 1) **Managerial and Supervision: Each jacking shift shall be supervised by at least one person with previous experience in microtunneling work and meet the qualification requirements. Managerial and Supervisor shall have a minimum of 10 years of experience in microtunneling in similar ground conditions.**
- 2) **Microtunnel System operators for each shift shall be experienced personnel with prior knowledge and ability in the proper operation of the systems being employed and meet the qualification requirements. Operators shall have a minimum of 5 years of experience operating microtunnel systems in similar ground.**

The qualifying Contractor must submit the same subcontractor utilized on this Prequalification Application to the bid package. If a qualifying Contractor listed a different subcontractor in the bid package, the bid shall be considered Non-Responsive.

For the project to be considered completed, it must have been accepted by the project owner.”

9. **REPLACE** the **Note** on A.3. PROJECT 1 - Special Information to A.3. PROJECT 6 - Special Information of **PART IV. Comparable Project Experience** with:

“**Note:** Project must receive at least **30** out of 45 points in this section A.3. to be considered a qualifying project.”

10. **REPLACE** Item a. on Number 3., **PART V. Required Attachments and Enclosures** with:

“a. The latest copy of a reviewed or audited financial statement with accompanying notes and supplemental information, including an Opinion Letter from an Independent CPA. The original Opinion Letter or **original CPA Cover Letter** must be submitted. **Copies of CPA Opinion Letter/CPA Cover Letter with the latest copy of a reviewed or audited financial statement can be accepted as long as the Bidder can provide an original letter from a CPA stating that these copies are true and correct.**”

11. **ADD** Item **g.** on Number 3., on **PART V. Required Attachments and Enclosures:**

“g. If the qualifying Contractor chooses to utilize a Subcontractor’s project experience to meet the requirements of 3.b., Item 8 of Addendum No. 1, the qualifying Contractor shall submit resumes that indicate the following qualifications of the Subcontractor’s staff are met:

- 1) Managerial and Supervision: Each jacking shift shall be supervised by at least one person with previous experience in microtunneling work and meet the qualification requirement. Managerial and Supervisor shall have a minimum of 10 years of experience in microtunneling in similar ground conditions.**
- 2) Microtunnel System operators for each shift shall be experienced personnel with prior knowledge and ability in the proper operation of the systems being employed and meet the qualification requirement. Operators shall have a minimum of 5 years of experience operating microtunnel systems in similar ground.**

GENERAL QUESTIONS & RESPONSES

QUESTION 1: (Date Received: March 19, 2021)

We don’t know exactly when we’ll have our 2020 audited financials. They are loosely promised to us by 04/30/2021. We definitely have the 2019 Audited financials. Will you accept those instead? Or are 2020 required?

RESPONSE 1:

If the 2020 Audited Financial Statement is not available prior to the application submittal deadline of April 14, 2021, the 2020 un-Audited Financial Statement is acceptable. However, the 2019 Audited Financial Statement must also be submitted.

QUESTION 2: (Date Received: March 23, 2021)

Reference page 3, C. Application Submission #4: “The envelope must contain 1 original hard copy of the Prequalification Application and 1 USB Flash Drive containing the Prequalification Application, with all documents in searchable PDF format.” The Prequalification Conference slide deck from March 18th differs from the specs by stating Contractors must also provide the Prequalification Application in Microsoft Word format. Please confirm the 1 USB Flash Drive needs to contain the Prequalification Application in searchable PDF format only (or if the prequalification must also be included in Word format, please provide the Word file.).

RESPONSE 2:

The Microsoft Word format is not required.

QUESTION 3: (Date Received: March 23, 2021)

Reference page 56, PART V. Required Attachments and Enclosures #3a.: “The latest copy of a reviewed or audited financial statement with accompanying notes and supplemental information, including an Opinion Letter from an Independent CPA. The original Opinion Letter must be submitted.” Our audited financial statements have a CPA cover letter, however we are not provided with an original. Please confirm that the copy of the letter will suffice.

RESPONSE 3:

See Item 10 of Addendum No. 1

QUESTION 4: (Date Received: March 23, 2021)

The prequalification requires joint ventures to qualify separately, however, our joint venture was established in 1996 and all work has been completed as a joint venture since 1997. Vido Artukovich & Son Inc. and Vidmar Inc. have not completed any contracts separately since 1997. Please advise if we can submit one prequalification package as all work has been done through the joint venture Vido Artukovich & Son Inc./ Vidmar Inc.

RESPONSE 4:

A separate prequalification application must be submitted. Each entity of Joint Venture proposals must individually meet the conditions of the prequalification requirements including each entity financial statements and bonding requirements.

QUESTION 5: (Date Received: April 5, 2021)

Per the prequalification requirements, the contractor must have completed at least two projects using micro-tunneling as an installation method for piping 36-inch or Larger.

As micro-tunneling is specialist work that is typically performed by a subcontractor would the owner consider either of the following,

- 1.) Allow the qualifying contractor to use subcontractor experience to meet this requirement?
- 2.) Alternatively, the owner could have a separate prequalification for micro-tunneling subcontractors and provide bidders with a list of prequalified micro-tunneling subcontractors?

