WELLCOME

PREQUALIFICATION CONFERENCE

August 27, 2020
10:00 AM

Anderson Dam Tunnel Project

Project No. 91864005
Contract No. C0663

Presented by: David Montenegro, PE, Principal Construction Contracts Administrator
INTRODUCTION

Please make sure you type your NAME clearly on your Zoom screen. This will be used to confirm your attendance to this pre-bid meeting.
MEETING GUIDELINES

1. Please mute your microphone during the entire presentation.
2. This meeting will be RECORDED and will be available upon request.
3. Attendance to this pre-bid meeting is OPTIONAL and must be present during the entire meeting.
4. If for some reason, an attendee got disconnected due to network issues or power outages, and after several attempts have fail to reconnect, please submit an email to scvwdplanroom@valleywater.org to request of the PPT (in pdf) presentations in the Valley Water’s website along with the Project’s Background and Technical PPT presentation.
5. Questions/Inquiries: Any questions answered during this meeting will be informal unless a formal written question is submitted to scvwdplanroom@valleywater.org. Please take note that VW staff may defer from responding to these questions through addendum.
AGENDA

- Valley Water Point of Contact
- Prequalification – Administrative Process (David Montenegro)
- Prequalification – Submittal Requirements (David Montenegro)
- Questions (David Montenegro)
- Anderson Dam Tunnel Project – Background (Victor Gutierrez)
- Anderson Dam Tunnel Project – Technical (John Roadifer)
- Questions (Project Team)
CONSTRUCTION CONTRACT SUPPORT UNIT

• David Montenegro: Principal Construction Contracts Administrator
  • Email: dmontenegro@valleywater.org
  • Phone: (408) 630-2052 (Work)
  • Cell: (408) 206-2081

• Email: scvwplanroom@valleywater.org
• Website: https://www.valleywater.org/construction
A. Valley Water has determined that all contractors wishing to bid on the Anderson Dam Tunnel Project (Project or ADTP) must be prequalified prior to submitting a bid on the Project. Bids will not be accepted from any contractor that has failed to comply with the requirements stated in this Prequalification Application. 

Nevertheless, if fewer than 4 firms are prequalified, Valley Water intends to solicit bids without requiring prequalification. Valley Water reserves the right to solicit bids for the Project without requiring prequalification or to not proceed with awarding a contract for this Project.

A current Class A California Contractor's License is required to bid on the Project.

B. Valley Water estimates the construction cost for this Project to be approximately $168.45 million. It is expected that the work will be performed during an approximate 36-month period from March 2021 to February 2024.
PREQUALIFICATION – ADMINISTRATIVE PROCESS

Prequalification Process – Important Information (Continuation)

C. Only one Prequalification Application may be submitted by an applicant. If more than one application is submitted by an applicant, all applications submitted by that applicant will be returned unopened.

D. Attendance at the Prequalification Conference is OPTIONAL. The Prequalification Conference will be recorded and a link of the recorded Conference will be added to the Valley Water’s website: https://www.valleywater.org/construction, along with a link to the PowerPoint presentation presented at the Conference.

E. The fully completed Prequalification Application must be submitted in a sealed envelope marked as indicated below and received by Valley Water no later than 2 p.m. on September 18, 2020. Any Prequalification Application package received after 2 p.m. will not be considered. Late submittals will be returned unopened.

All Prequalification Applications submitted will be opened only after the deadline for submission.
Prequalification Process – Important Information (Continuation)

F. Contractors must submit Prequalification Applications by third party carrier such as: FEDEX, UPS, DHL, CA Overnight, Golden State Overnight and must address the submittal as stated herein. **NO SUBMITTALS WILL BE ACCEPTED BY IN-PERSON DELIVERY OR USPS (U.S. MAIL).**

The envelope must be marked as follows:

Santa Clara Valley Water District  
Attention: Ken Wong  
Prequalification Application, Anderson Dam Tunnel Project (CONFIDENTIAL)  
5905 Winfield Boulevard, San Jose, CA 95123-2428

G. Important list if you are contractor wishing to prequalify:

- Request for Prequalification Application
- Prequalification Participation List
H. Reference Information Documents:
   • Specific project information will be available in electronic (pdf) format from Valley Water Plan Room. Complete and sign the Request for Information with Non-Disclosure Agreement (NDA) Form and a link to these documents will be sent to you.

I. Best Value Contract Selection
   • An addendum will be issued no later than September 3, 2020, to specify the requirements that will be used to select the Contractor.

J. Questions
   • Questions about the process: Please send submit a written inquiry to scvwdplanroom@valleywater.org.
   • Deadline to submit questions is September 8, 2020.
# PREQUALIFICATION – ADMINISTRATIVE PROCESS

Prequalification Process – Important Information (Continuation)

## K. Prequalification Process Schedule:

<table>
<thead>
<tr>
<th>ITEM NO.</th>
<th>ACTIVITY</th>
<th>TIMELINE</th>
<th>LATEST DATE</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Issuance of Prequalification Applications</td>
<td></td>
<td>August 18, 2020</td>
</tr>
<tr>
<td>2.</td>
<td>Prequalification Conference</td>
<td>No later than 7 business days after issuance of Application.</td>
<td>August 27, 2020 10:00 AM</td>
</tr>
<tr>
<td>3.</td>
<td>Deadline to submit questions regarding the Prequalification Process,...</td>
<td>No later than 8 business days before Applications are due.</td>
<td>September 8, 2020</td>
</tr>
<tr>
<td>4.</td>
<td>Last day for Valley Water to issue addenda</td>
<td>No later than 5 business days before Applications are due.</td>
<td>September 11, 2020</td>
</tr>
<tr>
<td>5.</td>
<td>Deadline to submit completed Prequalification Application with all...</td>
<td>See Latest Date</td>
<td>September 18, 2020</td>
</tr>
<tr>
<td></td>
<td>attachments</td>
<td></td>
<td>at 2:00 PM</td>
</tr>
<tr>
<td>6.</td>
<td>Valley Water notifies each contractor by email of its proposed...</td>
<td>No later than 14 business days after Applications are due.</td>
<td>October 8, 2020</td>
</tr>
<tr>
<td></td>
<td>prequalification rating and posts list of proposed prequalified contractors on website</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7.</td>
<td>Deadline to request basis of proposed prequalification rating. No later than 3 business days after proposed prequalification ratings are posted.</td>
<td>No later than 3 business days after proposed prequalification ratings are posted.</td>
<td>October 13, 2020</td>
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</tbody>
</table>
## Prequalification Process – Important Information (Continuation)

### K. Prequalification Process Schedule (Continuation):

<table>
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<tr>
<th>ITEM NO.</th>
<th>ACTIVITY</th>
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<th>LATEST DATE</th>
</tr>
</thead>
<tbody>
<tr>
<td>8.</td>
<td>Deadline for Valley Water to provide documentation of proposed prequalification ratings.</td>
<td>No later than 2 business days after documentation request is received.</td>
<td>October 15, 2020</td>
</tr>
<tr>
<td>9.</td>
<td>Deadline to appeal Valley Water’s proposed prequalification rating.</td>
<td>No later than 7 business days after proposed prequalification ratings are posted.</td>
<td>October 19, 2020</td>
</tr>
<tr>
<td>10.</td>
<td>Appeals hearing(s) conducted by the Valley Water</td>
<td>No later than 7 business days after deadline to appeal.</td>
<td>October 28, 2020</td>
</tr>
<tr>
<td>11.</td>
<td>Valley Water issues appeals decision(s)</td>
<td>No later than 7 business days after appeals heard.</td>
<td>November 6, 2020</td>
</tr>
<tr>
<td>12.</td>
<td>Valley Water finalizes and posts list of prequalified contractors</td>
<td></td>
<td>November 12, 2020</td>
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</tbody>
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## Prequalification Application Package

A. Scoring of Prequalification Applications - Please refer to Section 4.(H)

<table>
<thead>
<tr>
<th>Paragraph</th>
<th>Requirements</th>
<th>Minimum Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>C</td>
<td>Essential Criteria Requirements</td>
<td>Qualify on all questions</td>
</tr>
<tr>
<td>D</td>
<td>Pre-Qualification Criteria</td>
<td>See Appendix B. Scoring Worksheet for Minimum Score</td>
</tr>
<tr>
<td>E</td>
<td>Quality of Performance (interview responses)</td>
<td>Minimum Score of 84 out of 120 on each of the two interviews</td>
</tr>
<tr>
<td>F</td>
<td>Comparable Project Experience</td>
<td>Minimum Score of 60 out of 85 on each of the three projects</td>
</tr>
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PREQUALIFICATION – SUBMITTAL REQUIREMENTS

Prequalification Application Package (Continuation)

B. Prequalification Application Submittal

Section 5 - CONTRACTOR PREQUALIFICATION QUESTIONNAIRE

A. Contractor Information
B. Current Organization and Structure
C. Essential Criteria Questions
D. Pre-Qualification Criteria Questions
E. Quality of Performance – Interview Questions
F. Comparable Project Experience
G. Required Attachments and Enclosures
H. Certification (must be signed by the applicant)
Under Section 5.(E) Quality of Performance – Interview Questions

• Valley Water will conduct an interview to the owner references for two of the three completed projects described in Section 5.(F) Comparable Project Experience. No action on the Contractor’s part is necessary. These questions are included in this questionnaire for information only.