RESPONSE 5:

See Item 8 of Addendum No. 1.

QUESTION 6: (Date Received: April 5, 2021)

Based on Part IV Paragraph 3. – Comparable Project Experience (page 37 of the Prequalification Questionnaire) “At least two of the projects must have used microtunneling as an installation method for piping 36-inch or larger.”

Based on the scoring for individual projects question 2.a. “Did your Company’s work on this project include shaft construction and microtunneling as an installation method.” Valued at 6 points.

It appears that the scoring criteria allows contractors to prequalify regardless of the microtunneling experience.

While we have Jack and Bore experience, and we have provided value engineering on previous projects for an alternative method to microtunneling we do not specifically have microtunneling experience. Furthermore, microtunneling will be a listed subcontractor, and as such requiring the prime contractor to have experience on their projects with microtunneling might not be SCVWD’s intent of this questionnaire.

Please confirm whether the submitted Contractor Prequalification Questionnaire will be rejected if it doesn’t include reference to two microtunneling projects.

RESPONSE 6:

Jack and bore will not be considered as equal experience to microtunneling. The project team conducted a geotechnical investigation during design and microtunneling was considered for the Uvas Creek crossing based on the site soil and groundwater conditions, geometric constraints at the creek crossing, and the available space for construction.

See Item 4 of Addendum No. 1 for additional information related to this question.

QUESTION 7: (Date Received: April 5, 2021)

Page 39 of the Prequalification Application, section A.3. Special Information of the comparable project experience project sheets requires projects to receive 32 out of 45 points to be a qualifying project. A.3. question 2 on page 40 provides 9 points for projects involving Trenchless Installation. Since these 9 points apply to all 6 reference projects, they inadvertently penalize projects submitted to only meet the requirements for Part IV #1, Welded Steel piping and Part IV #2, high-density polyethylene pipe projects (non-trenchless installation projects).

Please consider revising the scoring points system for projects submitted for Part IV #1, Welded Steel piping and Part IV #2, high-density polyethylene pipe by omitting question 2. Trenchless Installation and changing the minimum points required for qualifying WSP and HDPE projects to 23 out of 36 points.

RESPONSE 7:

See Items 6, 8, and 9 of Addendum No. 1

QUESTION 8: (Date Received: April 7, 2021)

We are finding it difficult to find microtunneling projects with this diameter of pipe. Is it possible to use a subcontractor for this qualification at bid time, to use smaller diameter microtunneling (24" or smaller) or to use jack and bore as part of the minimum qualifications.

This size microtunneling is rare in California.

RESPONSE 8:

See Item 8 and RESPONSE 6 of Addendum No. 1.

THIS ADDENDUM NO. 1, WHICH CONTAINS 7 PAGES AND 1 ATTACHMENT, IS ATTACHED TO AND IS A PART OF THE PREQUALIFICATION APPLICATION FOR THIS PROJECT.

DocuSigned by:



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Date: 4/9/2021

Heath McMahon P.E.
Deputy Operating Officer
Water Utility Capital Division

ENCLOSURE(S):

ATTACHMENT NO. 1 – REVISED PART VI - CERTIFICATION SIGNATURE FORM

ATTACHMENT NO. 1

REVISED PART VI - CERTIFICATION



Contractor Prequalification Questionnaire Revised PART VI – Certification

Qualifying Contractor acknowledges receipt of the following Addenda to the Prequalification Application:

Addenda are posted online at <https://www.valleywater.org/construction>.

NO Addenda received

Addenda received as follows:

Addendum No. _____ Date _____ Addendum No. _____ Date _____

Failure to acknowledge receipt of an Addendum on this Form is not, in itself, cause for withdrawal or rejection of Prequalification Application, if it can be established that Qualifying Contractor did, in fact, receive such Addendum prior to deadline for submittal.

FIRST SIGNATURE

I, _____ (Name), the undersigned, am the _____ (Title), with the authority to act for and on behalf of _____ (Contractor Entity Name), declare under penalty of perjury under the laws of the State of California that the foregoing information provided in this Prequalification Questionnaire is true, full, and correct.

I understand that making a false statement may result in disqualification from bidding on any public works project, registering as a Public Works Contractor with the Department of Industrial Relations, and may be grounds for termination of a public works contract.

Executed on this _____ day of _____ at _____.
(Date) (Month/Year) (City/State)

Name of Contractor Representative: _____

Signature of Contractor Representative: _____

SECOND SIGNATURE

I, _____ (Name), the undersigned, am the _____ (Title), with the authority to act for and on behalf of _____ (Contractor Entity Name), declare under penalty of perjury under the laws of the State of California that the foregoing information provided in this Prequalification Questionnaire is true, full, and correct.

I understand that making a false statement may result in disqualification from bidding on any public works project, registering as a Public Works Contractor with the Department of Industrial Relations, and may be grounds for termination of a public works contract.

Executed on this _____ day of _____ at _____.
(Date) (Month/Year) (City/State)

Name of Contractor Representative: _____

Signature of Contractor Representative: _____