• It is the Contractor’s responsibility to provide correct and current reference contact information for each project.

• Valley Water will initially contact owner references for the first two of the three completed projects presented in Section 5.(F), Comparable Project Experience.

• Valley Water will contact references for the third project only if Valley Water is unable to reach the reference, a reference is unavailable, or Valley Water is unable to interview the reference within 5 working days of the initial contact.
Under Section 5.(E) Quality of Performance – Interview Questions (Continuation)

- Valley Water will contact the owner’s Project Manager, Architect or Engineer-of-Record, and Construction Manager for interviews. There will be 3 interviews conducted for each project for a total of 6 interviews.

8. Owner address and current phone number: ________________________________

9. Owner’s Project Manager and contact information (name, firm, e-mail and current phone number):

10. Architect or Engineer-of-Record contact (name, firm, e-mail, and current phone number):

11. Owner’s Construction Manager and contact information (name, firm, e-mail, and current phone number)
PREQUALIFICATION – SUBMITTAL REQUIREMENTS

Prequalification Application Package (Continuation)

Under Section 5.(E) Quality of Performance – Interview Questions (Continuation)

• The scoring for the Interview Questions in this paragraph E. for each project will be as follows:

  ➢ The score for each of the 13 interview questions will be an average of the total score obtained from the three interviews for each question;

  ➢ For any of the questions that an interviewed reference does not provide a response or declines to respond, that question will receive a N/A rating. The resulting score for that question will be an average of the total score obtained from the other reference interviews; and

  ➢ For any of the questions, except question no. 1, where all of the responses receive a N/A rating, that question will receive a score of zero.
Prequalification Application Package (Continuation)

Under Section 5.(F) Comparable Project Experience

• Provide the following information for 3 comparable projects that your company has completed within the last 15 years. **For the project to be considered completed, it must have been accepted by the project owner.**

1. Comparable projects will score higher if they include:
   a. similar sized tunnels and shafts to the ADTP;
   b. excavation through weak, sheared ground with fault zones and low standup times;
   c. use of roadheader and/or drill and blast excavation methods;
   d. use of ground support measures including fiber reinforced shotcrete, steel sets, and rock bolts;
   e. pre-excavation drilling and grouting and face support measures; and
   f. reinforced concrete final lining, and steel carrier pipes within the tunnel.

2. Comparable projects will score higher if they include Microtunnel Boring Machine (MTBM) mined tunnel segments driven through mixed-face conditions under hydrostatic loading with lake tap or wet recovery methods.
Prequalification Application Package (Continuation)

Under Section 5.(G) Required Attachments and Enclosures

1. Prequalification Application submittals that do not comply with this Section 5.G. will be deemed non-responsive.

2. The envelope must contain 1 original hard copy of the Prequalification Application and 1 USB Flash Drive containing the Prequalification Application, with all documents in searchable PDF format and Microsoft Word format. Original, signed documents required by paragraphs 3.a.-3.c. are not required to be submitted in Microsoft Word format.

3. The following list is provided for the contractor’s convenience and is superseded by any and all listed specific attachment requirements as stated in this Prequalification Questionnaire.
   a. The latest copy of a reviewed or audited financial statement with accompanying notes and supplemental information, including an Opinion Letter from an Independent CPA. The original Opinion Letter must be submitted.
Under Section 5.(G) Required Attachments and Enclosures

- b. Notarized statement from admitted surety company/companies. The original notarized statement must be submitted.

- c. Notarized statement from the workers' compensation carrier that your organization proposes to use, specifying contractor's current experience modification rating for workers' compensation for the State of California. The original notarized statement must be submitted.

- d. Project information required pursuant to Section 5. Contractor Prequalification Questionnaire, paragraph F. Comparable Project Experience (question A.1.q.) for PROJECT 1, PROJECT 2, and PROJECT 3.
QUESTIONS